

# Machine Translation

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# Outline

- **Introduction**
- Statistical Machine Translation
- Neural Machine Translation
- Evaluation of Machine Translation
- Multilingual Neural Machine Translation

# Deeper Outline of NMT Topics to cover

- *Why NMT?*
- *Encoder-Decoder Models*
- *Attention Mechanism*
- *Transformer Networks*
- *Backtranslation*
- *Subword-level Models*
- *Advanced Seq2Seq Modeling*

# Automatic conversion of text/speech from one natural language to another

*Be the change you want to see in the world*

*वह परिवर्तन बनो जो संसार में देखना चाहते हो*

**Government:** administrative requirements, education, security.

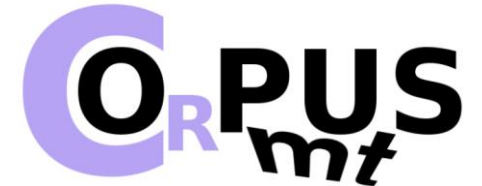
**Enterprise:** product manuals, customer support

**Social:** travel (signboards, food), entertainment (books, movies, videos)

*Any multilingual NLP system will involve some kind of machine translation at some level*



AI4Bharat



## Translation under the hood

- Cross-lingual Search
- Cross-lingual Summarization
- Building multilingual dictionaries

# What is Machine Translation?

## Word order: SOV (Hindi), SVO (English)

E: Germany won the last World Cup  
H: जर्मनी ने पिछला विश्व कप जीता था

## Free (Hindi) vs rigid (English) word order

पिछला विश्व कप जर्मनी ने जीता था (*correct*)

The last World Cup Germany won (*grammatically incorrect*)

The last World Cup won Germany (*meaning changes*)

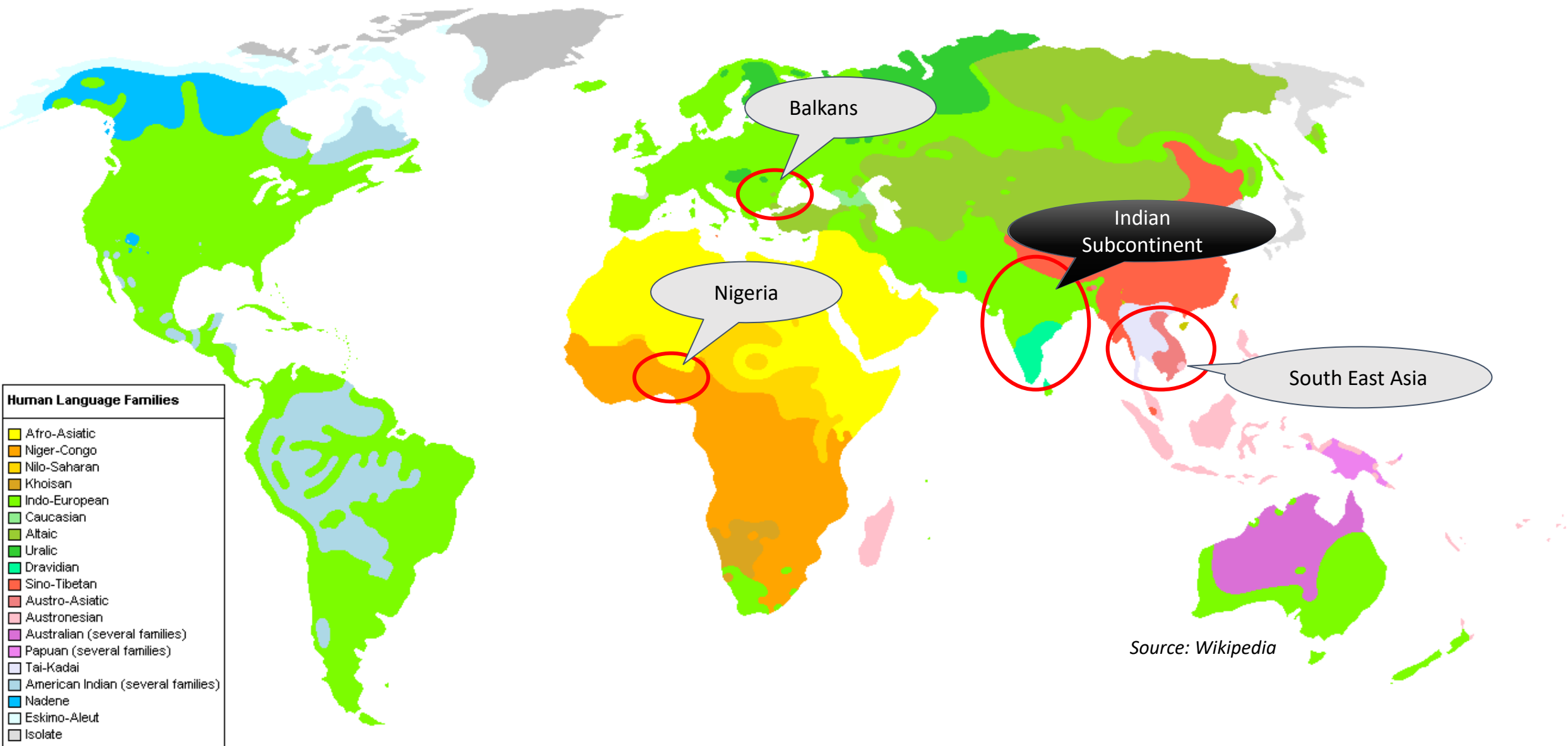
*Language Divergence* → *the great diversity among languages of the world*

*The central problem of MT is to bridge this language divergence*

# *Why is Machine Translation interesting?*

*Language Divergence → the great diversity among languages of the world*

*The central problem of MT is to bridge this language divergence*



*These related languages are generally geographically contiguous*

# *Related Languages*

*Related by Genealogy*



*Language Families*

Dravidian, Indo-European, Turkic

*(Jones, Rasmus, Verner, 18<sup>th</sup> & 19<sup>th</sup> centuries, Raymond ed. (2005))*

*Related by Contact*



*Linguistic Areas*

Indian Subcontinent,  
Standard Average  
European

*(Trubetzkoy, 1923)*

*Related languages may not belong to the same language family!*



# Language Divergence

## Word order: SOV (Hindi), SVO (English), VSO, OSV

E: Germany won the last World Cup

H: जर्मनी ने पिछला विश्व कप जीता था

The diagram illustrates the word order for the English sentence "Germany won the last World Cup" and the Hindi sentence "जर्मनी ने पिछला विश्व कप जीता था". In English, "Germany" is the subject (S), "won" is the verb (V), and "the last World Cup" is the object (O). In Hindi, "जर्मनी ने" (Germany) is the subject (S), "पिछला विश्व कप" (last World Cup) is the object (O), and "जीता था" (won) is the verb (V).

## Free (Hindi) vs rigid (English) word order

पिछला विश्व कप जर्मनी ने जीता था *(correct)*

The last World Cup Germany won *(grammatically incorrect)*

The last World Cup won Germany *(meaning changes)*

# Language Divergence

## Analytic vs Polysynthetic languages

Analytic (Chinese) → very few morphemes per word, no inflections

Polysynthetic (Finnish) → many morphemes per word, no inflections

English: *Even if it does not rain*

Malayalam: മഴ പെയ്യുന്നില്ലെങ്കിലും

(rain\_noun shower\_verb+not+even\_if+then\_also)

## Inflectional systems [infixing (Arabic), fusional (Hindi), agglutinative (Marathi)]

### Arabic

*k-t-b*: root word

*katabtu*: I wrote

*kattabtu*: I had (something) written

*kitaab*: book

*kotub*: books

### Hindi

*Jaunga* (1<sup>st</sup> per, singular, masculine)

*Jaoge* (2<sup>nd</sup> per)

*Jaayega* (3<sup>rd</sup> per, singular, masculine)

*Jaayenge* (3<sup>rd</sup> per, plural)

### Marathi

कपाटावरील: कपाट + वर + ईल  
(*the one over the cupboard*)

दारावरील: दार + वर + ईल  
(*the one over the door*)

दारामागील: दार + मागे + ईल  
(*the one behind the door*)

# *Language Divergence*

## Different ways of expressing same concept

water → पानी, जल, नीर

## Language registers

Formal: आप बैठिये

Informal: तू बैठ

Standard : मुझे डोसा चाहिए

Dakhini: मेरे को डोसा होना

# *Language Divergence*

- Case marking systems
- Categorical divergence
- Null Subject Divergence
- Pleonastic Divergence

... and much more

# Why is Machine Translation difficult?

- **Ambiguity**

- Same word, multiple meanings: *मंत्री* (minister or chess piece)
- Same meaning, multiple words: *जल, पानी, नीर* (water)

- **Word Order**

- Underlying deeper syntactic structure
- Phrase structure grammar?
- Computationally intensive

- **Morphological Richness**

- Identifying basic units of words
- *घर ा समोर चा*
- *That which is in front of the house*

# *Why should you study Machine Translation?*

- One of the most challenging problems in Natural Language Processing
- Pushes the boundaries of NLP
- Involves analysis as well as synthesis
- Involves all layers of NLP: morphology, syntax, semantics, pragmatics, discourse
- *Theory and techniques in MT are applicable to a wide range of other problems like transliteration, speech recognition and synthesis, and other NLP problems.*

# *Approaches to build MT systems*

Knowledge based, Rule-based MT

*Transfer-based*

*Interlingua-based*

Data-driven, Machine Learning based MT

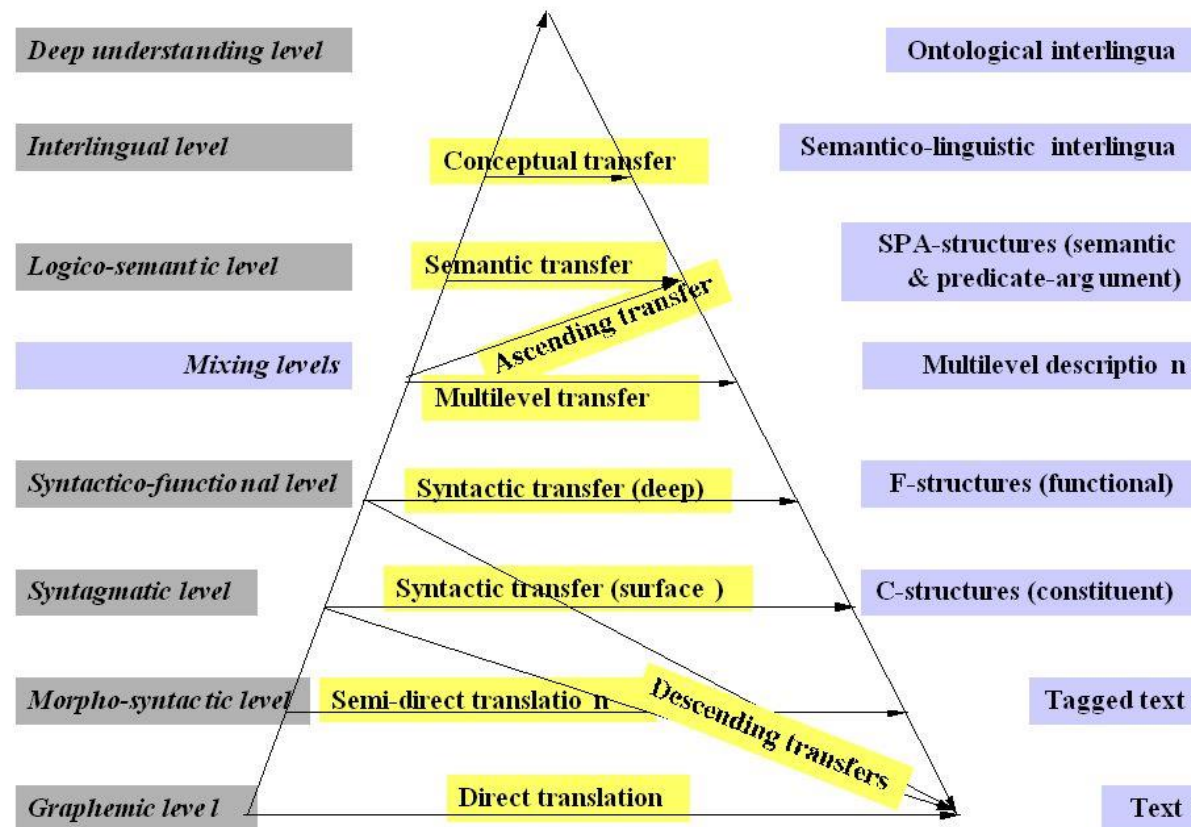
*Example-based*

*Statistical*

***Neural***

# Vauquois Triangle

Translation approaches can be classified by the depth of linguistic analysis they perform



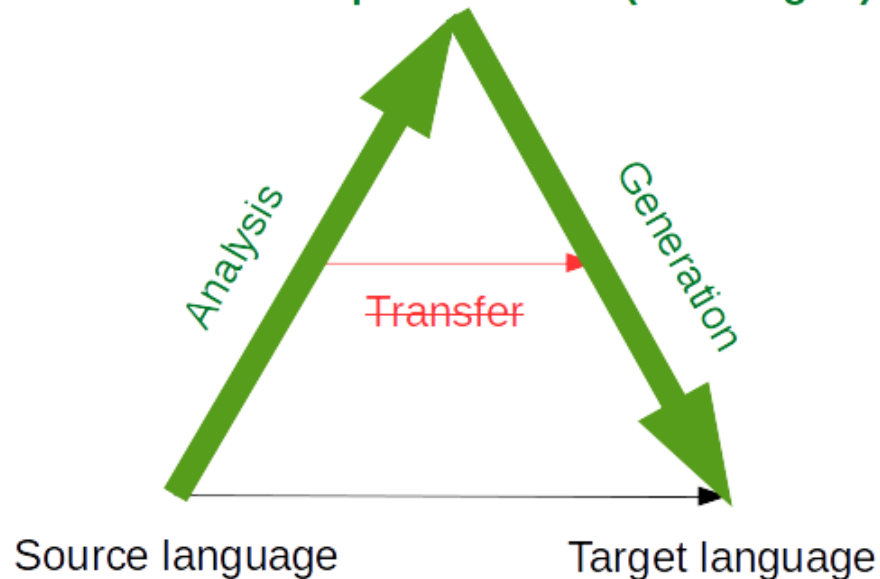


# Rule-based MT

- Rules are written by **linguistic experts** to analyze the source, generate an intermediate representation, and generate the target sentence
- Depending on the depth of analysis: interlingua or transfer-based MT

## Interlingua based MT

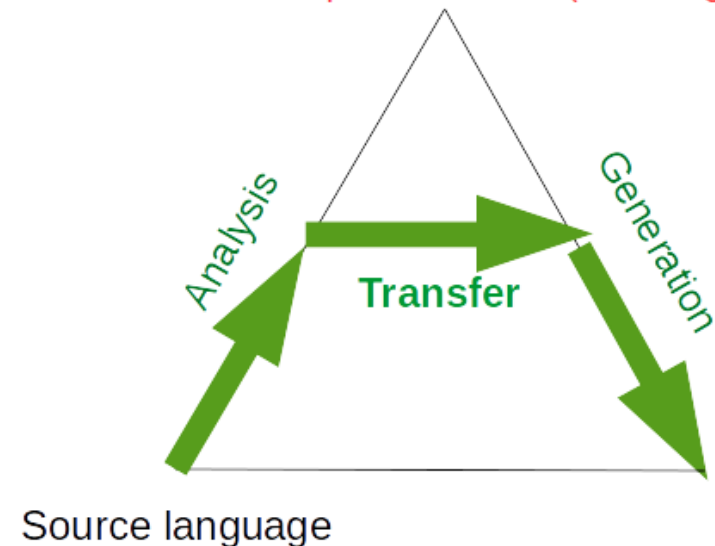
Abstract representation (Interlingua)



*Deep analysis, complete disambiguation and language independent representation*

## Transfer based MT

Abstract representation (Interlingua)



*Partial analysis, partial disambiguation and a bridge intermediate representation*

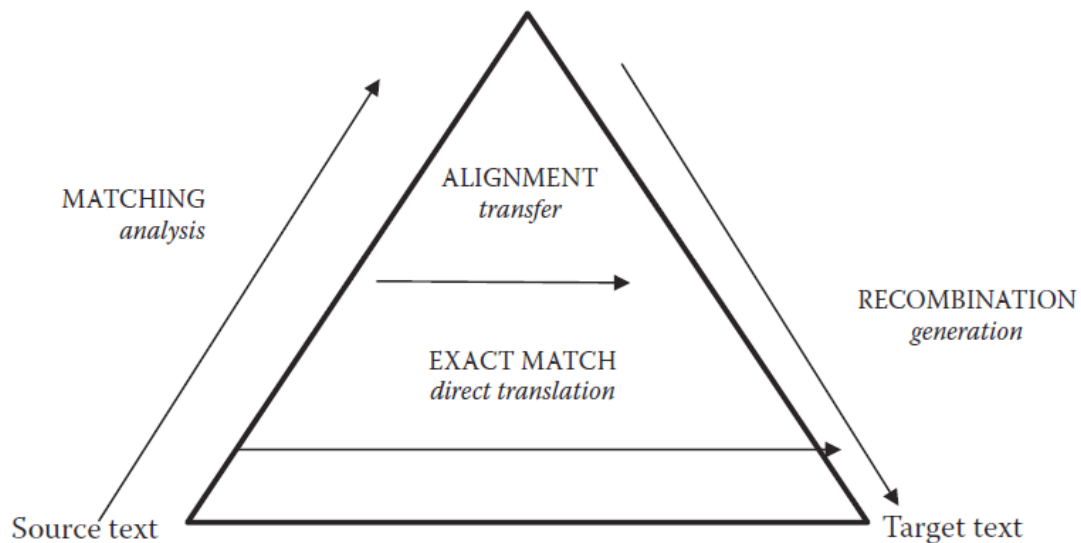
# *Problems with rule-based MT*

- Required linguistic expertise to develop systems
- Maintenance of system is difficult
- Difficult to handle ambiguity
- Scaling to a large number of language pairs is not easy

# Example-based MT

*Translation by analogy* ⇒ match parts of sentences to known translations and then combine

**Input:** *He buys a book on international politics*



1. **Phrase fragment matching: (data-driven)**

*he buys  
a book  
international politics*

2. **Translation of segments: (data-driven)**

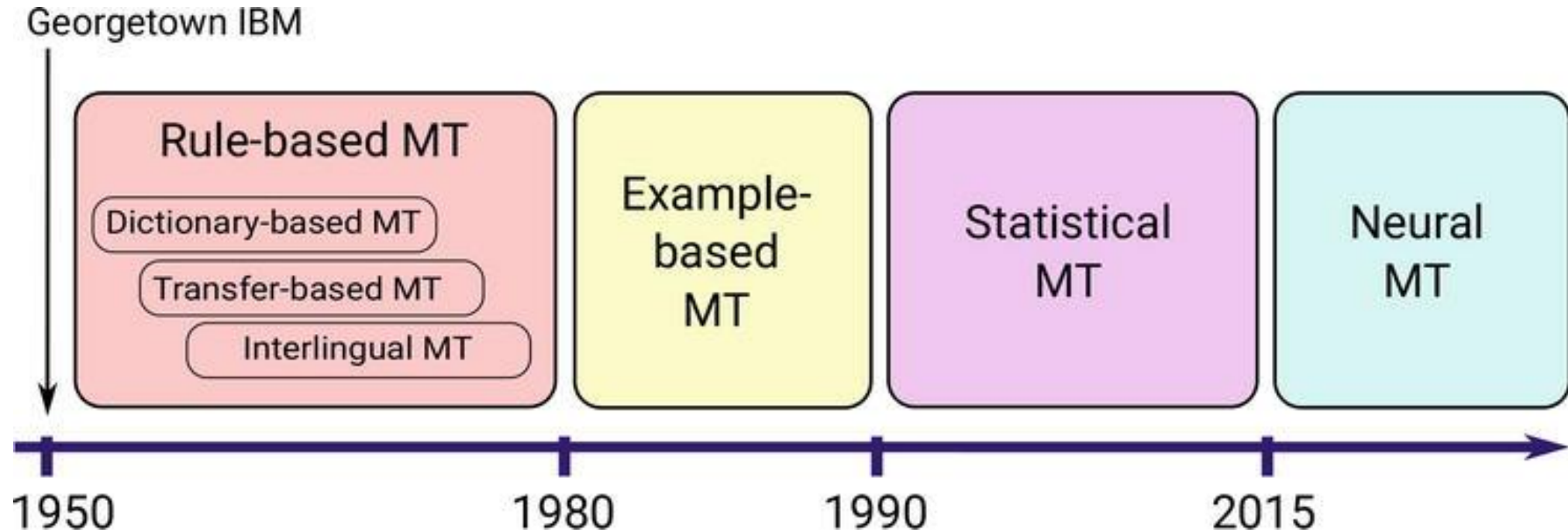
*वह खरीदता है  
एक किताब  
अंतर राष्ट्रीय राजनीति*

3. **Recombination: (human crafted rules/templates)**

*वह अंतर राष्ट्रीय राजनीति पर एक किताब खरीदता है*

- *Partly rule-based, partly data-driven.*
- *Good methods for matching and large corpora did not exist when proposed*

# *The Evolution of MT systems*



Source: <https://www.intechopen.com/books/recent-trends-in-computational-intelligence/machine-translation-and-the-evaluation-of-its-quality>

# Outline

- Introduction
- **Statistical Machine Translation**
- Neural Machine Translation
- Evaluation of Machine Translation
- Multilingual Neural Machine Translation

# Statistical Machine Translation

## Let's formalize the translation process

We will model translation using a **probabilistic model**. Why?

- We would like to have a measure of confidence for the translations we learn
- We would like to model uncertainty in translation

$E$ : target language

$F$ : source language

$e$ : target language sentence

$f$ : source language sentence

Best  
translation

$$\bar{e} = \arg \max_e P(e|f)$$

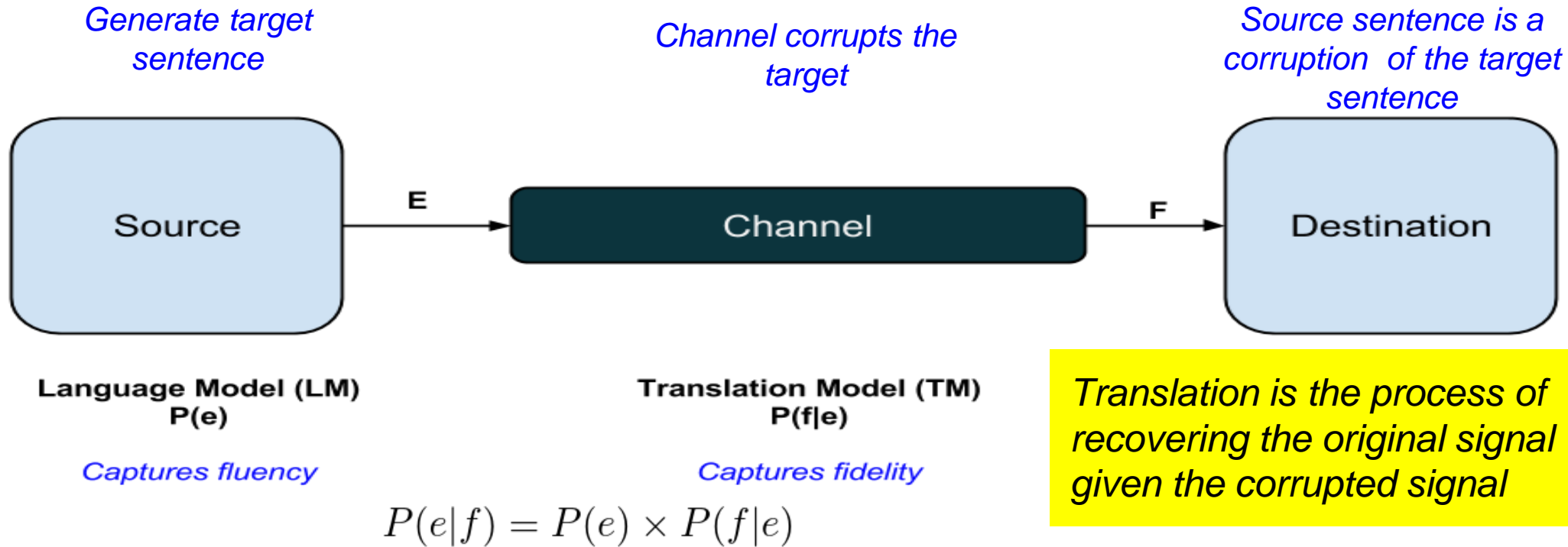
How do we  
**model** this  
quantity?

**Model**: a simplified and idealized understanding of a physical process

We must first explain the process of translation

A very general framework  
for many NLP problems

We explain translation using the **Noisy Channel Model**



Why use this counter-intuitive way of explaining translation?

- Makes it easier to mathematically represent translation and learn probabilities
- **Fidelity** and **Fluency** can be modelled separately



*We know how to learn n-gram language models*

*Let's see how to learn the translation model  $\rightarrow P(\mathbf{f}|\mathbf{e})$*

***To learn sentence translation probabilities,***

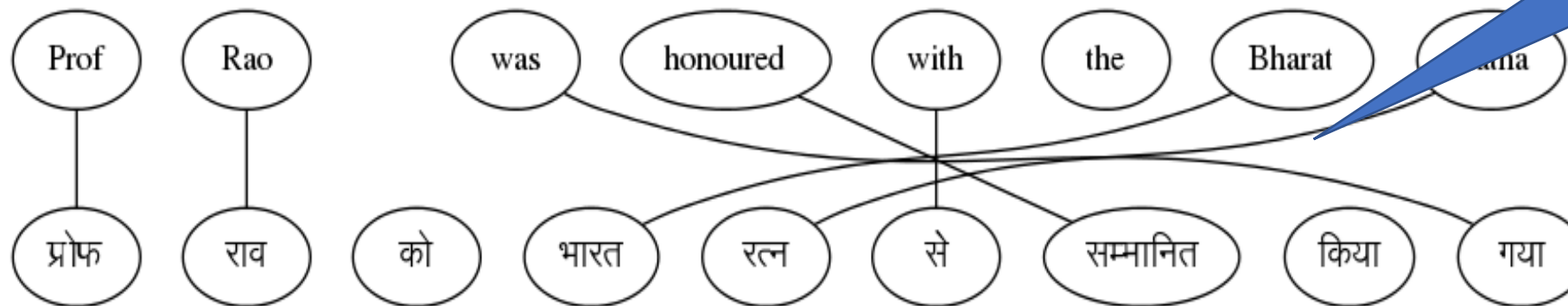
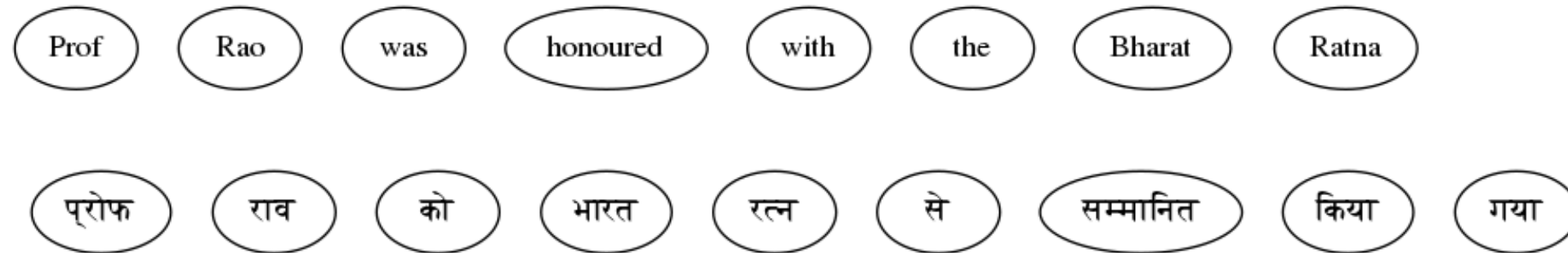
***$\rightarrow$  we first need to learn word-level translation probabilities***

*That is the task of word alignment*

## Parallel Corpus

A boy is sitting in the kitchen	एक लडका रसोई में बैठा है
A boy is playing tennis	एक लडका टेनिस खेल रहा है
A boy is sitting on a round table	एक लडका एक गोल मेज पर बैठा है
Some men are watching tennis	कुछ आदमी टेनिस देख रहे हैं
A girl is holding a black book	एक लडकी ने एक काली किताब पकडी है
Two men are watching a movie	दो आदमी चलचित्र देख रहे हैं
A woman is reading a book	एक औरत एक किताब पढ रही है
A woman is sitting in a red car	एक औरत एक काले कार मे बैठी है

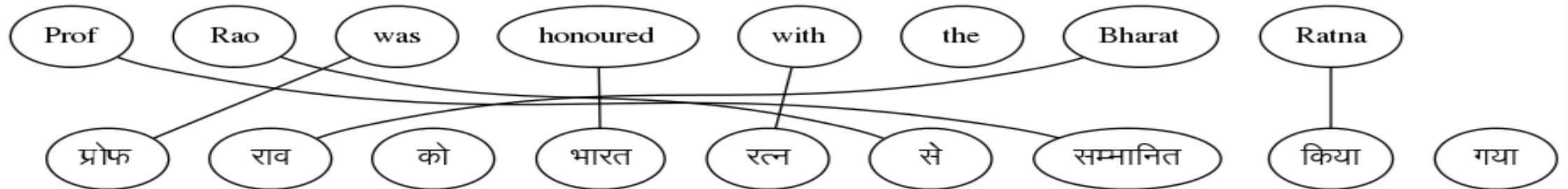
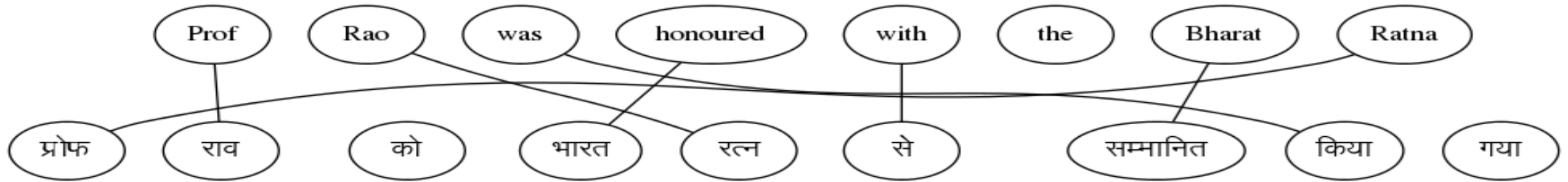
*Given a parallel sentence pair, find word level correspondences*



This set of links for a sentence pair is called an 'ALIGNMENT'

*But there are multiple possible alignments*

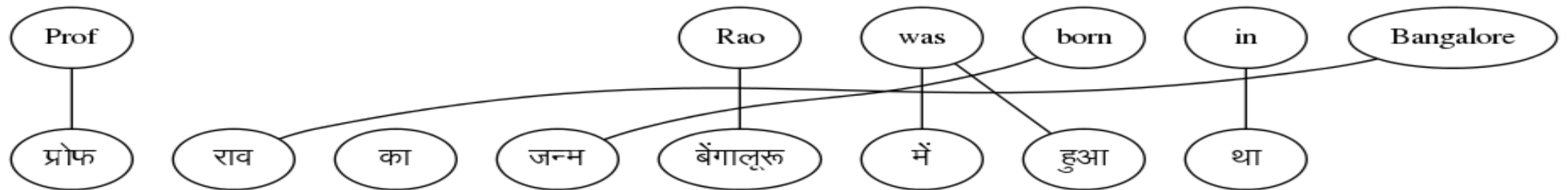
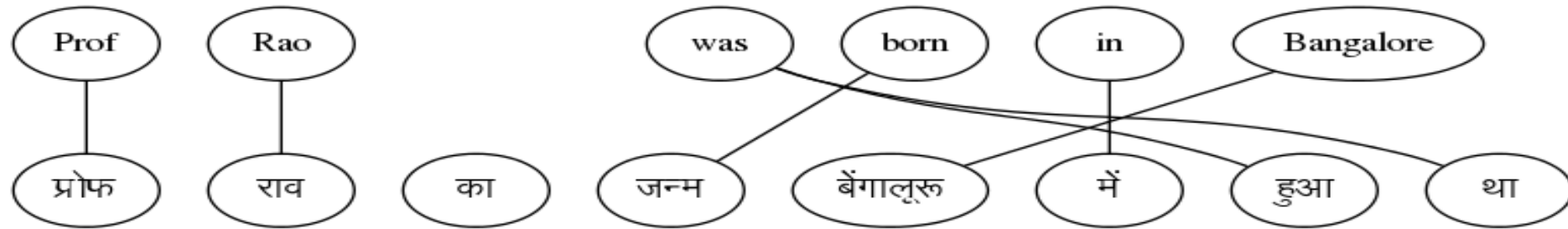
**Sentence 1**



*With one sentence pair, we cannot find the correct alignment*

*Can we find alignments if we have multiple sentence pairs?*

**Sentence 2**



*Yes, let's see how to do that ...*

## Parallel Corpus

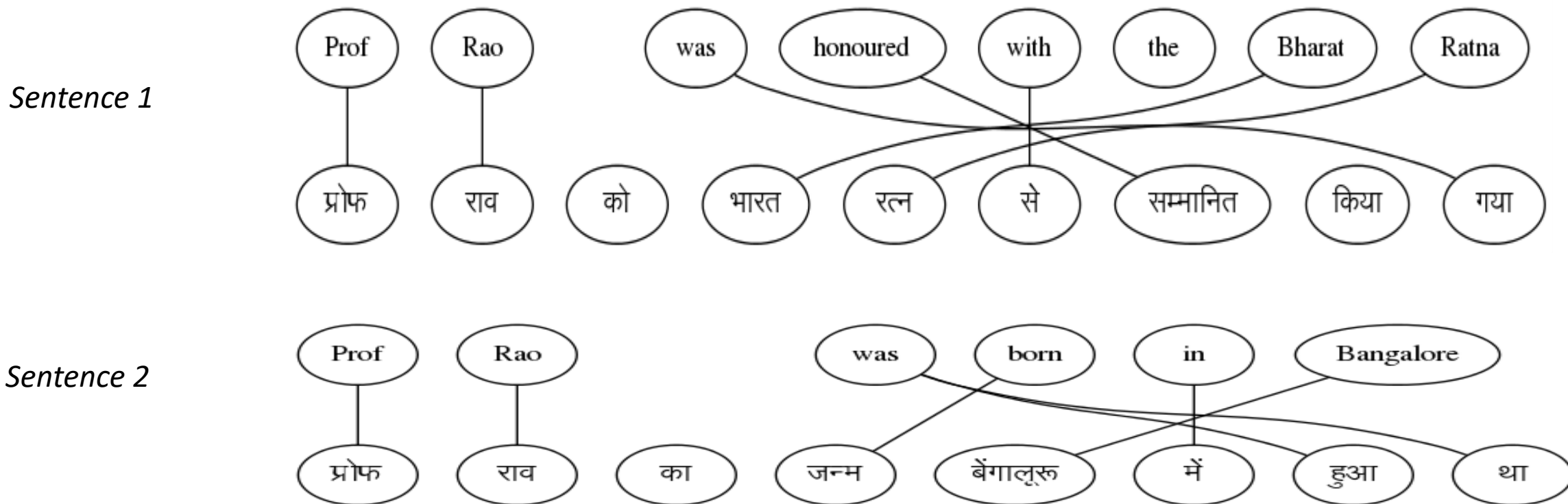
A boy is <b>sitting</b> in the kitchen	एक लडका रसोई में <b>बैठा</b> है
A boy is playing <b>tennis</b>	एक लडका <b>टेनिस</b> खेल रहा है
A boy is <b>sitting</b> on a round table	एक लडका एक गोल मेज पर <b>बैठा</b> है
Some men <b>are watching tennis</b>	कुछ आदमी <b>टेनिस देख रहे हैं</b>
A girl is holding a black book	एक लडकी ने एक काली किताब पकडी है
Two men <b>are watching</b> a movie	दो आदमी चलचित्र <b>देख रहे हैं</b>
A woman is reading a book	एक औरत एक किताब पढ रही है
A woman is <b>sitting</b> in a red car	एक औरत एक काले कार में <b>बैठा</b> है

### *Key Idea*

*Co-occurrence of translated words*

*Words which occur together in the parallel sentence are likely to be translations (higher  $P(f|e)$ )*

*If we knew the alignments, we could compute  $P(f|e)$*



$$P(f|e) = \frac{\#(f, e)}{\#(*, e)}$$

$$P(\text{Prof}|\text{प्रोफ}) = \frac{2}{2}$$

$\#(a, b)$ : number of times word  $a$  is aligned to word  $b$

*But, we can find the best alignment only if we know the word translation probabilities*

*The best alignment is the one that maximizes the sentence translation probability*

$$P(\mathbf{f}, \mathbf{a} | \mathbf{e}) = P(a) \prod_{i=1}^{i=m} P(f_i | e_{a_i}) \quad \longrightarrow \quad \mathbf{a}^* = \operatorname{argmax}_a \prod_{i=1}^{i=m} P(f_i | e_{a_i})$$

*This is a chicken and egg problem! How do we solve this?*



# *We can solve this problem using a two-step, iterative process*

*Start with random values for word translation probabilities*

*Step 1: Estimate alignment probabilities using word translation probabilities*

*Step 2: Re-estimate word translation probabilities*

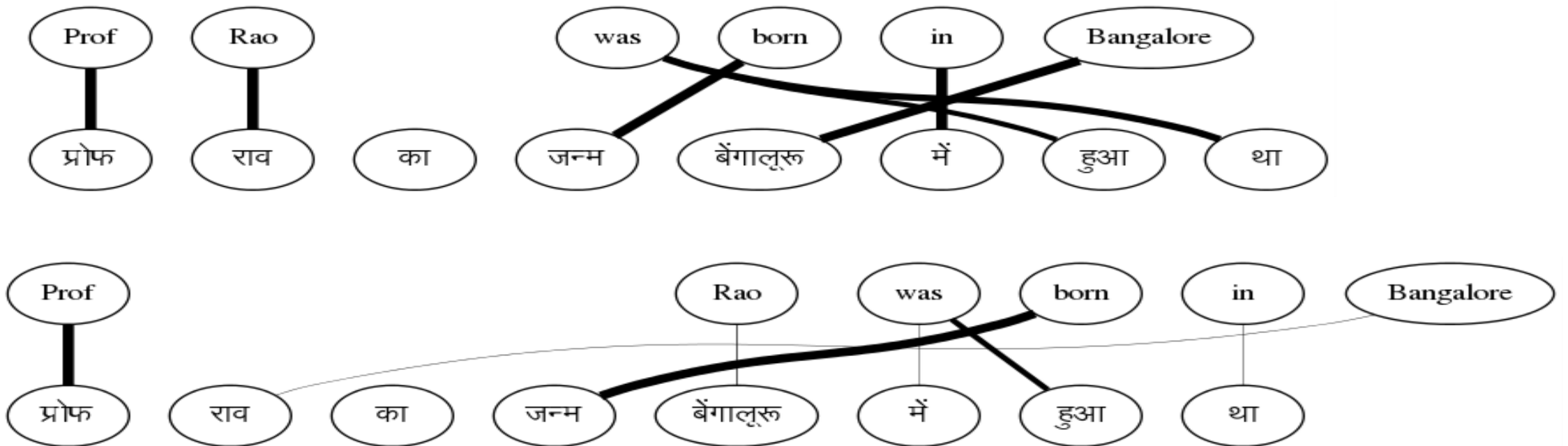
- We don't know the best alignment*
- So, we consider all alignments while estimating word translation probabilities*
- Instead of taking only the best alignment, we consider all alignments and weigh the word alignments with the alignment probabilities*

$$P(f|e) = \frac{\text{expected } \#(f, e)}{\text{expected } \#(*, e)}$$

*Repeat Steps (1) and (2) till the parameters converge*

*At the end of the process ...*

## Sentence 2



***Expectation-Maximization Algorithm:*** guaranteed to converge, maybe to local minima  
Hence we need to good initialization and training regimens.

# IBM Models

- IBM came up with a series of increasingly complex models
- Called Models 1 to 5
- Differed in assumptions about alignment probability distributions
- Simpler models are used to initialize the more complex models
- This pipelined training helped ensure better solutions

# Phrase Based SMT

Why stop at learning word correspondences?

KEY IDEA → Use “Phrase” (Sequence of Words) as the basic translation unit

*Note: the term ‘phrase’ is not used in a linguistic sense*

The Prime Minister of India	भारत के प्रधान मंत्री bhArata ke pradhAna maMtri India of Prime Minister
is running fast	तेज भाग रहा है teja bhAg rahA hai fast run -continuous is
honoured with	से सम्मानित किया se sammanita kiyA with honoured did
Rahul lost the match	राहुल मुकाबला हार गया rAhula mukAbala hAra gayA Rahul match lost

# Benefits of PB-SMT

Local Reordering → Intra-phrase re-ordering can be memorized

The Prime Minister of India	भारत के प्रधान मंत्री bhaarat ke pradhaan maMtrl India of Prime Minister
-----------------------------	--

Sense disambiguation based on local context → Neighbouring words help make the choice

heads towards Pune	पुणे की ओर जा रहे हैं pune ki or jaa rahe hai Pune towards go –continuous is
heads the committee	समिति की अध्यक्षता करते हैं Samiti kii adhyakshata karte hai committee of leading - verbalizer is

# Benefits of PB-SMT (2)

## Handling institutionalized expressions

- Institutionalized expressions, idioms can be learnt as a single unit

hung assembly	त्रिशंकु विधानसभा trishanku vidhaansabha
Home Minister	गृह मंत्री gruh mantrii
Exit poll	चुनाव बाद सर्वेक्षण chunav baad sarvekshana

- Improved Fluency

- The phrases can be arbitrarily long (even entire sentences)

## Parallel Corpus

A boy is **sitting** in the kitchen

एक लडका रसोई में **बैठा** है

A boy is playing **tennis**

एक लडका **टेनिस** खेल रहा है

A boy is **sitting** on a round table

एक लडका एक गोल मेज पर **बैठा** है

Some men **are watching tennis**

कुछ आदमी **टेनिस देख रहे हैं**

A girl is holding a black book

एक लडकी ने एक काली किताब पकडी है

Two men **are watching** a movie

दो आदमी चलचित्र **देख रहे हैं**

A woman is reading a book

एक औरत एक किताब पढ रही है

A woman is **sitting** in a red car

एक औरत एक काले कार में **बैठा** है

# Mathematical Model

Let's revisit the decision rule for SMT model

$$\begin{aligned} \mathbf{e}_{\text{best}} &= \operatorname{argmax}_{\mathbf{e}} p(\mathbf{e}|\mathbf{f}) \\ &= \operatorname{argmax}_{\mathbf{e}} p(\mathbf{f}|\mathbf{e}) p_{\text{LM}}(\mathbf{e}) \end{aligned}$$

Let's revisit the translation model  $p(\mathbf{f}|\mathbf{e})$

- Source sentence can be segmented in  $\mathbf{I}$  phrases
- Then,  $p(\mathbf{f}|\mathbf{e})$  can be decomposed as:

$$p(\bar{f}_1^I | \bar{e}_1^I) = \prod_{i=1}^I \phi(\bar{f}_i | \bar{e}_i) d(\text{start}_i - \text{end}_{i-1} - 1)$$

Distortion probability

Phrase Translation Probability

$\text{start}_i$  : start position in  $\mathbf{f}$  of  $i^{\text{th}}$  phrase of  $\mathbf{e}$   
 $\text{end}_i$  : end position in  $\mathbf{f}$  of  $i^{\text{th}}$  phrase of  $\mathbf{e}$



# Learning The Phrase Translation Model

Involves Structure + Parameter Learning:

- Learn the **Phrase Table**: the central data structure in PB-SMT

The Prime Minister of India	भारत के प्रधान मंत्री
is running fast	तेज भाग रहा है
the boy with the telescope	दूरबीन से लड़के को
Rahul lost the match	राहुल मुकाबला हार गया

- Learn the **Phrase Translation Probabilities**

Prime Minister of India	भारत के प्रधान मंत्री India of Prime Minister	0.75
Prime Minister of India	भारत के भूतपूर्व प्रधान मंत्री India of former Prime Minister	0.02
Prime Minister of India	प्रधान मंत्री Prime Minister	0.23

# Learning Phrase Tables from Word Alignments

- Start with word alignments
- Word Alignment : reliable input for phrase table learning
  - high accuracy reported for many language pairs
- Central Idea: A consecutive sequence of aligned words constitutes a “phrase pair”

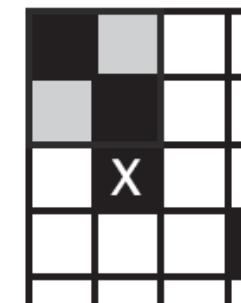
	Prof	C.N.R.	Rao	was	honoured	with	the	Bharat	Ratna
प्रोफेसर	■								
सी.एन.आर		■	■						
राव			■						
को									
भारतरत्न								■	■
से							■		
सम्मानित					■	■			
किया									
गया									

Which phrase pairs to include in the phrase table?

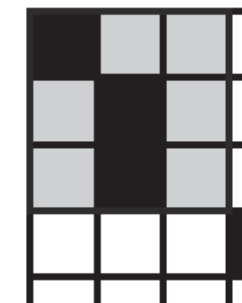
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प्रोफेसर	■		■						
सी.एन.आर		■	■						
राव			■						
को								■	■
भारतरत्न								■	■
से					■				
सम्मानित					■	■			
किया									
गया									



consistent



inconsistent



consistent



Source: SMT, Phillip Koehn

Professor CNR	प्रोफेसर सी.एन.आर
Professor CNR Rao	प्रोफेसर सी.एन.आर राव
Professor CNR Rao was	प्रोफेसर सी.एन.आर राव
Professor CNR Rao was	प्रोफेसर सी.एन.आर राव को
honoured with the Bharat Ratna	भारतरत्न से सम्मानित
honoured with the Bharat Ratna	भारतरत्न से सम्मानित किया
honoured with the Bharat Ratna	भारतरत्न से सम्मानित किया गया
honoured with the Bharat Ratna	को भारतरत्न से सम्मानित किया गया

# Discriminative Training of PB-SMT

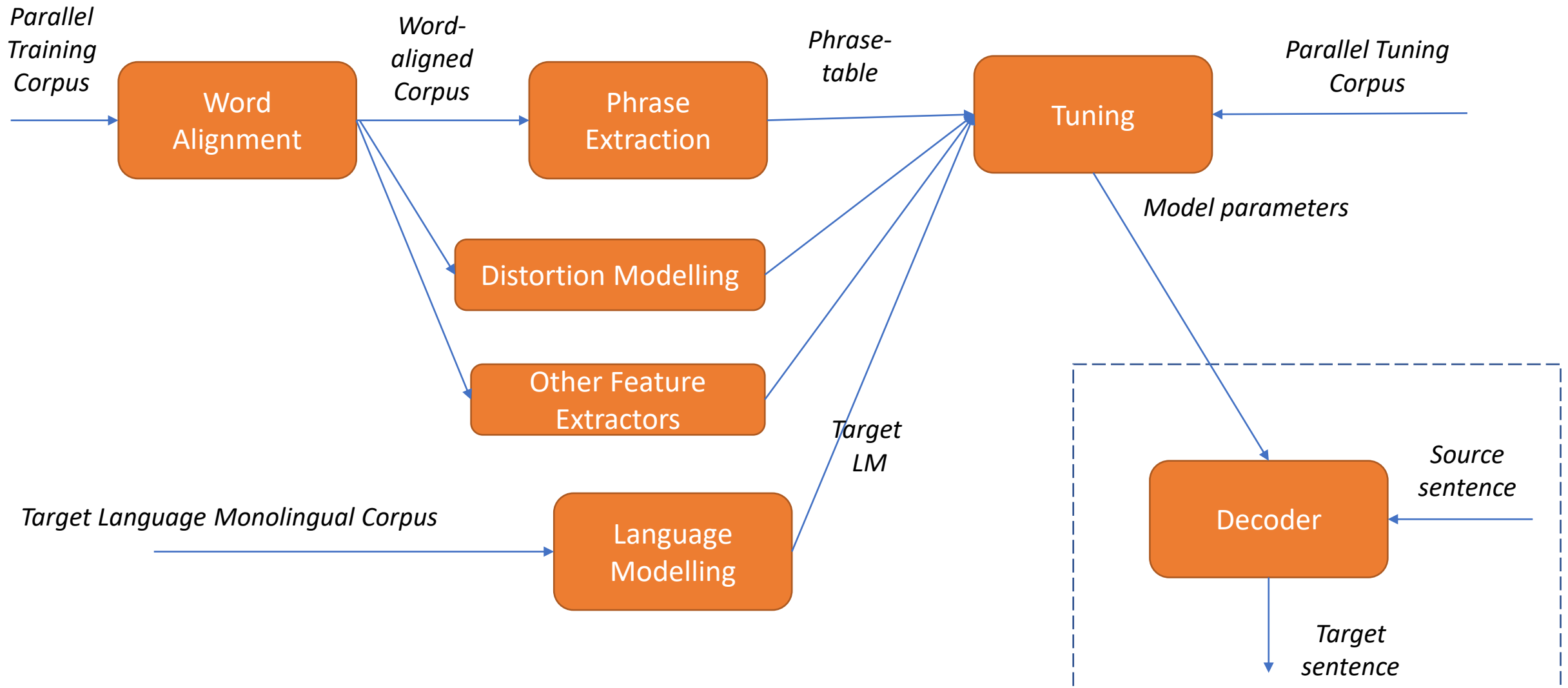
- Directly model the posterior probability  $p(\mathbf{e} | \mathbf{f})$
- Use the Maximum Entropy framework

$$P(\mathbf{e} | \mathbf{f}) = \exp \left( \sum_i \lambda_i h_i(f_1^I, e_1^J) \right)$$

$$e^* = \arg \max_{e_i} \sum_i \lambda_i h_i(f_1^I, e_1^J)$$

- $h_i(\mathbf{f}, \mathbf{e})$  are feature functions ,  $\lambda_i$ 's are feature weights
- Benefits:
  - *Can add arbitrary features to score the translations*
  - Can assign different weight for each features
  - Assumptions of generative model may be incorrect
  - *Feature weights  $\lambda_i$  are learnt during tuning*

# Typical SMT Pipeline



*We have looked at a basic phrase-based SMT system*

*This system can learn word and phrase translations from parallel corpora*

But many important linguistic phenomena need to be handled

- Divergent Word Order
- Rich morphology
- Named Entities and Out-of-Vocabulary words

# *Limitations of SMT*

- *No end-to-end optimization*
  - *Separately developed complex components strung together*
- *Divergent word-order is a big challenge*
- *n-gram LM not the best way to score translation fluency*
- *Model size is a function of the data size*

# Outline

- Introduction
- Statistical Machine Translation
- **Neural Machine Translation**
- Evaluation of Machine Translation
- Multilingual Neural Machine Translation
- Summary



# Neural Machine Translation

# Topics

- *Why NMT?*
- *Encoder-Decoder Models*
- *Attention Mechanism*
- *Transformer Networks*
- *Backtranslation*
- *Subword-level Models*
- *Advanced Seq2Seq Modeling*

**SMT, Rule-based MT and Example based MT** manipulate **symbolic representations** of knowledge

Every word has an atomic representation, which can't be further analyzed

**No notion of similarity or relationship between words**

- Even if we know the translation of `home`, we can't translate `house` if it an OOV

home	0
water	1
house	2
tap	3

1	0	0	0
0	1	0	0
0	0	1	0
0	0	0	1

**Difficult to represent new concepts**

- We cannot say anything about 'mansion' if it comes up at test time
- Creates problems for language model as well  $\Rightarrow$  whole area of smoothing exists to overcome this problem

**Symbolic representations are discrete representations**

- **Generally computationally expensive** to work with discrete representations
- e.g. Reordering requires evaluation of an exponential number of candidates

## Neural Network techniques work with **distributed representations**

Every word is represented by a vector of numbers

- No element of the vector represents a particular word
- The word can be understood with all vector elements
- Hence distributed representation
- But less interpretable

**Can define similarity between words**

- Vector similarity measures like cosine similarity
- Since representations of home *and* house, we may be able to translate house

home
Water
house
tap

0.5	0.6	0.7
0.2	0.9	0.3
0.55	0.58	0.77
0.24	0.6	0.4

Word vectors or embeddings

**New concepts can be represented using a vector with different values**

Symbolic representations are **continuous representations**

- **Generally computationally more efficient** to work with continuous values
- Especially optimization problems

# Topics

- *Why NMT?*
- *Encoder-Decoder Models*
- *Attention Mechanism*
- *Transformer Networks*
- *Backtranslation*
- *Subword-level Models*
- *Advanced Seq2Seq Modeling*

# Sequence Labelling Task

Input Sequence:  $(x_1 \ x_2 \ x_3 \ x_4 \ \dots \ x_i \ \dots \ x_N)$

Output Sequence:  $(y_1 \ y_2 \ y_3 \ y_4 \ \dots \ y_i \ \dots \ y_N)$

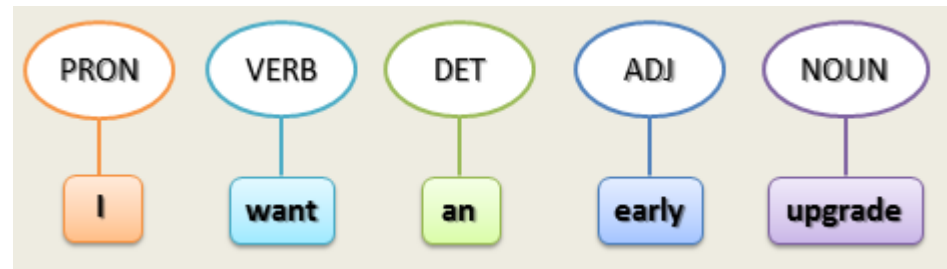
*Input and output sequences have the same length*

*Variable length input*

*Output contains categorical labels*

*Output at any time-step typically depends on neighbouring output labels and input elements*

*Part-of-speech tagging*



*Recurrent Neural Network is a powerful model to learn sequence labelling tasks*

# Sequence to Sequence Task

Input Sequence:  $(x_1 \ x_2 \ x_3 \ x_4 \ \dots \ x_i \ \dots \ x_N)$

Output Sequence:  $(y_1 \ y_2 \ y_3 \ y_4 \ \dots \ y_k \ \dots \ y_M)$

*Input and output sequences have different lengths*

*Variable length input*

*Output contains categorical labels*

*Output at any time-step typically depends on neighbouring output labels and input elements*

*Machine Translation*

*Encoder-decoder model is a general framework for sequence to sequence tasks*

# *Many tasks as Sequence to Sequence transformations*

- *Summarization: Article ⇒ Summary*
- *Question answering: Question ⇒ Answer*
- *Dialogue: Previous utterance ⇒ next utterance*
- *Transliteration: character sequence ⇒ character sequence*
- *Grammar Correction: Incorrect sentence ⇒ Correct Sentence*
- *Translation Postediting: Incorrect translation ⇒ Correct translation*
- *Image labelling: Image ⇒ Label*



We have seen what a language model is:

It models  $P(\mathbf{Y})$

$$P(\mathbf{Y}) = \prod_{j=1}^M P(y_j | y_1, y_2, \dots, y_{j-1})$$



*RNN*

We are interested in modeling  $P(\mathbf{Y}|\mathbf{X})$

Conditional Language Modelling task →

Learning Target LM conditioned on the source sentence

$$P(\mathbf{Y}|\mathbf{X}) = \prod_{j=1}^M P(y_j | y_1, y_2, \dots, y_{j-1}, \mathbf{X})$$



*Target language RNN*

Additional  
conditioning on  
the source  
sentence

How do we  
model this?

# LM for generating the target sequence

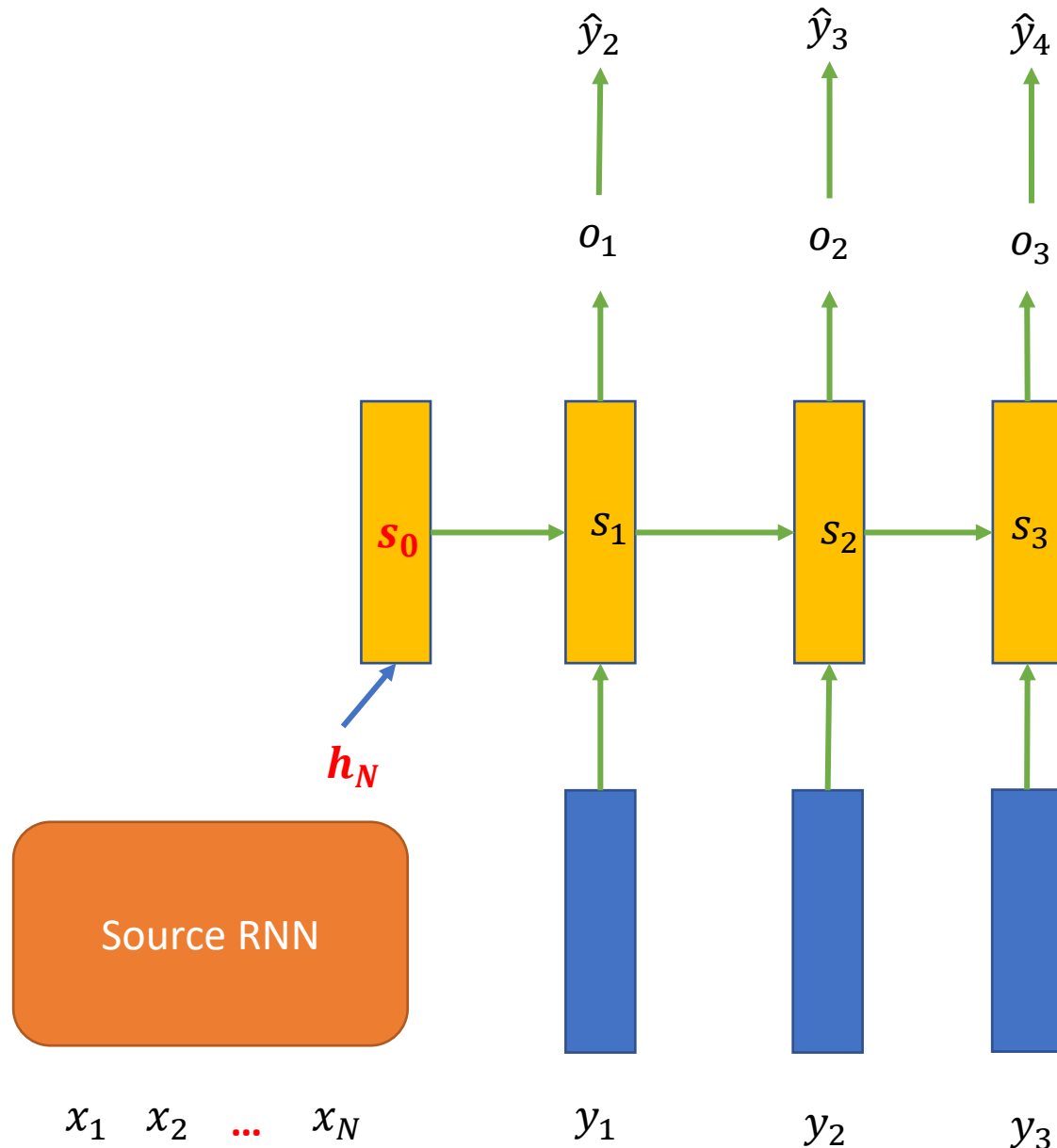
$s_0 \rightarrow$  Initial state of target language RNN

Set  $s_0 =$  a vector representation of source

We have our **conditional** LM

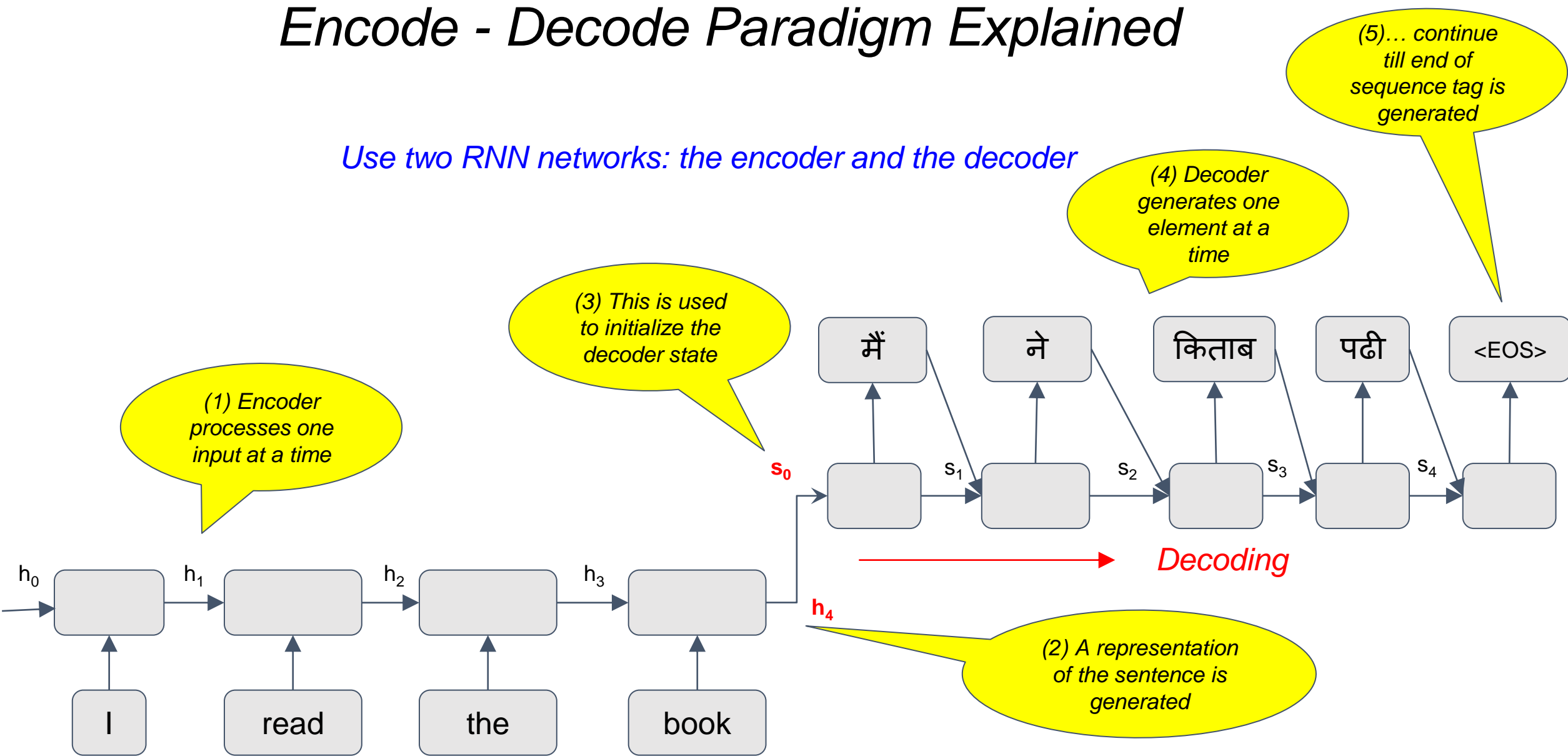
Source Vector Representation  $\rightarrow$   
last state of source sentence RNN

$$s_0 = h_N$$



# Encode - Decode Paradigm Explained

Use two RNN networks: the encoder and the decoder



→ Encoding

# What is the decoder doing at each time-step?

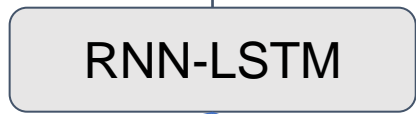
$$p(y_j = k | y_{<j}, \mathbf{x}; \theta) =$$



$\mathbf{O}_j$



$s_j$



$s_{j-1}$

$\text{emb}(y_{j-1})$

$\mathbf{c}$

This captures  $y_{<j}$

$$\text{softmax}(o_{jk}) = \frac{\exp(o_{jk})}{\sum_{m=0}^{m=T} \exp(o_{jm})}$$

$$\mathbf{o}_j = FF(s_j)$$

$$s_j = g(s_{j-1}, \text{emb}(y_{j-1}), \mathbf{c})$$

This captures  $\mathbf{x}$ ,  $\mathbf{c} = h_4$

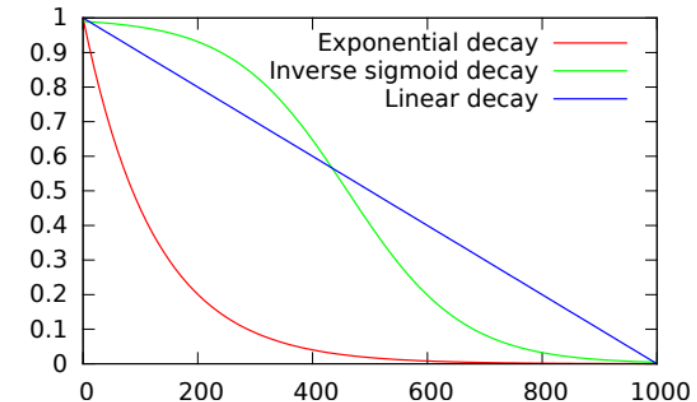
# Training an NMT Model

$$p(\mathbf{y}|\mathbf{x}; \theta) = \prod_{j=1}^m p(y_j|y_{<j}, \mathbf{x}; \theta) \quad p(y_j = k|y_{<j}, \mathbf{x}; \theta) = \text{softmax}(o_{jk})$$

$$\mathcal{L}_\theta = \sum_{(\mathbf{x}, \mathbf{y}) \in \mathcal{C}} \log p(\mathbf{y}|\mathbf{x}; \theta)$$

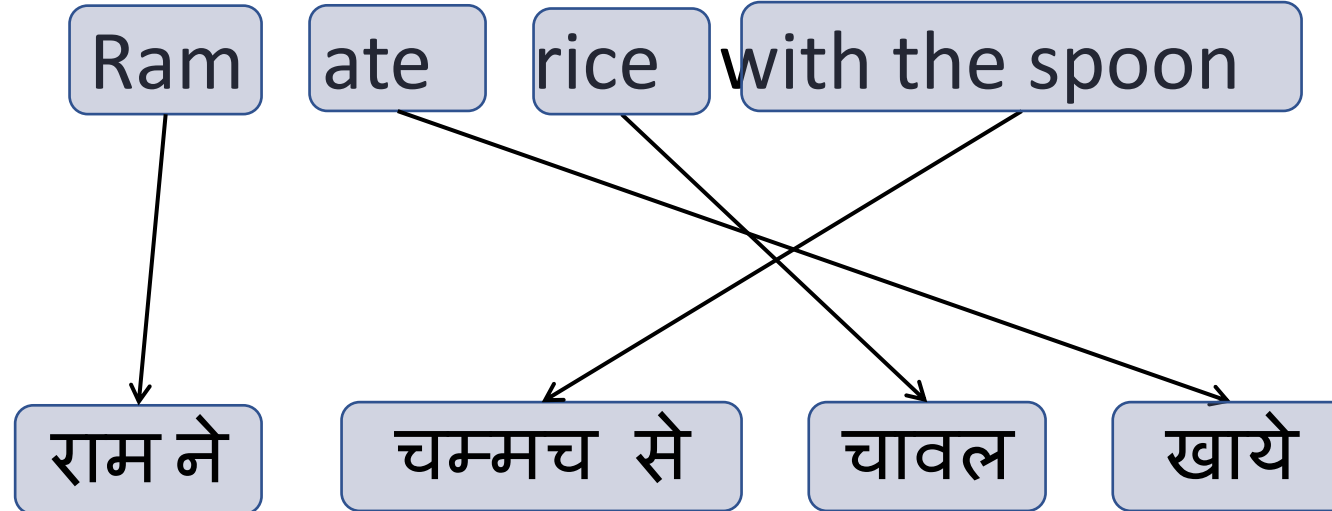
Maximum  
Likelihood  
Estimation

- *At each time decoder step:*
  - Feed model output from previous time step → degrades performance
  - Feed ground-truth output from previous time step → **teacher forcing**
- *Discrepancy in train and test scenarios* → **Exposure bias**
  - Solution → scheduled sampling
  - Sample from ground truth or predicted label
  - Sampling probability is varied: prefer ground truth earlier in training



# Decoding

Searching for the best translations in the space of all translations



# Decoding Strategies

- Exhaustive Search: *Score each and every possible translation – Forget it!*  $\rightarrow O(V^N)$
- Sampling  $\rightarrow O(NV)$
- Greedy  $\rightarrow O(NV)$
- Beam Search  $\rightarrow O(kNV)$



# Greedy Decoding

$w_1$	0.03
$w_2$	0.7
$w_3$	0.05
$w_3$	0.1
$w_4$	0.08
$w_5$	0.04

Select best word using  
the distribution

$$P(y_j | y_{<j}, \mathbf{x})$$

# Sampling Decoding

$w_1$	0.03
$w_2$	0.7
$w_3$	0.05
$w_3$	0.1
$w_4$	0.08
$w_5$	0.04

Sample next word  
using the distribution

$$P(y_j | y_{<j}, \mathbf{x})$$

*Generate one word at a time sequentially*

*Not used to find best translation, but these methods have their uses → for efficiency reasons*

# Greedy Search is not optimal

$w_1$	<b>0.5</b>
$w_2$	0.4
$w_3$	0.05
$w_3$	0.02
$w_4$	0.01
$w_5$	0.02

$w_1$	0.1
$w_2$	0.2
$w_3$	<b>0.3</b>
$w_3$	0.1
$w_4$	0.1
$w_5$	0.2

Probability of best sequence  $w_1w_3 = 0.15$

$w_1$	0.5
$w_2$	<b>0.4</b>
$w_3$	0.05
$w_3$	0.02
$w_4$	0.01
$w_5$	0.02

$w_1$	0.1
$w_2$	<b>0.45</b>
$w_3$	0.2
$w_3$	0.15
$w_4$	0.08
$w_5$	0.02

Probability of best sequence  $w_2w_2 = 0.18$

$t_1$

$t_2$

# Beam Search

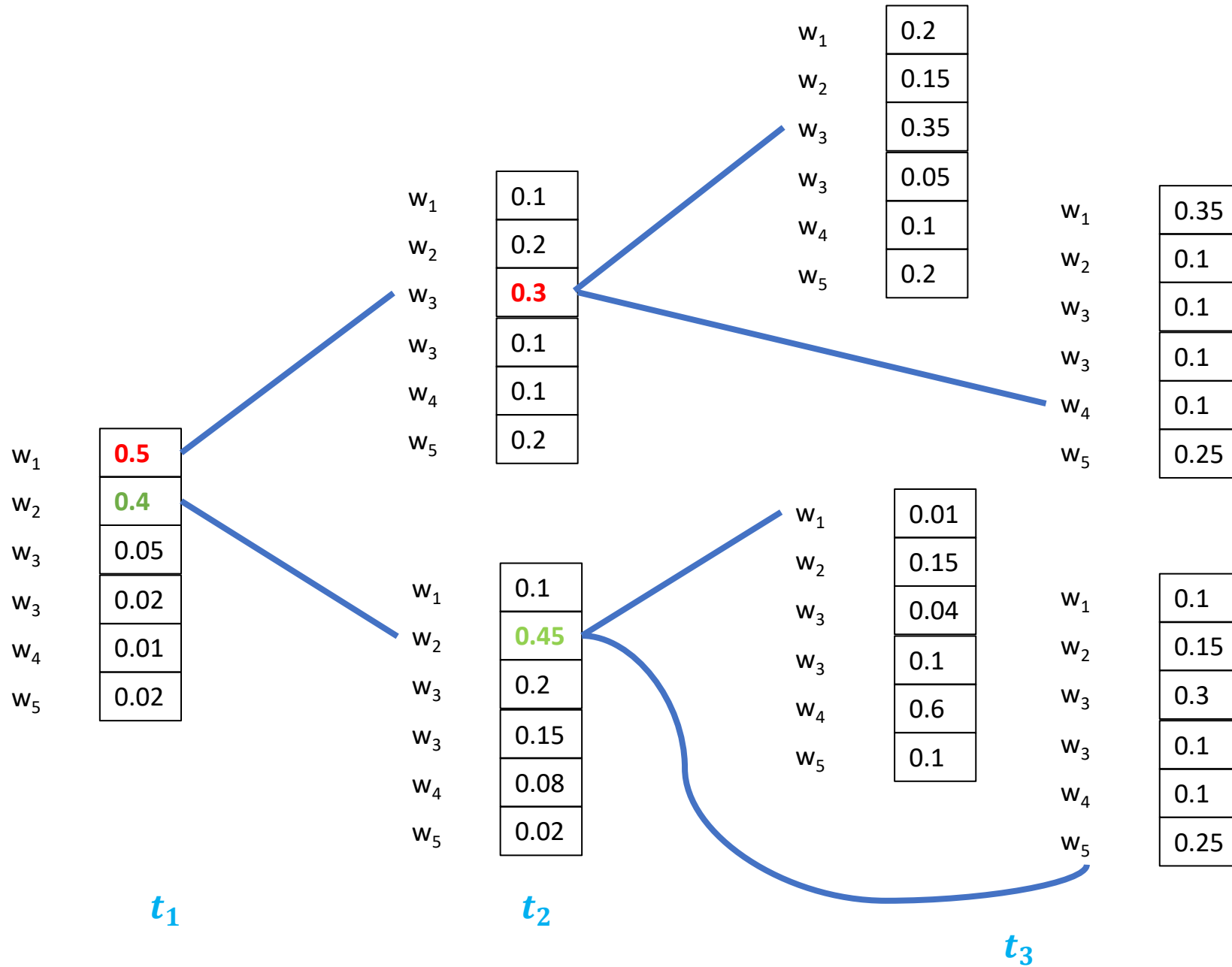
*A compromise solution between greedy decoding and exhaustive search*

- *Explores more translation candidates than greedy search*
- *More efficient than exhaustive search*

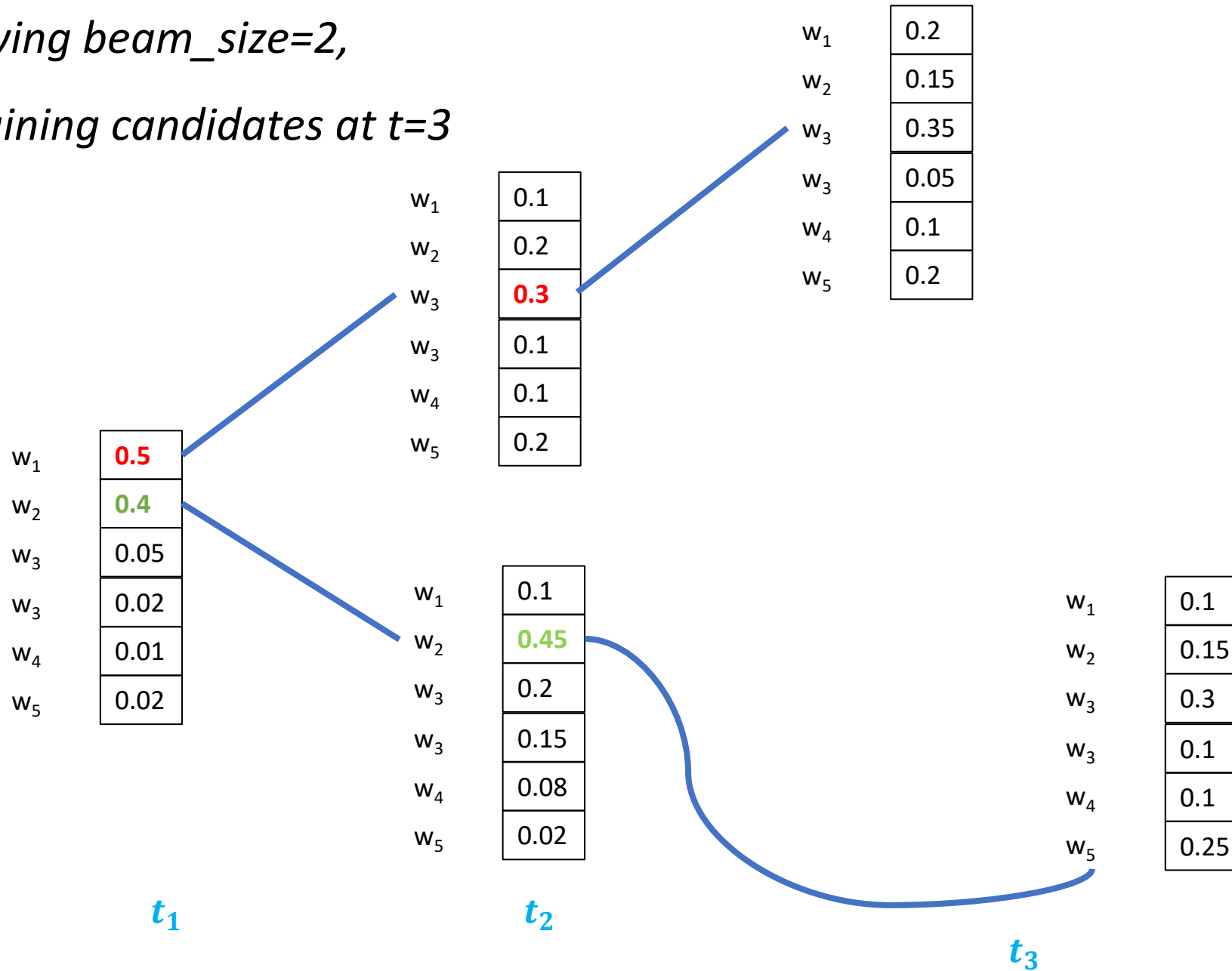
## **2 Core Ideas:**

- **Incremental** construction & scoring of translation candidate (one decoder time step at a time)
- At each decoder time step, **keep the k-most probable partial translations**
  - → these will be used for candidates expansion
- Not guaranteed to find optimal solution → search errors

<http://www.phontron.com/slides/nlp-programming-en-13-search.pdf>



Applying  $beam\_size=2$ ,  
 remaining candidates at  $t=3$



# *Beam search tends to prefer shorter translations*

*Normalize the hypothesis score by the hypothesis length*

$$S_{\text{LN}}(\mathbf{y}|\mathbf{x}) = \frac{\log P(\mathbf{y}|\mathbf{x})}{|\mathbf{y}|}$$

*Or similar methods which offer tunable parameter ( $\alpha$ ) for the length penalty*

$$S_{\text{LN-GNMT}}(\mathbf{y}|\mathbf{x}) = \log P(\mathbf{y}|\mathbf{x}) \frac{(1 + 5)^\alpha}{(1 + |\mathbf{y}|)^\alpha}$$

# Topics

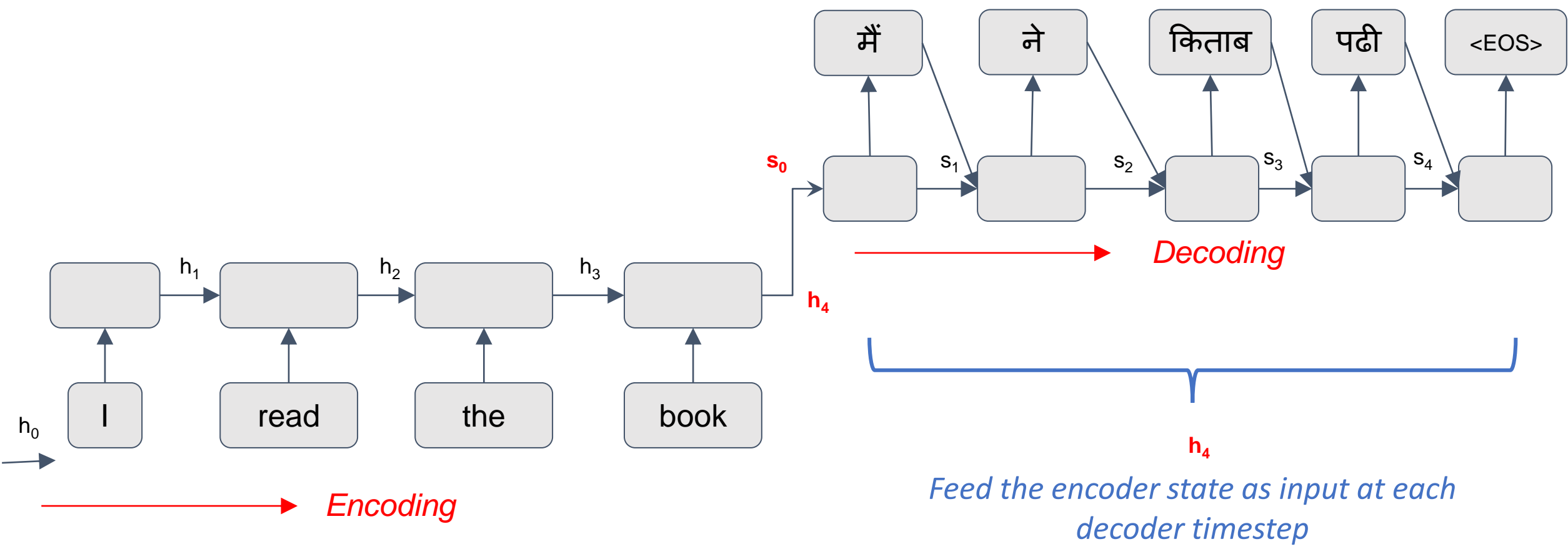
- *Why NMT?*
- *Encoder-Decoder Models*
- *Attention Mechanism*
- *Transformer Networks*
- *Backtranslation*
- *Subword-level Models*
- *Advanced Seq2Seq Modeling*

*The entire source sentence is represented by a single vector*

## **Problems**

- Insufficient to represent to capture all the syntactic and semantic complexities
  - *Solution: Use a richer representation for the sentences*
- Long-term dependencies: Source sentence representation not useful after few decoder time steps
  - *Solution: Make source sentence information when making the next prediction*





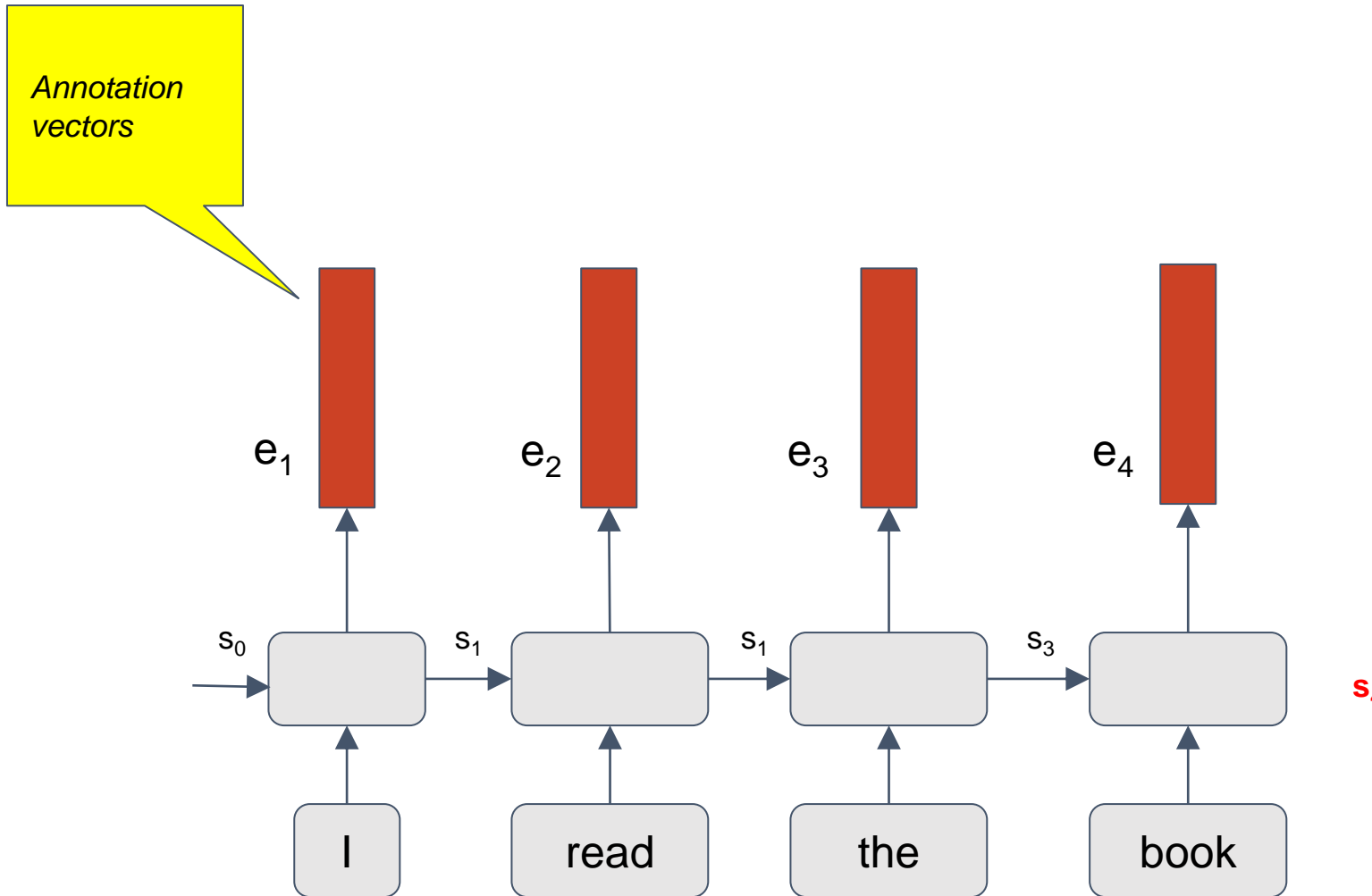
*The entire source sentence is represented by a single vector*

## Problems

- Insufficient to represent to capture all the syntactic and semantic complexities
  - *Solution: Use a richer representation for the sentences*
- Long-term dependencies: Source sentence representation not useful after few decoder time steps
  - *Solution: Make source sentence information when making the next prediction*
  - *Even better, make **RELEVANT** source sentence information available*

*These solutions motivate the next paradigm*

# Encode - Attend - Decode Paradigm



Represent the source sentence by the **set of output vectors** from the encoder

Each output vector at time  $t$  is a contextual representation of the input at time  $t$

Let's call these encoder output vectors **annotation vectors**

Bahdanau, Dzmitry, Kyunghyun Cho, and Yoshua Bengio. "Neural machine translation by jointly learning to align and translate." *ICLR 2015*.

<https://developer.nvidia.com/blog/introduction-neural-machine-translation-gpus-part-3/>

## *How can the annotation vectors help predicting the next output?*

### **Key Insight:**

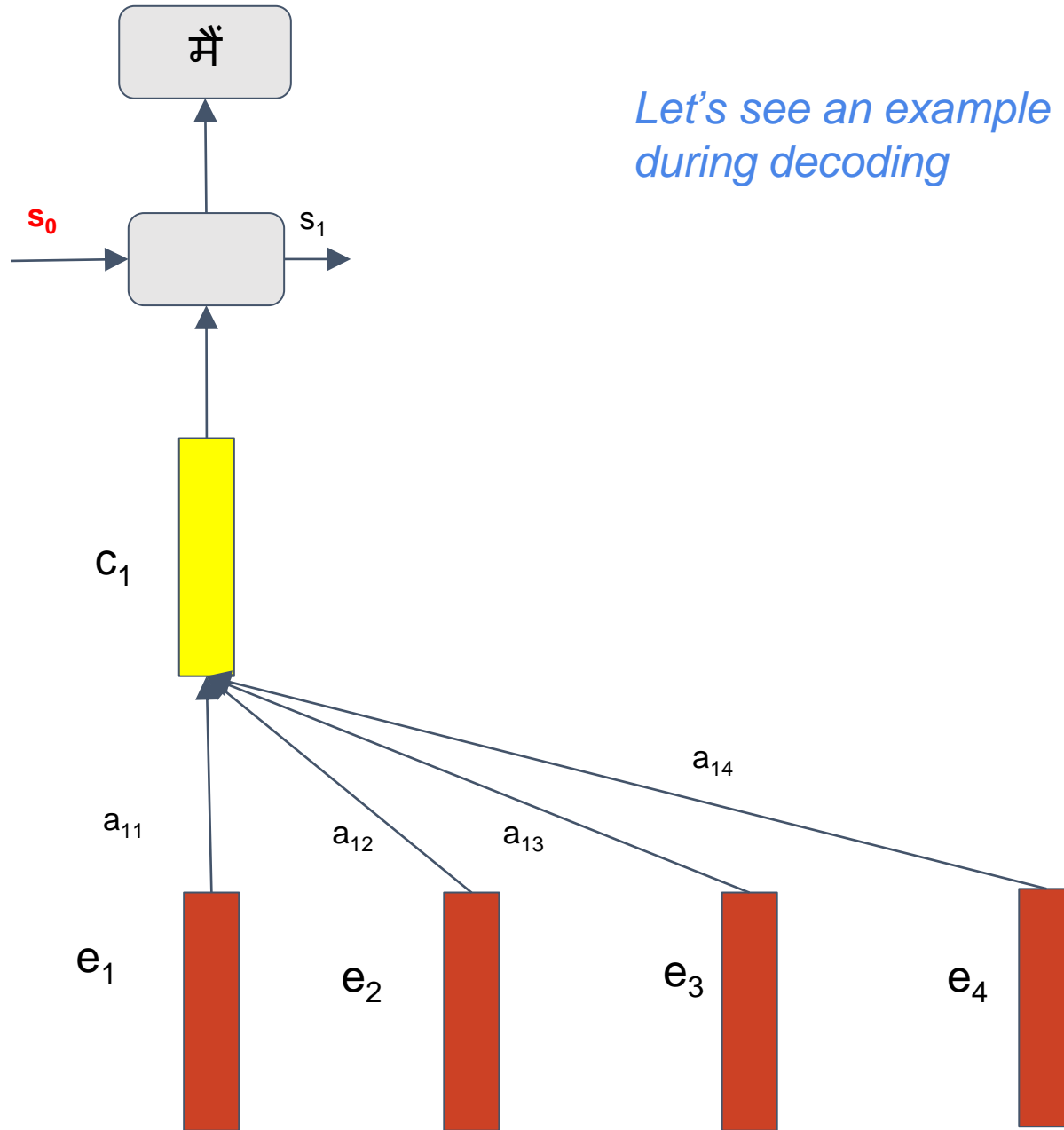
- (1) **Not all annotation vectors are equally important** for prediction of the next element
- (2) The annotation vector to use next depends on what has been generated so far by the decoder
  - eg. To generate the 3<sup>rd</sup> target word, the 3<sup>rd</sup> source word is most important

Context vector = **weighted average of the annotation vectors**

More weight to annotation vectors which need more **focus or attention**

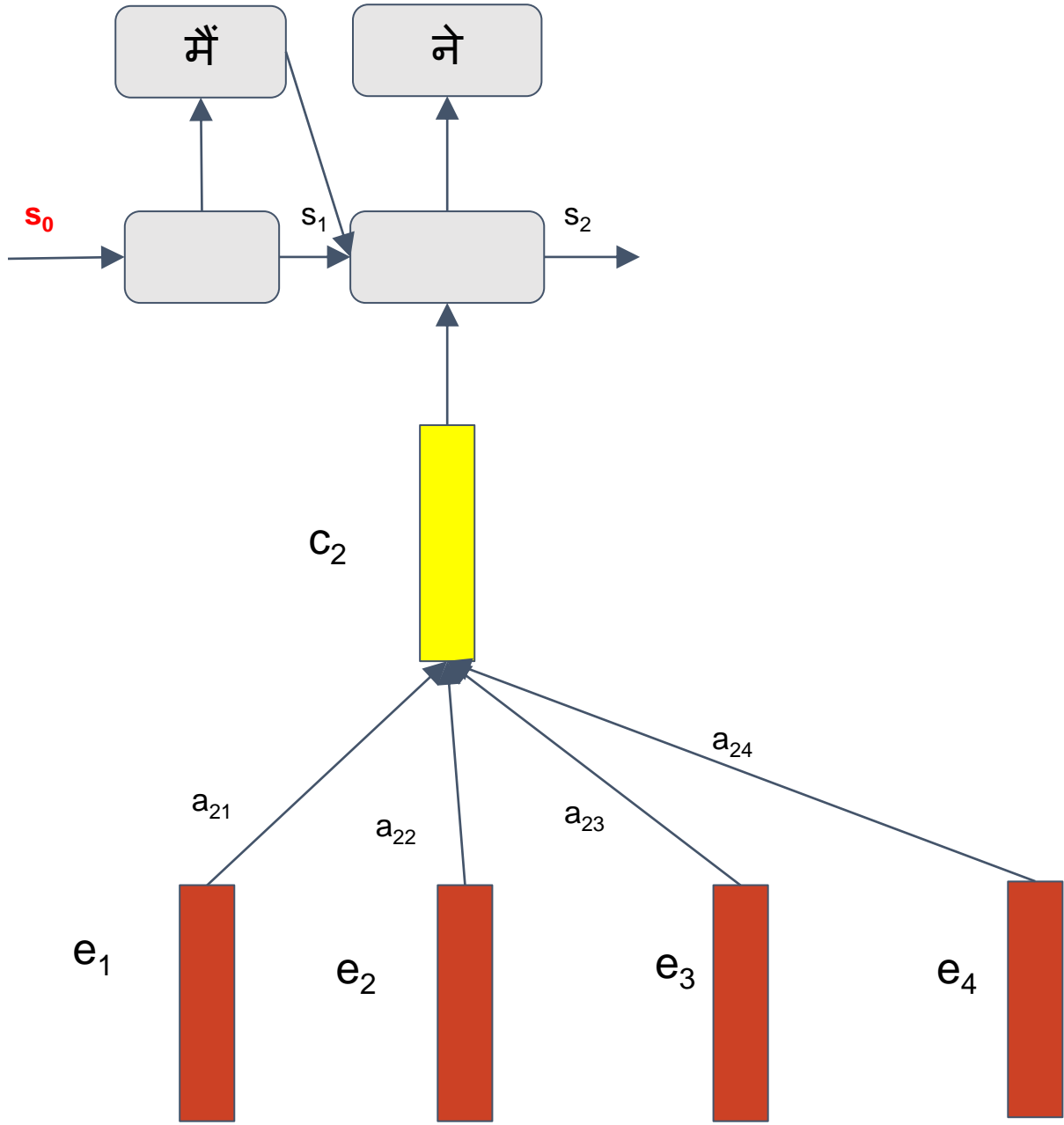
This averaged ***context vector*** is an input to the decoder

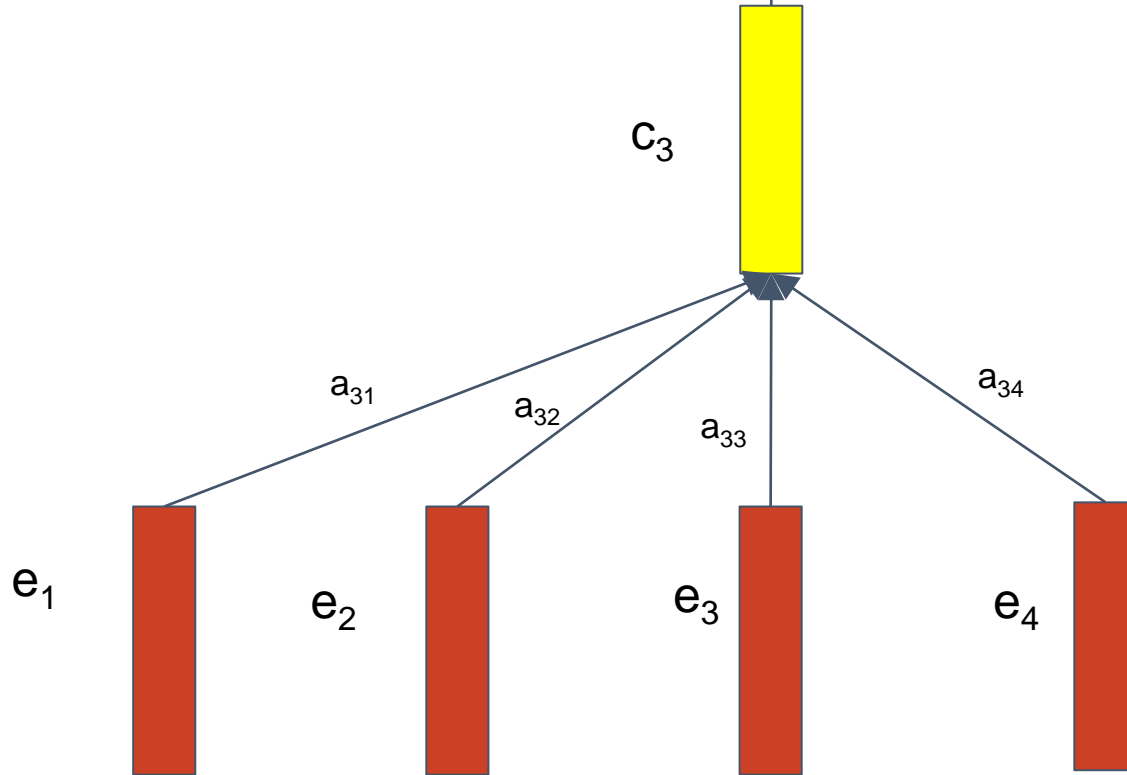
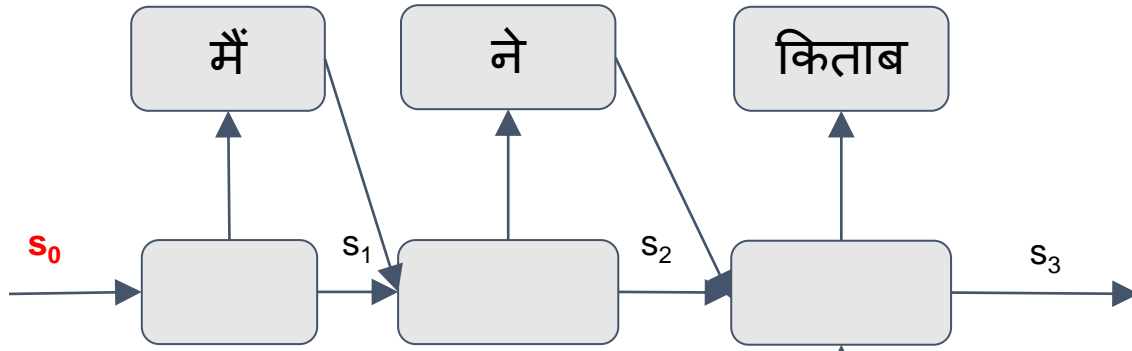
Let's see an example of how the **attention mechanism** works during decoding

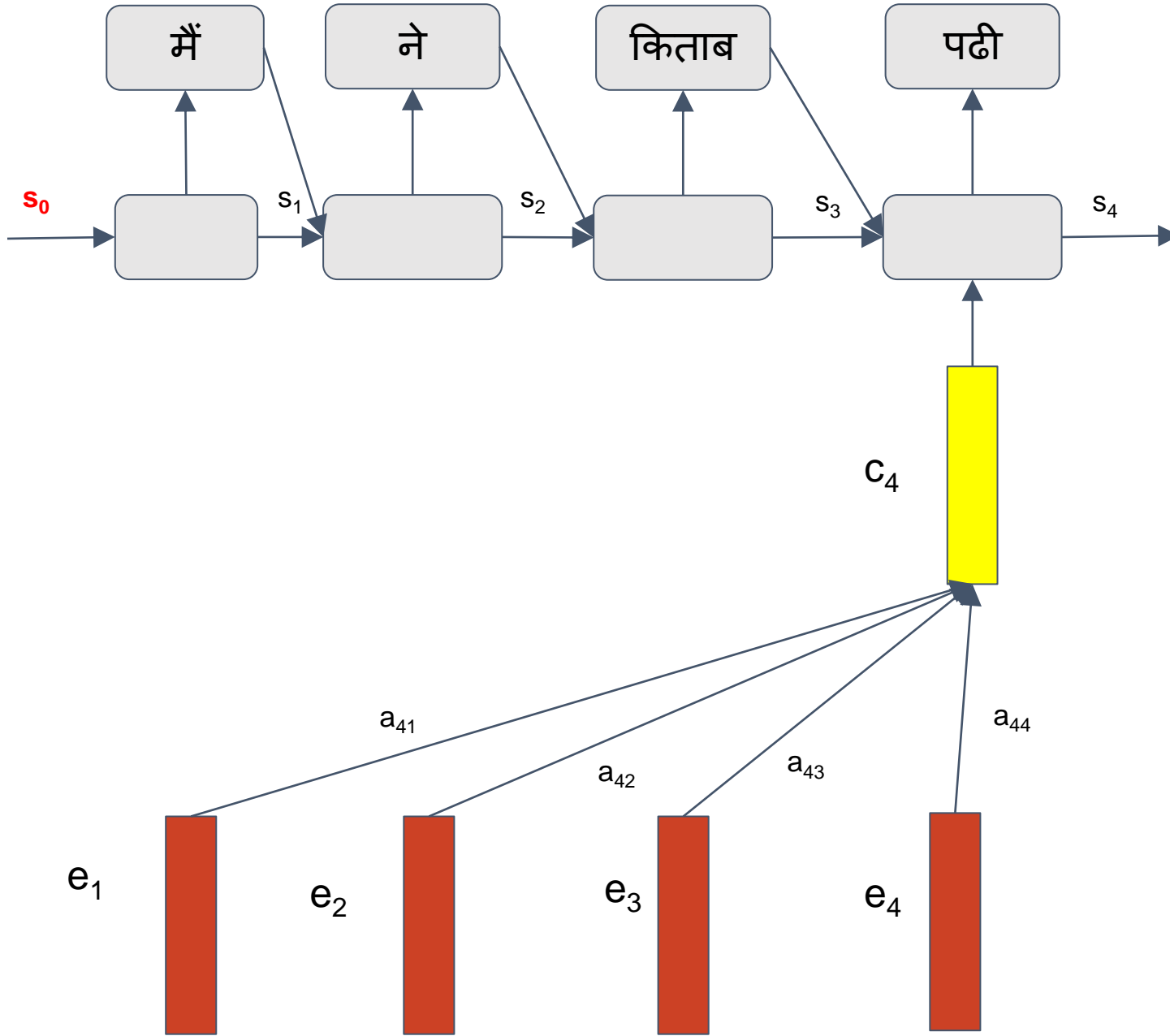


$$c_i = \sum_{j=1}^n a_{ij} e_j$$

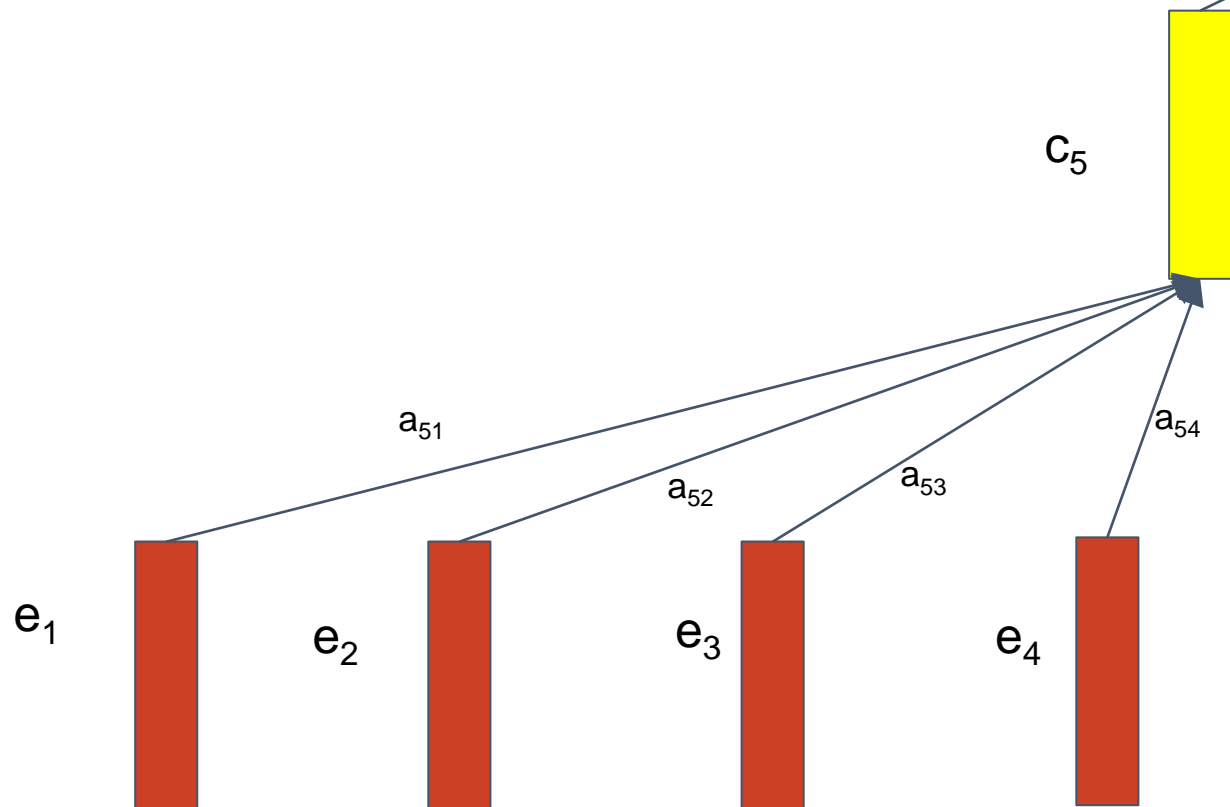
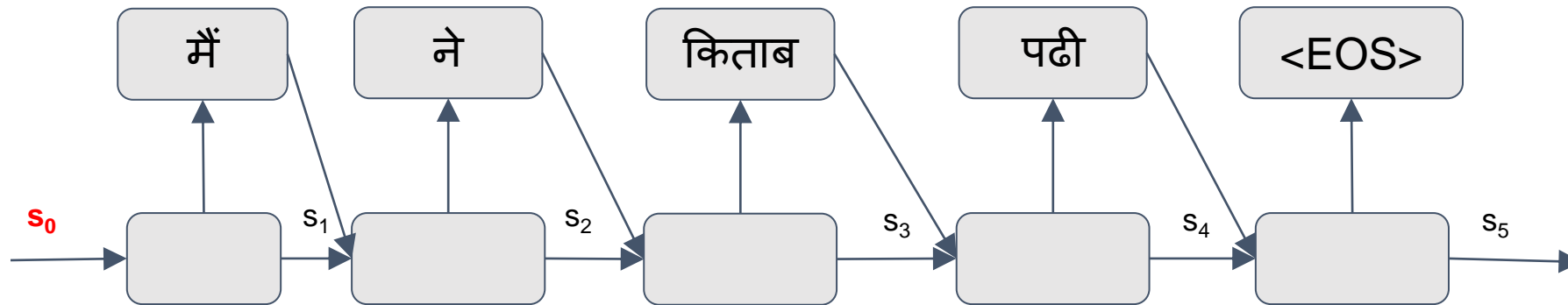
For generation of  $i^{\text{th}}$  output character:  
 $c_i$ : context vector  
 $a_{ij}$ : attention weight for the  $j^{\text{th}}$  annotation vector  
 $e_j$ :  $j^{\text{th}}$  annotation vector











# How do we find the attention weights?

*Let the training data help you decide!!*

**Idea:** Pick the attention weights that maximize the overall translation likelihood accuracy

Scoring function  $\mathbf{g}$  to match the encoder and decoder states

$$\alpha_{ij} = g(s_{j-1}, e_i, \mathbf{emb}(y_{j-1}))$$

$$a_{ij} = \frac{\exp(\alpha_{ij})}{\sum_{i=1}^{i=N} \exp(\alpha_{kj})}$$

$$c_j = \sum_{i=1}^{i=N} a_{ij} e_i$$

# How do we find the attention weights?

*Let the training data help you decide!!*

**Idea:** Pick the attention weights that maximize the overall translation likelihood accuracy

$$\alpha_{ij} = g(s_{j-1}, e_i, \mathbf{emb}(y_{j-1}))$$

**g** can be a feedforward network or a similarity metric like dot product

$$a_{ij} = \frac{\exp(\alpha_{ij})}{\sum_{i=1}^{i=N} \exp(\alpha_{kj})}$$

$$c_j = \sum_{i=1}^{i=N} a_{ij} e_i$$

## How do we find the attention weights?

*Let the training data help you decide!!*

**Idea:** Pick the attention weights that maximize the overall translation likelihood accuracy

$$\alpha_{ij} = g(s_{j-1}, e_i, \mathbf{emb}(y_{j-1}))$$

Normalize score to obtain  
attention weights

$$a_{ij} = \frac{\exp(\alpha_{ij})}{\sum_{i=1}^{i=N} \exp(\alpha_{kj})}$$

$$c_j = \sum_{i=1}^{i=N} a_{ij} e_i$$

# How do we find the attention weights?

*Let the training data help you decide!!*

**Idea:** Pick the attention weights that maximize the overall translation likelihood accuracy

$$\alpha_{ij} = g(s_{j-1}, e_i, \mathbf{emb}(y_{j-1}))$$

$$a_{ij} = \frac{\exp(\alpha_{ij})}{\sum_{i=1}^{i=N} \exp(\alpha_{kj})}$$

Final context vector is weighted average of encoder outputs

$$c_j = \sum_{i=1}^{i=N} a_{ij} e_i$$

Let us revisit what the decoder does at time step  $t$

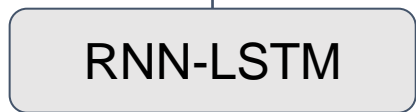
$$p(y_j = k | y_{<j}, \mathbf{x}; \theta) =$$



$\mathbf{O}_j$



$s_j$



$s_{j-1}$

$\text{emb}(y_{j-1})$

$c_j$

$$\text{softmax}(o_{jk}) = \frac{\exp(o_{jk})}{\sum_{m=0}^{m=T} \exp(o_{jm})}$$

$$\mathbf{o}_j = FF(s_j)$$

$$s_j = g(s_{j-1}, \text{emb}(y_{j-1}), \mathbf{c})$$

This captures  $y_{<j}$

This captures  $x$

# Choice of Attention Scoring Function

Feedforward :  $\alpha_{ij} = \mathbf{W}_a[e_j; s_i]$

Dot Product :  $\alpha_{ij} = s_i^T e_j$

Scaled Dot Product :  $\alpha_{ij} = \frac{s_i^T e_j}{\sqrt{|e_j|}}$

Multiplicative Attention :  $\alpha_{ij} = s_i^T \mathbf{W}_a e_j$

Additive Attention :  $\alpha_{ij} = \mathbf{W}_1 s_i + \mathbf{W}_2 e_j$

## *Attention is a general and important concept in Deep learning*

Given a set of **VALUES** → select a summary of the values that is relevant to a **QUERY**

Each **VALUE** represented by a **KEY** → the **QUERY** is matched to the **KEY** (content similarity)

Select a summary with different focus on different values → Weighted average

**Associative memory read + selection**

For MT

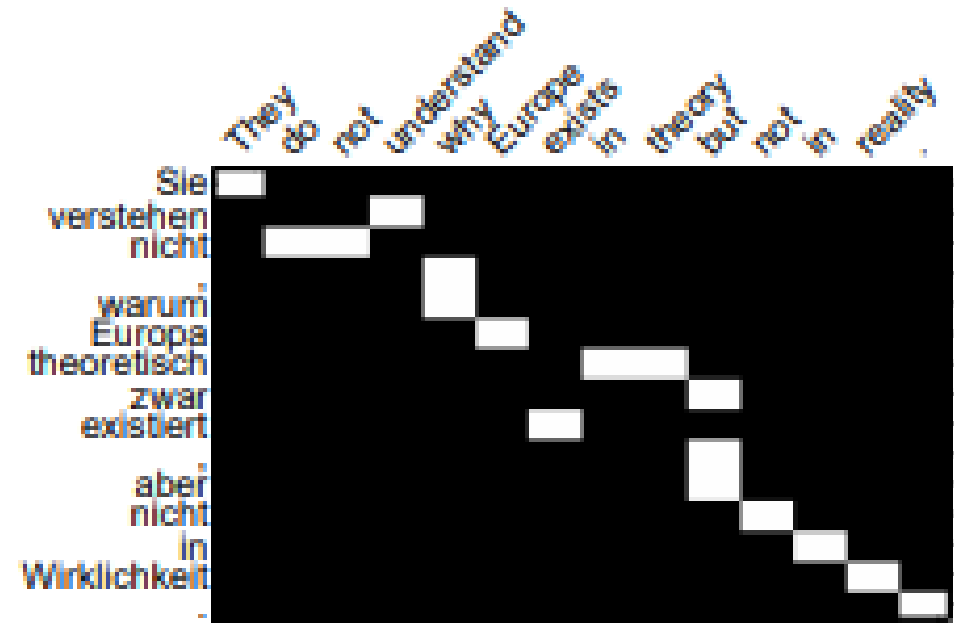
QUERY: decoder state

VALUE, KEY: encoder annotation vector



# Benefits of Attention

- Significant **improves in NMT quality**
  - Performs better on long sentences
  - Word-order is no longer a major issue for
  - Used in all NMT systems
- Attention provides **some interpretability**
  - Attention!=Alignment
- **There is more to attention**



<https://arxiv.org/pdf/1508.04025.pdf>

# ***Benefits of Neural MT***

## ~~***Limitations of SMT***~~

- *No end-to-end optimization → Single optimization objective that accounts for alignment, word reordering*
- *Divergent word-order is a big challenge → Attention mechanism*
- *n-gram LM not the best way to score translation fluency*
  - *Target conditional-RNN LM (no standalone LM)*
- *Model size is a function of the data size*
  - *Give architecture, model size is fixed*

# Topics

- *Why NMT?*
- *Encoder-Decoder Models*
- *Attention Mechanism*
- *Transformer Networks*
- *Backtranslation*
- *Subword-level Models*
- *Advanced Seq2Seq Modeling*

# Transformer Architecture

# Limitations of Recurrent Architectures

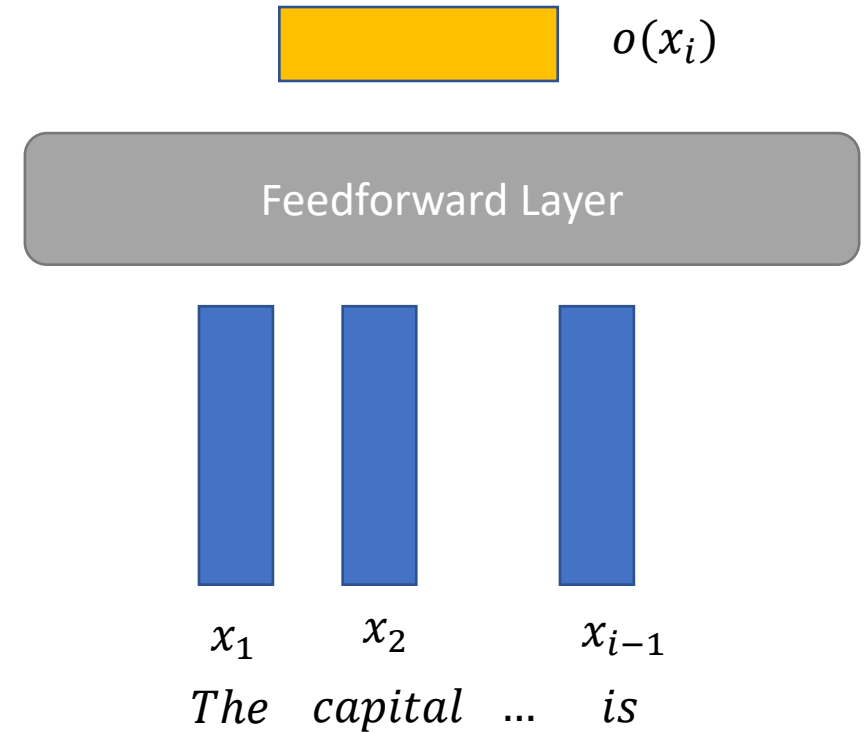
- Elements of a sequence have to be processed serially
  - Pro: Number of computations linear in the length of the sequence
  - Con: Encoding time  $\propto$  Length of sequence
- Not effective at modeling long-term dependencies

Revisiting idea → Compare every elements with all other elements

*We have already seen this ...*

*Feedforward Network can handle only a limited context*

*Can we approach this problem differently?*

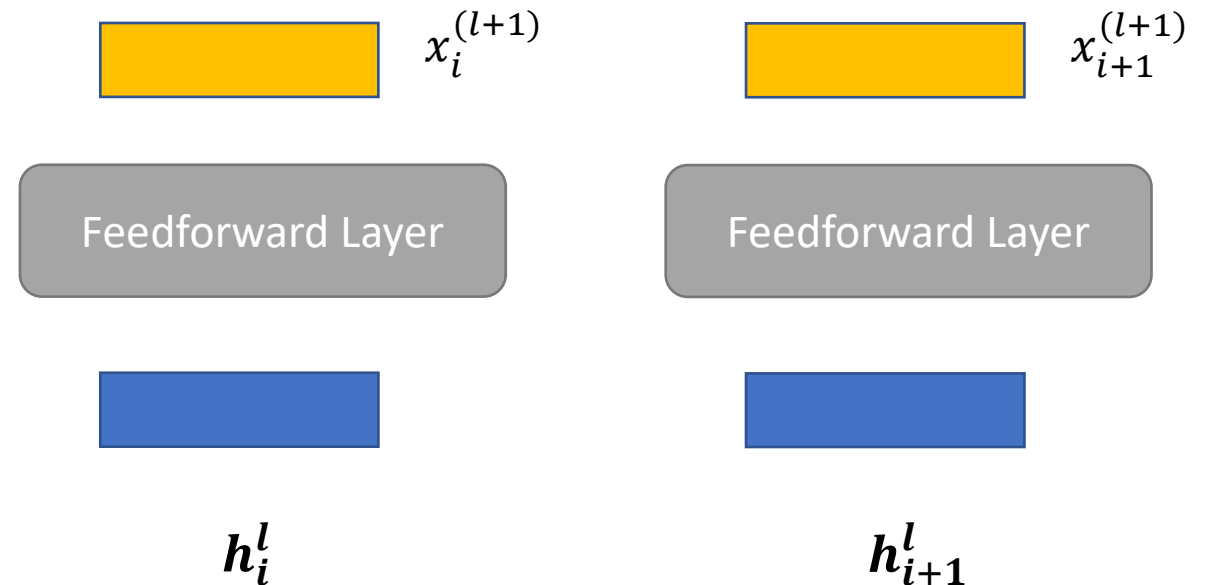


Revisiting idea → Compare every elements with all other elements

*Represent the input context as a weighted average of input word embeddings*

$$h_i^l = \sum_{i=1}^N w_i x_i$$

$$x_i^{l+1} = FF(h_i^l)$$



*How do we compute weights → Attention!*

*Non-recurrent → this operation can be applied in parallel to all elements in the sequence*

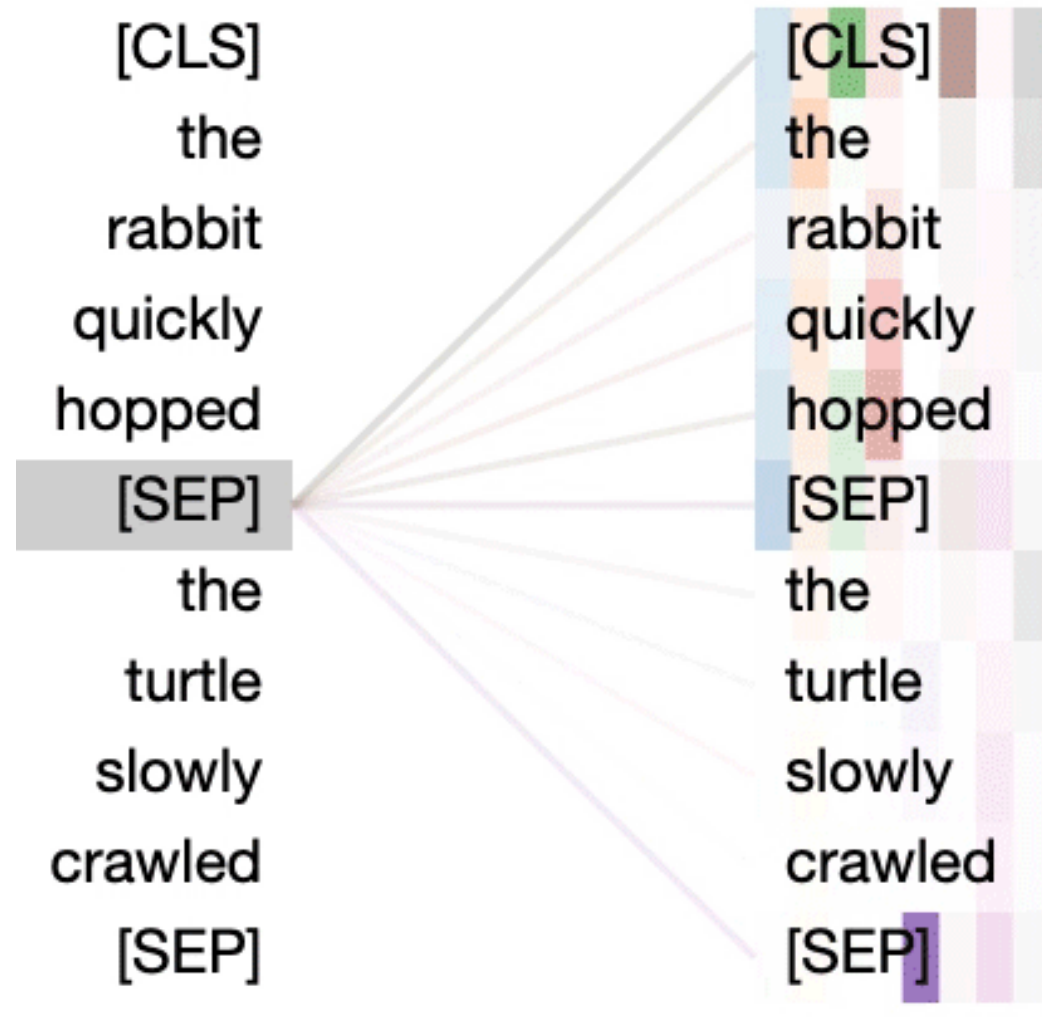
# Self-Attention

*Every word is compared to every other word in the same sentence*

$x_i \rightarrow$  query

$x_1, x_2, x_3 \dots x_n \rightarrow$  values

Direct comparison between arbitrary words  $\rightarrow$   
long-range dependencies can be better modelled

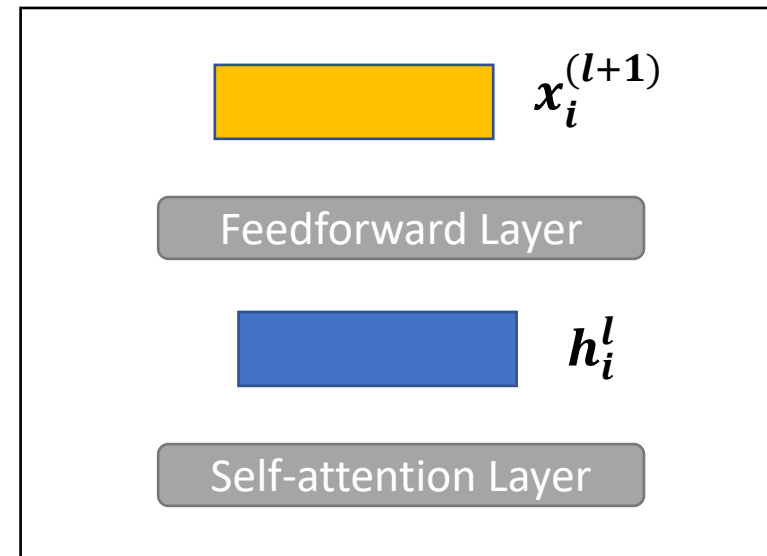
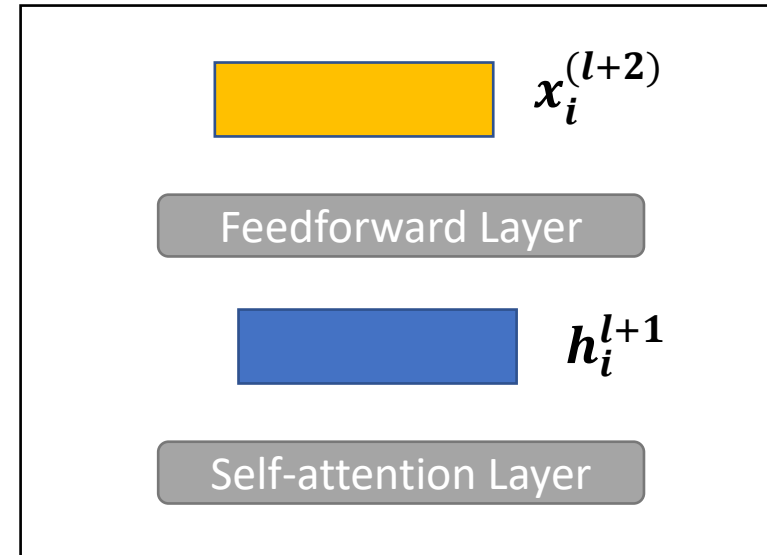


*More computations than Recurrent models:  $O(n^2)$*



# Transformer Architecture

Stack self-attention blocks to create deep networks



# Positional Embeddings

The ICICI *bank* branch is the *bank* of the river

The self-attention model has no notion of position,

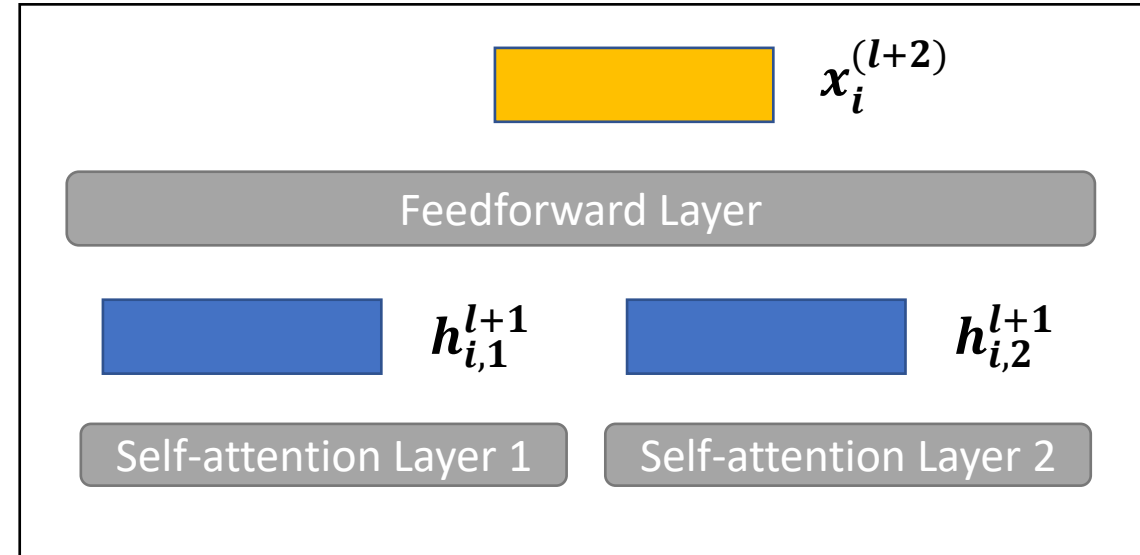
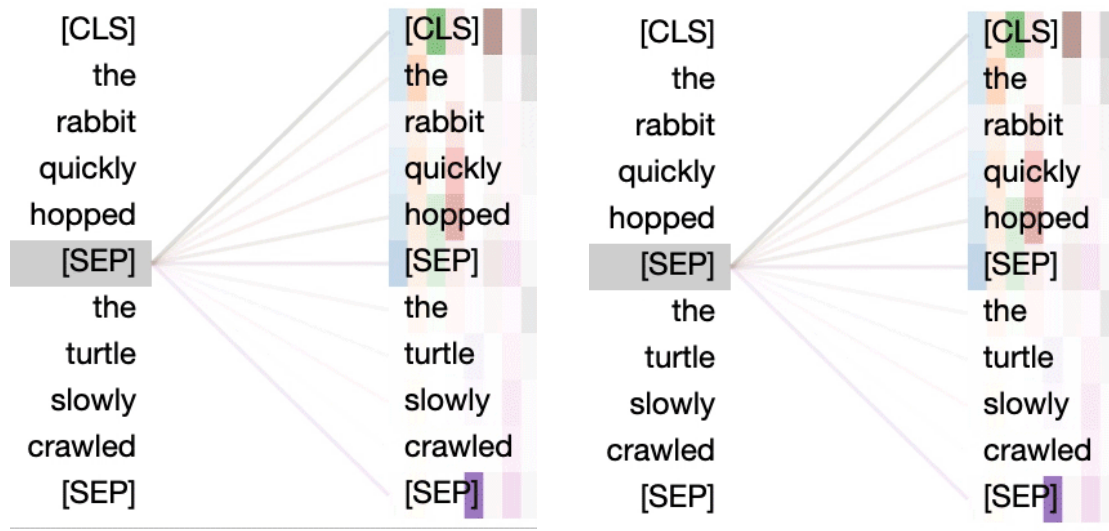
→ same words will have same representations irrespective of their position/syntactic role in the sentence

***Create positional embeddings that uniquely and deterministically identify a position***

***Add it to the word embedding at the bottom layer***

[https://kazemnejad.com/blog/transformer\\_architecture\\_positional\\_encoding/](https://kazemnejad.com/blog/transformer_architecture_positional_encoding/)

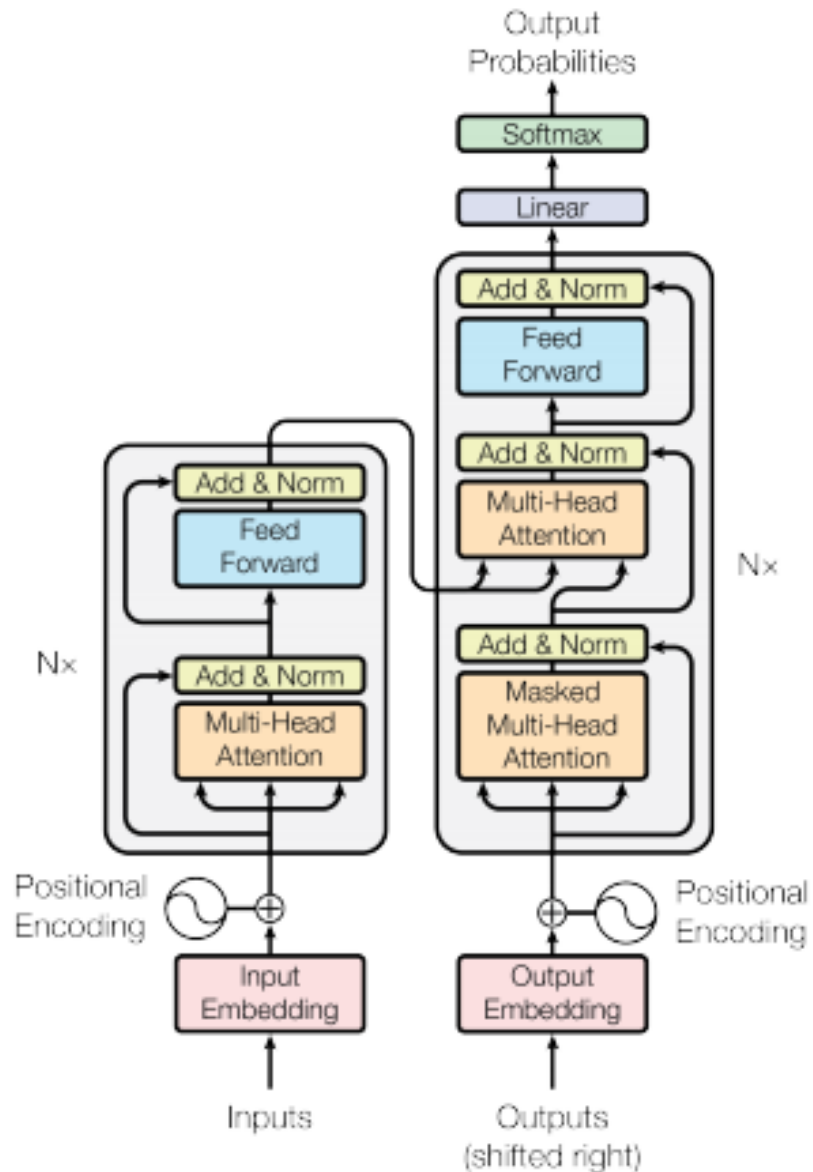
# Multiple self-attention heads



*Multiple self-attention networks at each layer*

*Each head learns different kinds of dependencies*

## Putting it all together



*Decoder layer also has a cross-attention layer*

*Decoder → masking for future time-steps while computing self-attention*

*There are residual connections & layer-normalization between layers*

<http://nlp.seas.harvard.edu/2018/04/03/attention.html>

<http://jalammar.github.io/illustrated-transformer/>

Vaswani, Ashish, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N. Gomez, Lukasz Kaiser, and Illia Polosukhin. "Attention is all you need." NeurIPS (2017).

*Transformer has led to tremendous advances in MT*

*Encoder architectures like BERT based on Transformer have yielded large improvements in NLU tasks*

*Transformer models are the de-facto standard models for many NLP tasks*

# Topics

- *Why NMT?*
- *Encoder-Decoder Models*
- *Attention Mechanism*
- *Transformer Networks*
- *Backtranslation*
- *Subword-level Models*
- *Advanced Seq2Seq Modeling*

*The models discussed so far do not use monolingual data*

*Can monolingual data help improve NMT models?*

# Backtranslation

Create pseudo-parallel corpus using Target to source model (**Backtranslated corpus**)

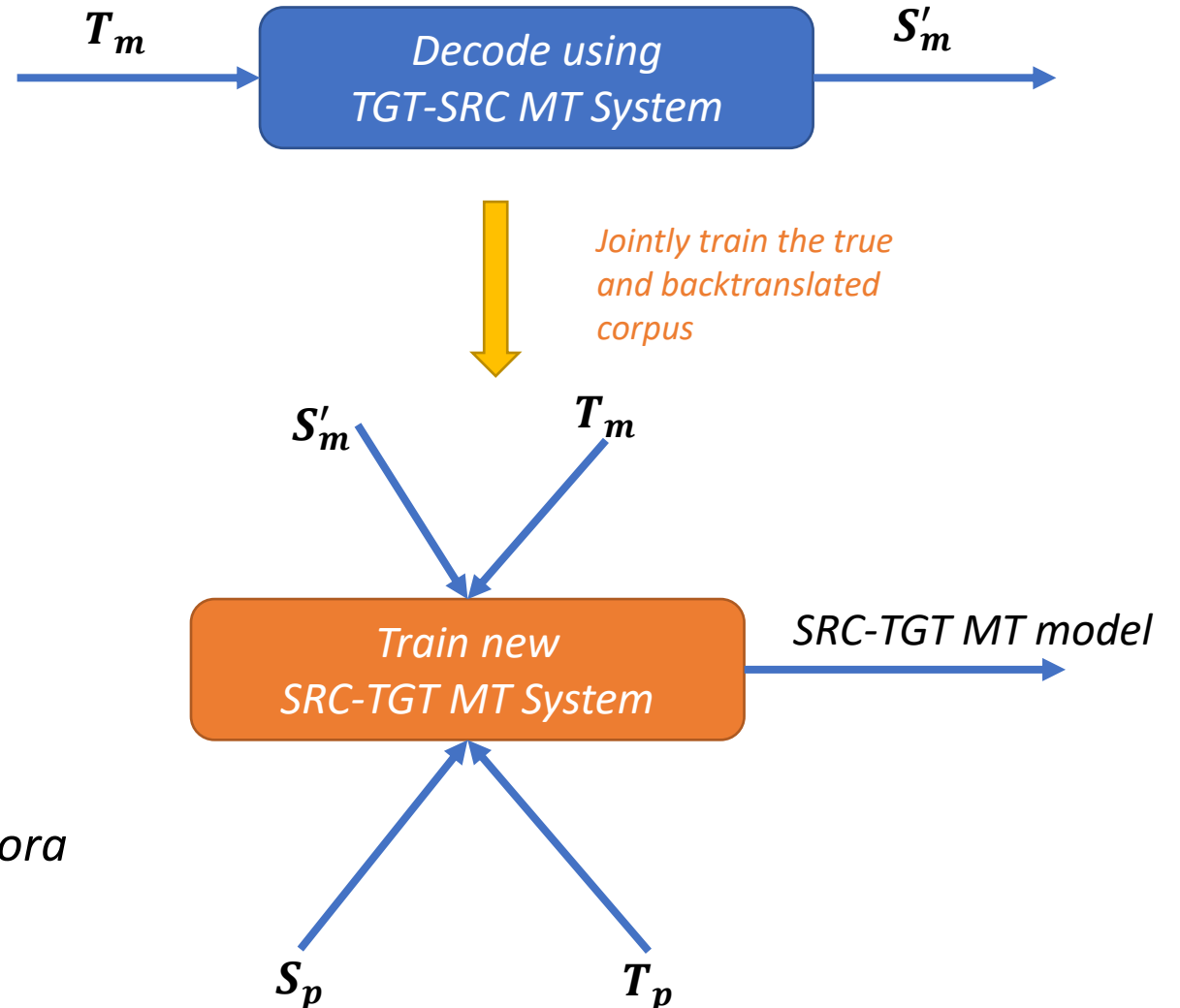
Need to find the right balance between true and backtranslated corpus

## Why is backtranslation useful?

- Target side language model improves
- target side is clean
- Adaptation to target language domain
- Prevent overfitting by exposure to diverse corpora

Particularly useful for low-resource languages

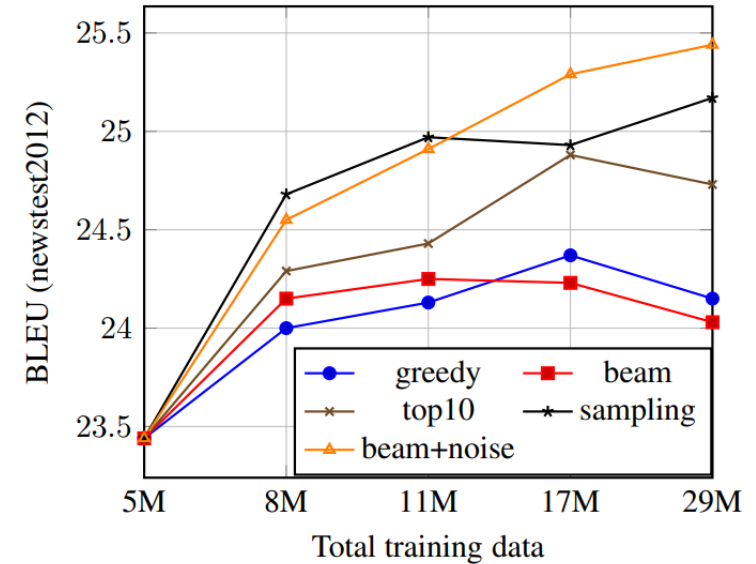
*monolingual target language corpus*





## Make backtranslation more diverse

- *Sampling*
- *Restricted Sampling*
- *Beam+noising*



## Make it easy for the model to distinguish between natural & synthetic input

*Tagged Backtranslation* →

*add a special token indicating that the input is synthetic*

Noise type	Example sentence
[no noise]	Raise the child, love the child.
P3BT	child Raise the, love child the.
NoisedBT	Raise child ___ love child, the.
TaggedBT	<BT> Raise the child, love the child.
TaggedNoisedBT	<BT> Raise, the child the ___ love.

*Tagged BT and Noised BT serve the same purpose → distinguishing inputs*

# Self Training

Create pseudo-parallel corpus using initial source to target model (*Forward translated corpus*)

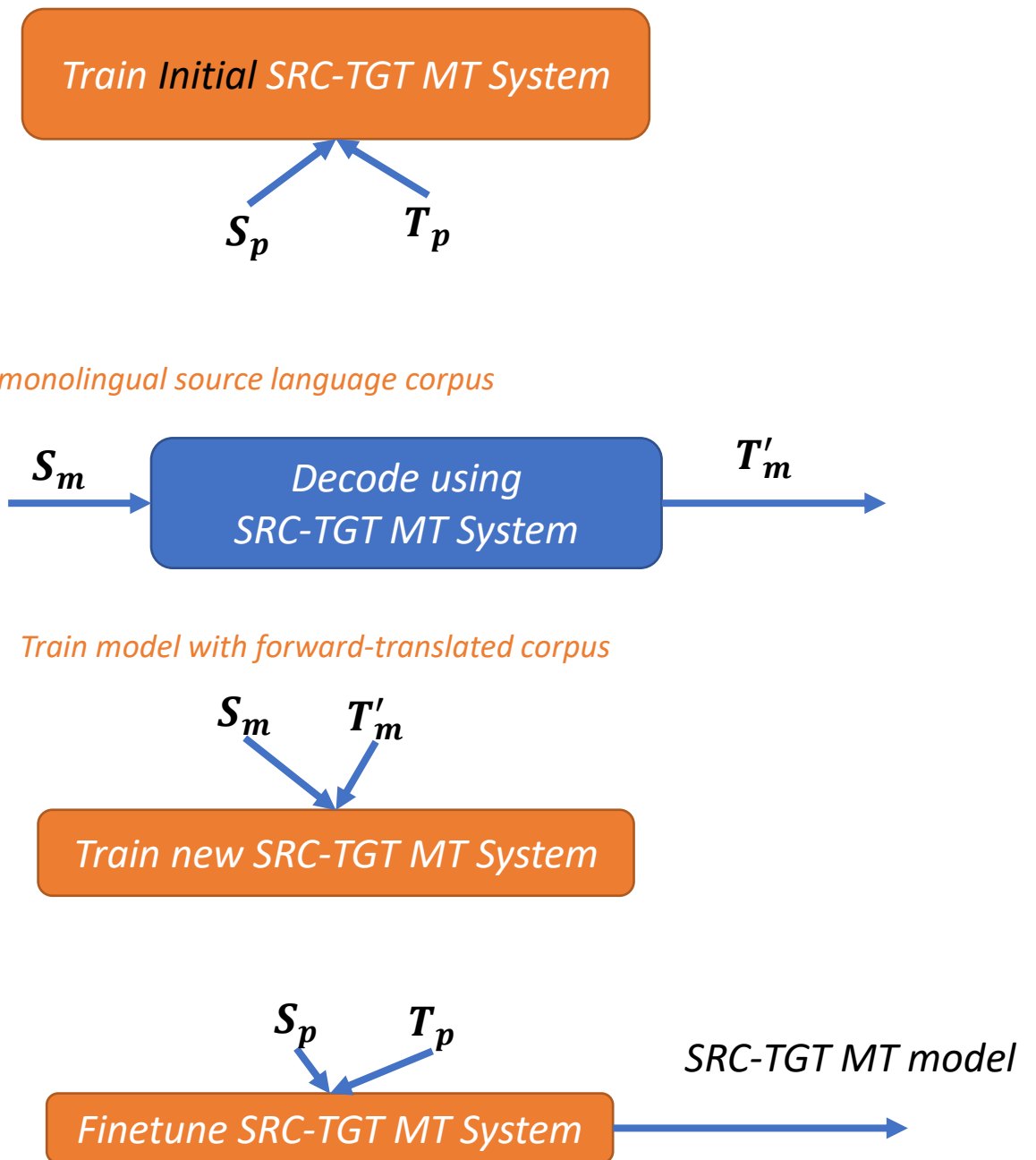
Target side of pseudo-parallel corpus is noisy

- Train the S-T mode on pseudo-parallel corpora
- Tune on true parallel corpora
- (Noising the input helps, use beam search)

**Why is self-training useful?**

- Noise plays an important role
- Adaptation to source language domain
- Prevent overfitting by exposure to diverse corpora

Works well if the initial model is reasonably good



# Topics

- *Why NMT?*
- *Encoder-Decoder Models*
- *Attention Mechanism*
- *Transformer Networks*
- *Backtranslation*
- *Subword-level Models*
- *Advanced Seq2Seq Modeling*

# *The Vocabulary Problem*

- **The input & output embedding layers are finite**
  - How to handle an open vocabulary?
  - How to translate named entities?
- **Softmax computation at the output layer is expensive**
  - Proportional to the vocabulary size

$$\text{softmax}(o_{jk}) = \frac{\exp(o_{jk})}{\sum_{m=0}^{m=T} \exp(o_{jm})}$$

# Subword-level Translation

Original sentence: प्रयागराज में 43 दिनों तक चलने वाला माघ मेला आज से शुरू हो गया है

Possible inputs to NMT system:

- प्रयाग @@राज में 43 दि @@नों तक चल @@ने वाला माघ मेला आज से शुरू हो गया है
- प्रयागराज \_में \_43 \_दिनों \_तक \_चलने \_वाला \_माघ मेला \_आज \_से \_शुरू \_हो \_गया \_है

Obvious Choices: Character, Character n-gram, Morphemes → They all have their flaws!

The New Subword Representations: Byte-Pair Encoding, Unigram (implemented in SentencePiece package)

Learn a fixed vocabulary & segmentation model from training data



Segment Training Data based on vocabulary



Train NMT system on the segmented model

{प्रयाग, राज, में दि, नों, तक, चल, ने}

vocabulary

{प्रयाग राज}  
{च ल}  
{चल, ने}

Segmentation model

प्रयाग@@राज में 43 दि@@नों तक चल@@ने वाला माघ  
मेला आज से शुरू हो गया है

- Every word can be expressed as a concatenation of subwords
- A small subword vocabulary has good representative power
  - 4k to 64k depending on the size of the parallel corpus
- Most frequent words should not be segmented

# Byte Pair Encoding

*Byte Pair Encoding is a greedy compression technique (Gage, 1994)*

Number of BPE merge operations=3

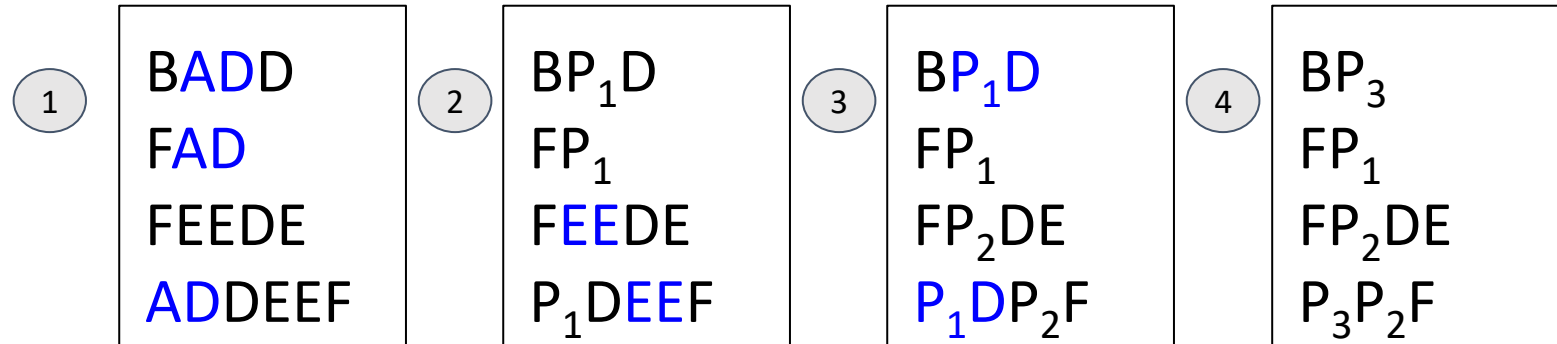
Vocab: A B C D E F

$P_1=AD$   $P_2=EE$   $P_3=P_1D$

Words to encode

BADD
FAD
FEED
ADDEEF

Iterations



Data-dependent segmentation

- Inspired from compression theory
- MDL Principle (*Rissanen, 1978*)  $\Rightarrow$  Select segmentation which maximizes data likelihood

# *Problems with subword level translation*

## **Unwanted splits:**

नाराज़ → ना राज़ → no secret

## **Problem is exacerbated for:**

- Named Entities
- Rare Words
- Numbers

## **Explore multiple subword segmentations**

- BPE dropout
- Unigram + subword-regularization



# Topics

- *Why NMT?*
- *Encoder-Decoder Models*
- *Attention Mechanism*
- *Transformer Networks*
- *Backtranslation*
- *Subword-level Models*
- *Advanced Seq2Seq Modeling*

# Advanced S2S Modeling

# ***Benefits of Neural MT***

## ***Opens-up new possibilities***

- *Multi-source translation models*
- *Transfer Learning*
- *Cross-lingual/Multilingual MNMT*
- *Unsupervised NMT*
- *Multimodal translation*
- *End-to-End Speech-to-Speech Translation*
- *Document-level Translation*

# Learning Word Alignments

Attention!=Alignment → Can we get good alignments with supervision?

Word-alignments from statistical aligner → train NMT with additional objectives

$$\mathcal{L}_\theta = \sum_{(\mathbf{x}, \mathbf{y}, \hat{\alpha}) \in \mathcal{C}} -\log p(\mathbf{y}|\mathbf{x}; \theta) + \lambda \times \Delta(\alpha, \hat{\alpha})$$

$\Delta$  measures the distance between true alignment ( $\hat{\alpha}$ ) and attention weights ( $\alpha$ )

$\Delta$  can be modelled using least square error, cross entropy, etc.

1. Liu, L., Utiyama, M., Finch, A., & Sumita, E. Neural machine translation with supervised attention. *COLING 2016*.
2. Zenkel, T., Wuebker, J., & DeNero, J. End-to-end neural word alignment outperforms GIZA++. *ACL 2020*.

# *Sequence level training objectives*

## *Problems with Maximum Likelihood Estimation*

- *Exposure bias: Models are exposed to training data, not model predictions during training*
- *Word-level objective that does not correspond to MT quality metrics*

*Solution: Directly optimize evaluation metrics with model predicted outputs*

*Evaluation metrics: BLEU, TER, etc. ... more on that later*

## Maximum Likelihood Estimation

$$\hat{\theta}_{\text{MLE}} = \operatorname{argmax}_{\theta} \left\{ \mathcal{L}(\theta) \right\},$$

## Minimum Risk Training

$$\hat{\theta}_{\text{MRT}} = \operatorname{argmin}_{\theta} \left\{ \mathcal{R}(\theta) \right\}.$$

where

$$\begin{aligned} \mathcal{L}(\theta) &= \sum_{s=1}^S \log P(\mathbf{y}^{(s)} | \mathbf{x}^{(s)}; \theta) \\ &= \sum_{s=1}^S \sum_{n=1}^{N^{(s)}} \log P(\mathbf{y}_n^{(s)} | \mathbf{x}^{(s)}, \mathbf{y}_{<n}^{(s)}; \theta). \end{aligned}$$

$$\begin{aligned} \mathcal{R}(\theta) &= \sum_{s=1}^S \mathbb{E}_{\mathbf{y} | \mathbf{x}^{(s)}; \theta} \left[ \Delta(\mathbf{y}, \mathbf{y}^{(s)}) \right] \\ &= \sum_{s=1}^S \sum_{\mathbf{y} \in \mathcal{Y}(\mathbf{x}^{(s)})} P(\mathbf{y} | \mathbf{x}^{(s)}; \theta) \Delta(\mathbf{y}, \mathbf{y}^{(s)}) \end{aligned}$$

Difficult to  
enumerate all  
translations

Sample Translations

# Modeling Coverage in Translation

Attention weights Computation ignores past attention weights



Under-translation and over-translation

$$\alpha_{ij} = g(s_{j-1}, e_i, \mathbf{emb}(y_{j-1}))$$

Coverage vector to keep track if source has been translated

$$\mathbb{C}_{i,j} = f(s_{j-1}, e_i, \alpha_{ij}, \mathbb{C}_{i,j-1})$$

Coverage vector also updated in end-to-end training

$$\alpha_{ij} = g(s_{j-1}, e_i, \mathbf{emb}(y_{j-1}), \mathbb{C}_{i,j})$$

# *Pointer Generator Networks*

Lionel Messi won his first internal trophy for Argentina.

Lionel Messi ganó su primer trofeo interno para Argentina.

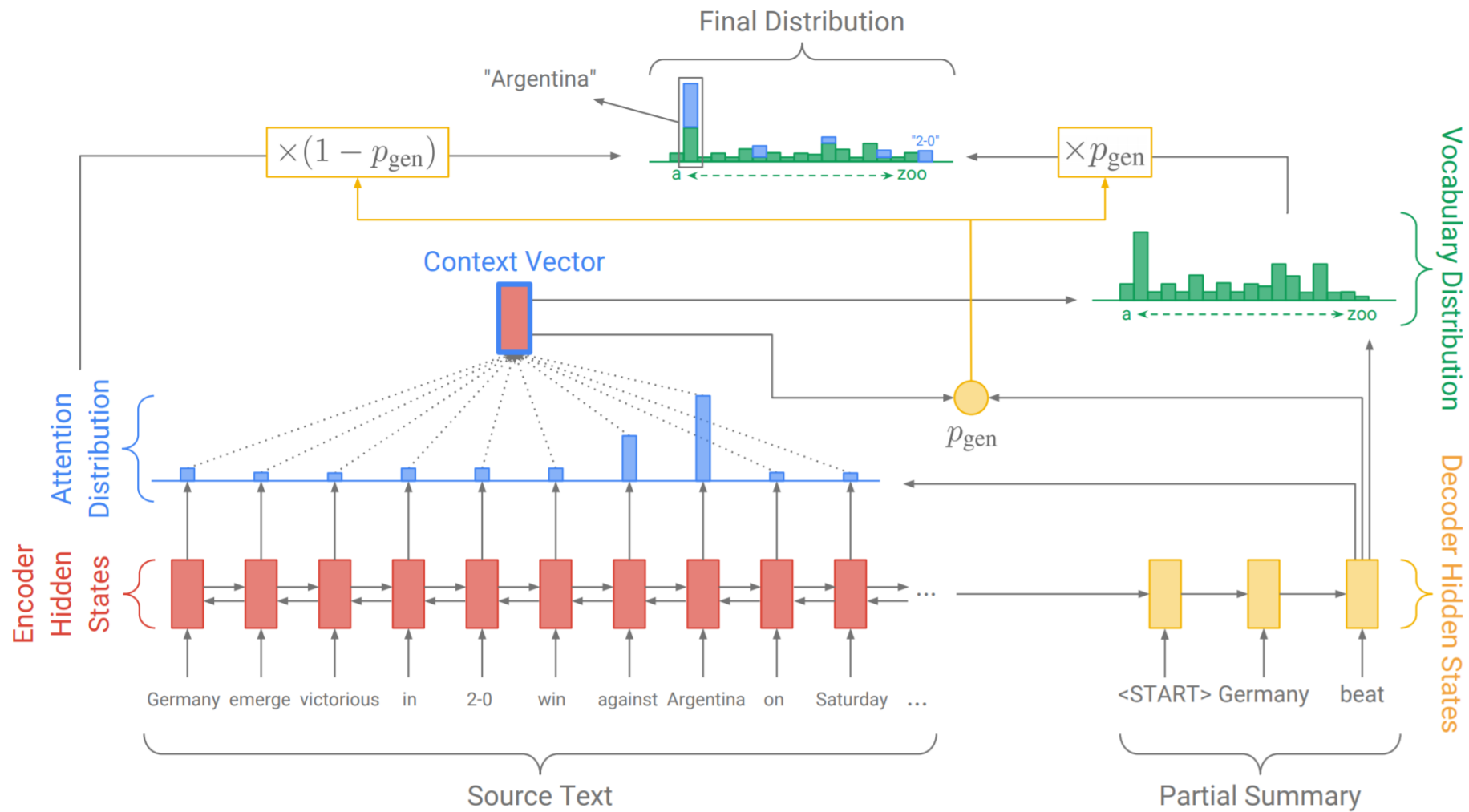
We want some parts of the sentence to be copied, some to be translated  
Can the network automatically learn to do this?



$$P(w) = p_{\text{gen}}P_{\text{vocab}}(w) + (1 - p_{\text{gen}}) \sum_{i:w_i=w} a_i^t$$

$p_{\text{gen}}$ : probability of generating a word from the output vocab

High for a word to be translated, low for a word to be copied

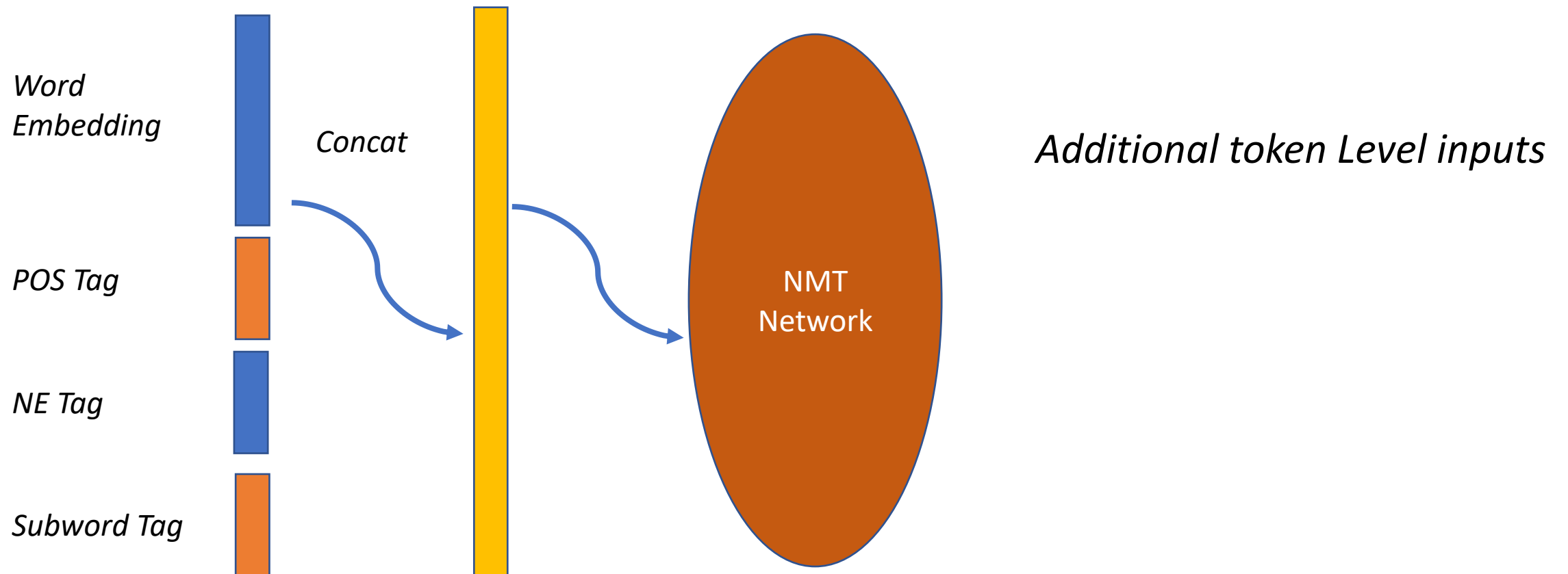


$$p_{gen}^j = f(c_j, s_{j-1}, emb(y_{j-1})):$$

# Factor-based NMT

Input to the NMT systems is just a sequence of (sub)word embeddings?

Can we provide richer input → more input features?



# Control tags in the input stream

*Special tokens in the input stream to guide to the decoder's generation*

**Target language**      *<to\_hindi> Argentina won the Copa America tournament.*

**Domain**                *<finance> The bull run is expected to continue for the next three weeks.*

**Style**                  *<codemix> <hi> He plays the violin very well.*

*These are soft-constraints on the decoder*

*Training data has to be augmented to understand these special tokens*

1. Sennrich, Rico, Barry Haddow, and Alexandra Birch. *Controlling politeness in neural machine translation via side constraints*. NAACL 2016.
2. Johnson, Melvin, et al. "Google's multilingual neural machine translation system: Enabling zero-shot translation." *TACL* 2017.

# Multiple input sequences

Some problems need multiple input sequence

**Multi-source translation**  
(language1, language2)



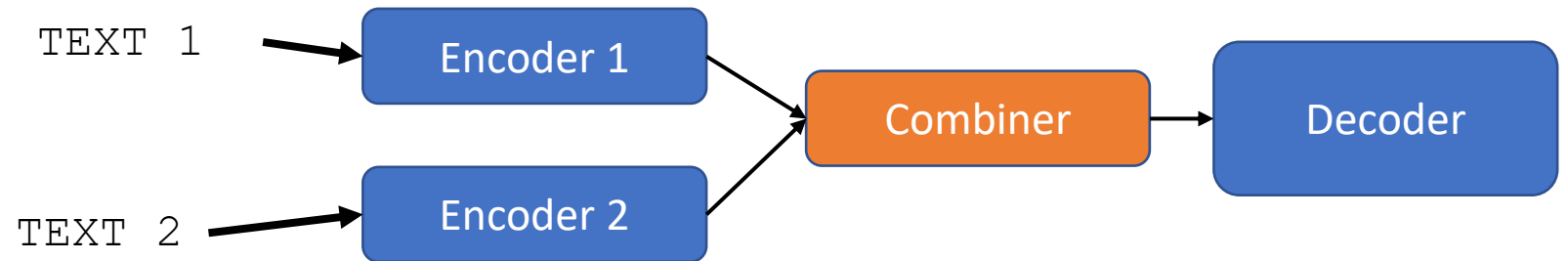
language3

**Automatic Post-editing**  
(source, MT output)

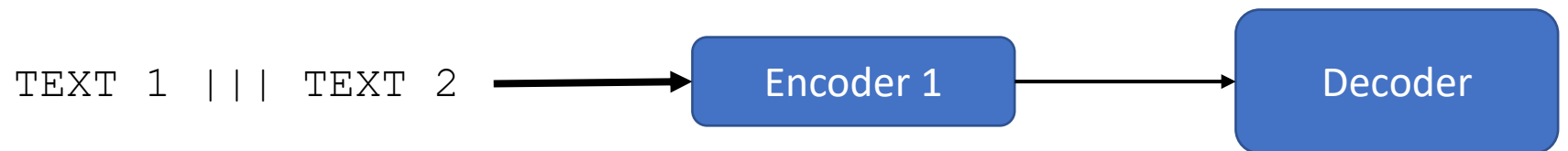


Post-edited output

## Multiple encoders



## Concat inputs

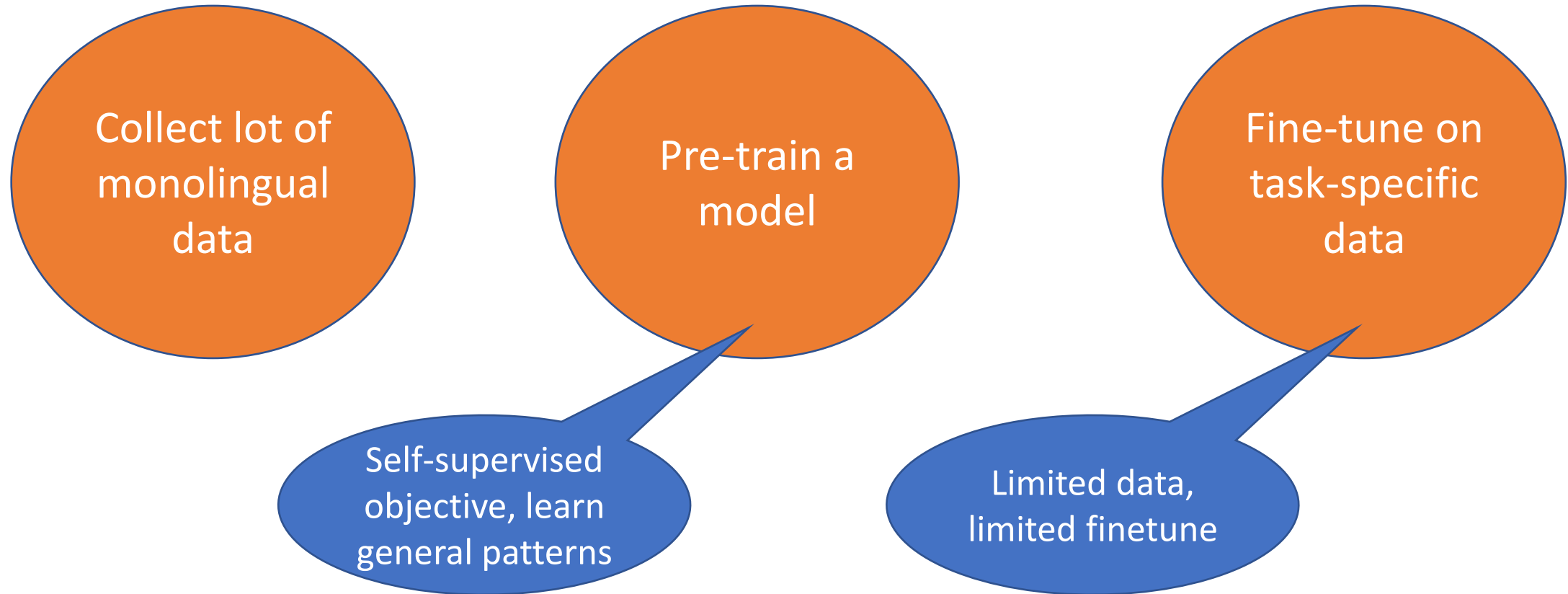


1. Zoph, Barret and Knight, Kevin. Multi-Source Neural Translation. NAACL 2017.

2. Raj Dabre, Fabien Cromieres, Sadao Kurohashi. Enabling multi-source neural machine translation by concatenating source sentences in multiple languages. Preprint. 2017.

# Sequence to Sequence Pre-training

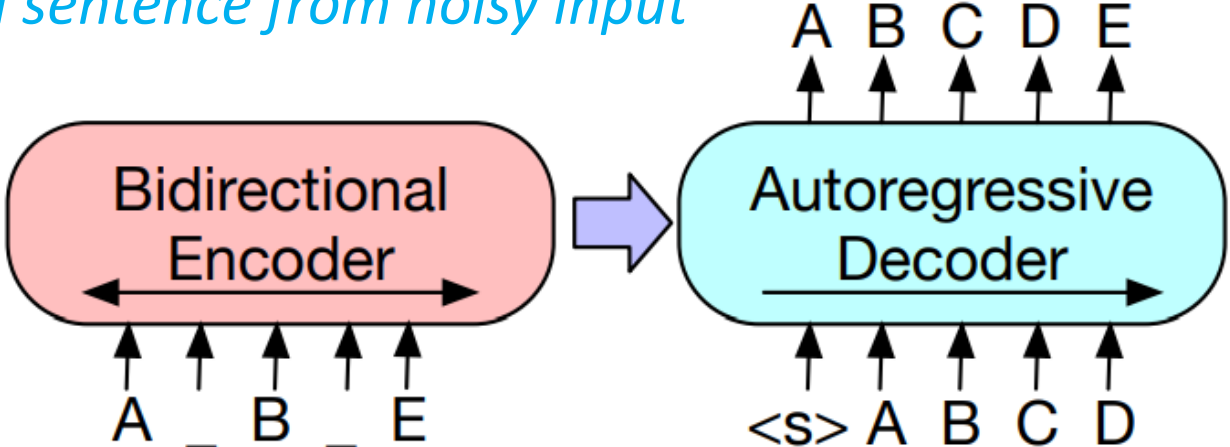
*Pre-training has been central to the success of deep-learning based NLP*



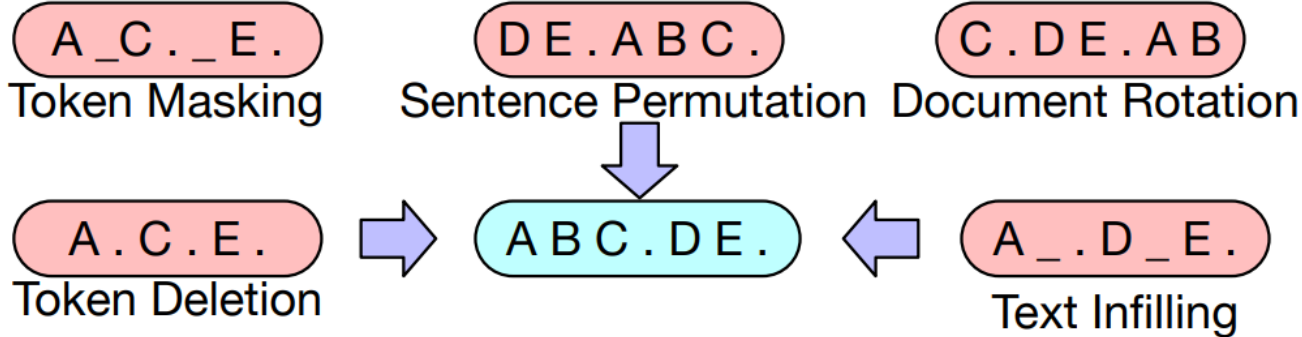
*What is the pre-training equivalent to BERT for sequence to sequence models?*

# Denoising Sequence-to-Sequence Pre-training

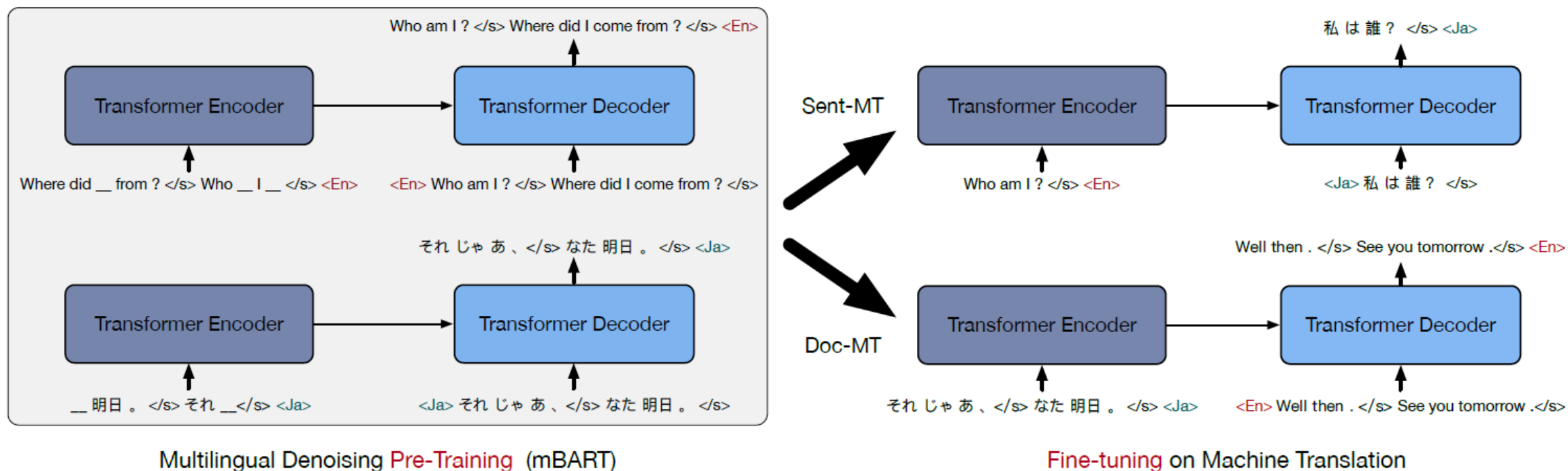
*Reconstruct a sentence from noisy input*



*Different kinds of noise can be added → Span Token masking is most popular*



# Multilingual pre-training for sequence to sequence models





# Outline

- Introduction
- Statistical Machine Translation
- Neural Machine Translation
- **Evaluation of Machine Translation**
- Multilingual Neural Machine Translation

# Evaluation of Machine Translation

# Evaluation of MT output

- How do we judge a good translation?
- Can a machine do this?
- Why should a machine do this?
  - Because human evaluation is time-consuming and expensive!
  - Not suitable for rapid iteration of feature improvements

# Dimensions of MT Evaluation

- Human evaluation vs. automated metrics
- Quality assessment at sentence (segment) level vs. system level vs. task-based evaluation
- “Black-box” vs. “Glass-box” evaluation

# What is a good translation?

Evaluate the quality with respect to:

- **Adequacy:** How good the output is in terms of preserving content of the source text
- **Fluency:** How good the output is as a well-formed target language entity

**For example,** I am attending a lecture

मैं एक व्याख्यान बैठा हूँ  
*Main ek vyaakhyan baitha hoon*  
*I a lecture sit (Present-first person)*  
*I sit a lecture : Adequate but not fluent*

मैं व्याख्यान हूँ  
*Main vyakhyan hoon*  
*I lecture am*  
*I am lecture: Fluent but not adequate.*

# Human Evaluation

## Direct Assessment

How do you rate your Olympic experience?

— Reference

How do you value the Olympic experience?

— Candidate translation

**Adequacy:** Is the meaning translated correctly?

**Fluency:** Is the sentence grammatically valid?

### Adequacy

5 = All

4 = Most

3 = Much

2 = Little

1 = None

### Fluency

5 = Flawless

4 = Good

3 = Non-native

2 = Disfluent

1 = Incomprehensible

## Ranking Translations

Appraise Overview Status cfedermann

Până la mijlocul lui iulie, procentul a urcat la 40%. La începutul lui august, era 52%.  
— Source

By mid-July, it was 40 percent. In early August, it was 52 percent.  
— Reference

Best ← Rank 1 Rank 2 Rank 3 Rank 4 Rank 5 → Worst

Until the middle of July, the percentage rose to 40%.

Best ← Rank 1 Rank 2 Rank 3 Rank 4 Rank 5 → Worst

Until mid-July, the percentage rose to 40%.

Best ← Rank 1 Rank 2 Rank 3 Rank 4 Rank 5 → Worst

By mid-July, the percentage climbed to 40 per cent.

Best ← Rank 1 Rank 2 Rank 3 Rank 4 Rank 5 → Worst

Until mid-July, the percentage climbed to 40%.

Best ← Rank 1 Rank 2 Rank 3 Rank 4 Rank 5 → Worst

Until the middle of July, the figure climbed to 40%.

$$\text{score}(S_i) = \frac{1}{|\{S\}|} \sum_{S_j \neq S_i} \frac{\text{wins}(S_i, S_j)}{\text{wins}(S_i, S_j) + \text{wins}(S_j, S_i)}$$

# Automatic Evaluation

*Human evaluation is not feasible in the development cycle*

- Given: A corpus of good quality human reference translations
- Output: A numerical “translation closeness” metric
- Given (ref,sys) pair, score =  $f(\text{ref},\text{sys}) \rightarrow \mathbb{R}$ 
  - where,
  - sys (candidate Translation): Translation returned by an MT system
  - ref (reference Translation): ‘Perfect’ translation by humans

Multiple references are better

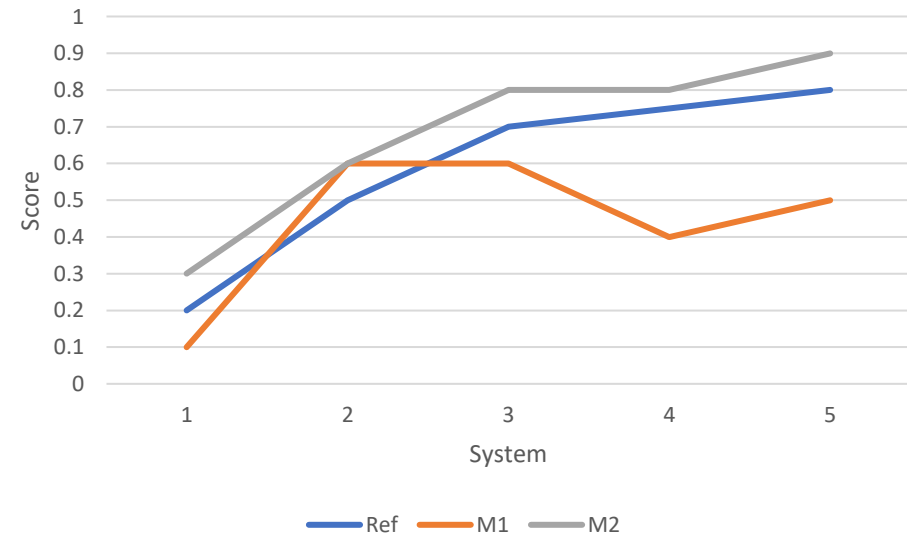
# Key Idea of Automatic Evaluation

*The closer a machine translation is to a professional human translation, the better it is.*

How good is an automatic metric?

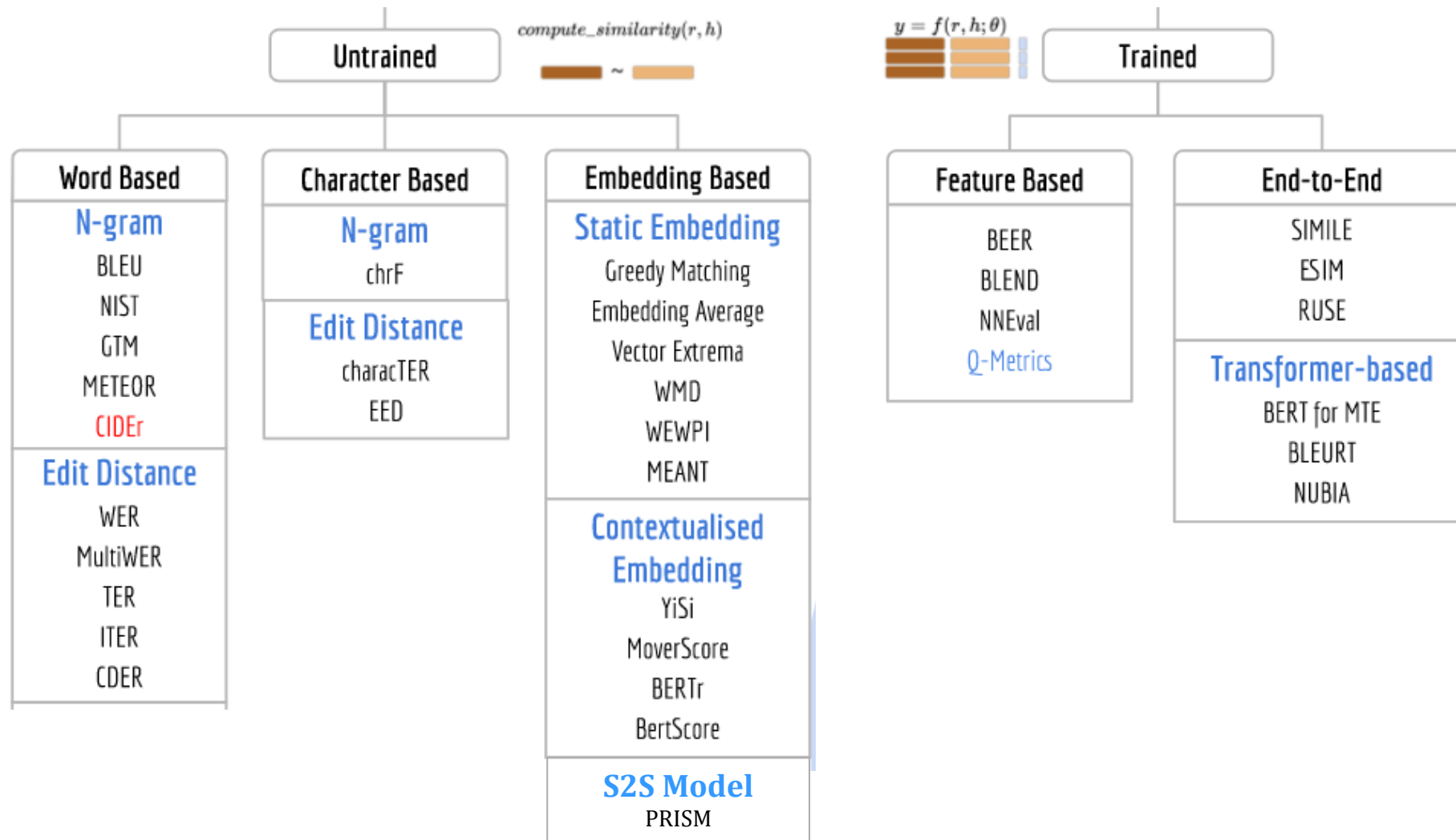


How well does it correlate with human judgment?





# Taxonomy of Evaluation Metrics



# BLEU

*(Untrained, word-based, n-gram matching)*

- Most popular MT evaluation metric
- Requires only reference translations
  - No additional resources required
- Precision-oriented measure
- Difficult to interpret absolute values
- Useful to compare two systems

Reference 1: मैंने अभी खाना खाया  
*maine abhi khana khaya*  
*I now food ate*  
*I ate food now.*

Candidate 1: मैंने अब खाना खाया  
*maine ab khana khaya*

*I now food ate*  
*I ate food now*

matching unigrams: 3, precision=3/4  
matching bigrams: 1, precision=1/3

***Weighted average of n-gram precision +  
Brevity penalty***

# chrF

*(Untrained, character-based, n-gram matching)*

- character n-gram F-score
- No additional resources required
- Can address morph-syntactic phenomena
- $\beta$  controls precision-recall tradeoff  $\rightarrow \beta = 2$  is widely used

$$\text{CHRFB} = (1 + \beta^2) \frac{\text{CHRP} \cdot \text{CHRR}}{\beta^2 \cdot \text{CHRP} + \text{CHRR}}$$

# Embedding-based Metrics

## **Motivation**

- Better semantic match
- Address synonym, OOV, etc.

*Can use static or contextual embeddings*

# Sentence Embedding

$$\text{score}(p, r) = \text{cosine\_sim}(\vec{p}, \vec{r})$$

- **Vector Averaging**

$$\vec{s} = \frac{\sum_{w \in s} \vec{w}}{|s|}$$

- **Vector Extrema**

$$\vec{s}_d = \begin{cases} \max_{w \in s} \vec{w}_d, & \text{if } \vec{w}_d > |\min_{w' \in s} \vec{w}'_d| \\ \min_{w \in s} \vec{w}_d & \text{otherwise} \end{cases}$$

- **Direct sentence embeddings** tuned for semantic textual similarity and paraphrasing tasks (e.g. LABSE, sent-transformers)

# Soft word-embedding alignment

## Greedy Matching

*e.g. BERTScore*

$$R_{BERT} = \frac{1}{|r|} \sum_{i \in r} \max_{j \in p} \vec{i}^T \vec{j}, P_{BERT} = \frac{1}{|p|} \sum_{j \in p} \max_{i \in r} \vec{i}^T \vec{j}$$

$$\text{BERTscore} = F_{BERT} = 2 \frac{P_{BERT} \cdot R_{BERT}}{P_{BERT} + R_{BERT}}$$

*Based on greedily matching a reference word to closest hypothesis word*

## Optimal Transport

*e.g. WMD*

$$\text{WMD}(p, r) = \min_T \sum_{i,j=1}^n T_{ij} \cdot \Delta(i, j)$$

$$\text{such that } \sum_{j=1}^n T_{ij} = \vec{p}_i \forall i \in \{1, \dots, n\}, \text{ and } \sum_{i=1}^n T_{ij} = \vec{r}_j \forall j \in \{1, \dots, n\}$$

*Based on optimally aligning reference & hypothesis words*

# PRISM

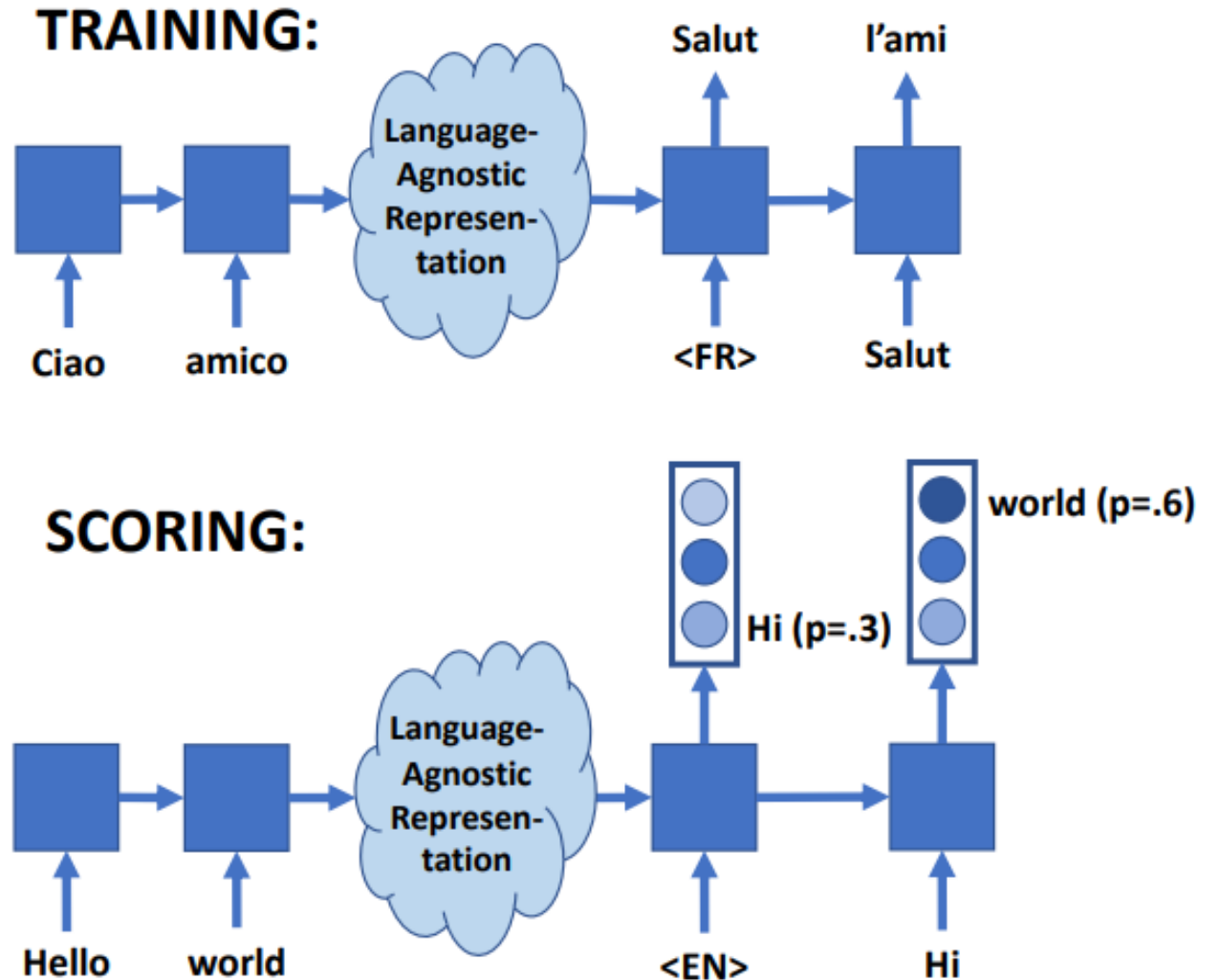
**Probability is the metric**

*(instead of embedding similarity)*

*Use a multilingual NMT to score (hyp,ref) pairs*

$$\text{score}(\text{hyp}, \text{ref}) = P(\text{hyp} | \text{ref})$$

*NMT system used as a paraphraser*



# More on PRISM

- Length normalize score and average of both directions (hyp  $\leftrightarrow$  ref)
- Unbiased paraphraser
- When ref is available, doesn't have to be SOTA MT system
- Ref-based better than ref-free evaluation
- Better than LASER+LM and mBART (mBART is very bad)
- Can distinguish between strong systems also better than other metrics



# Trainable Metrics

*Learn to assign a score to each hypothesis, reference, (source) tuple*

## Needs Training data

Database of human judgments  
*e.g. WMT metrics task data*

## Feature-based solutions

*Lexical, semantic, source/ref, features*

## End-to-End solutions

*Fine-tune pretrain embeddings*



**Regression Task**

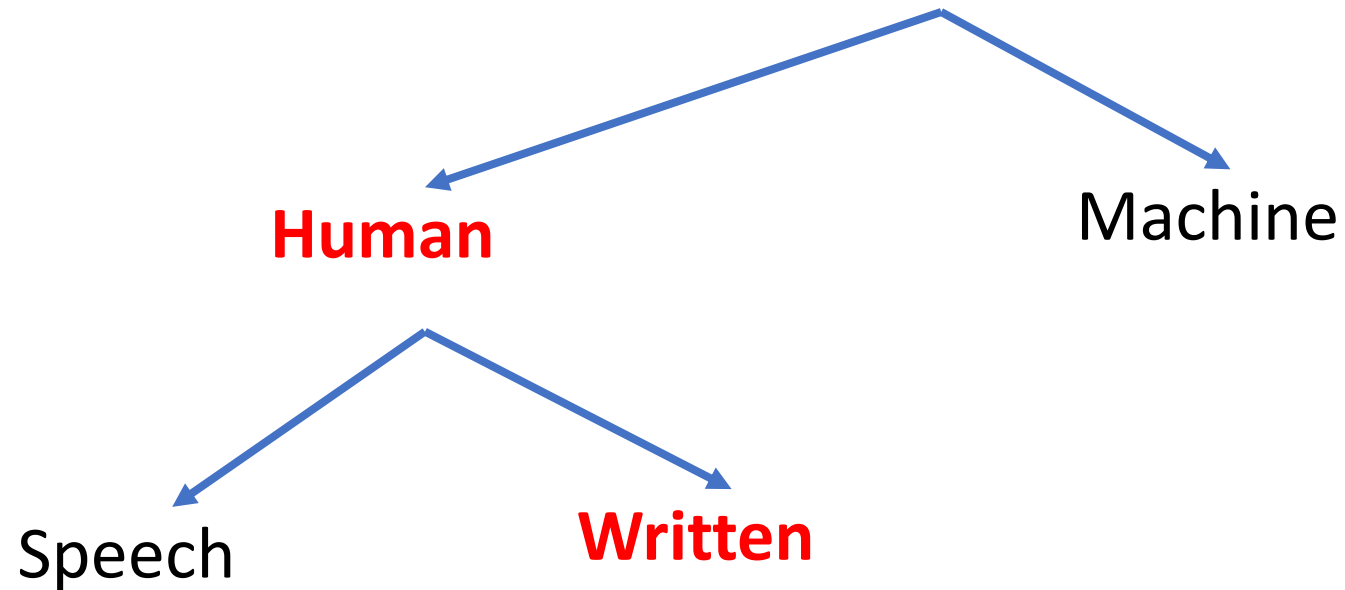
$$y = f(x; \theta)$$

*Metrics: BEER, BLEND, ESIM, COMET, BLUERT, YiSi-2 etc*

# The Effect of Translationese

# What is Translationese?

Translated text → not originally composed in the language



# How is human translationese different?

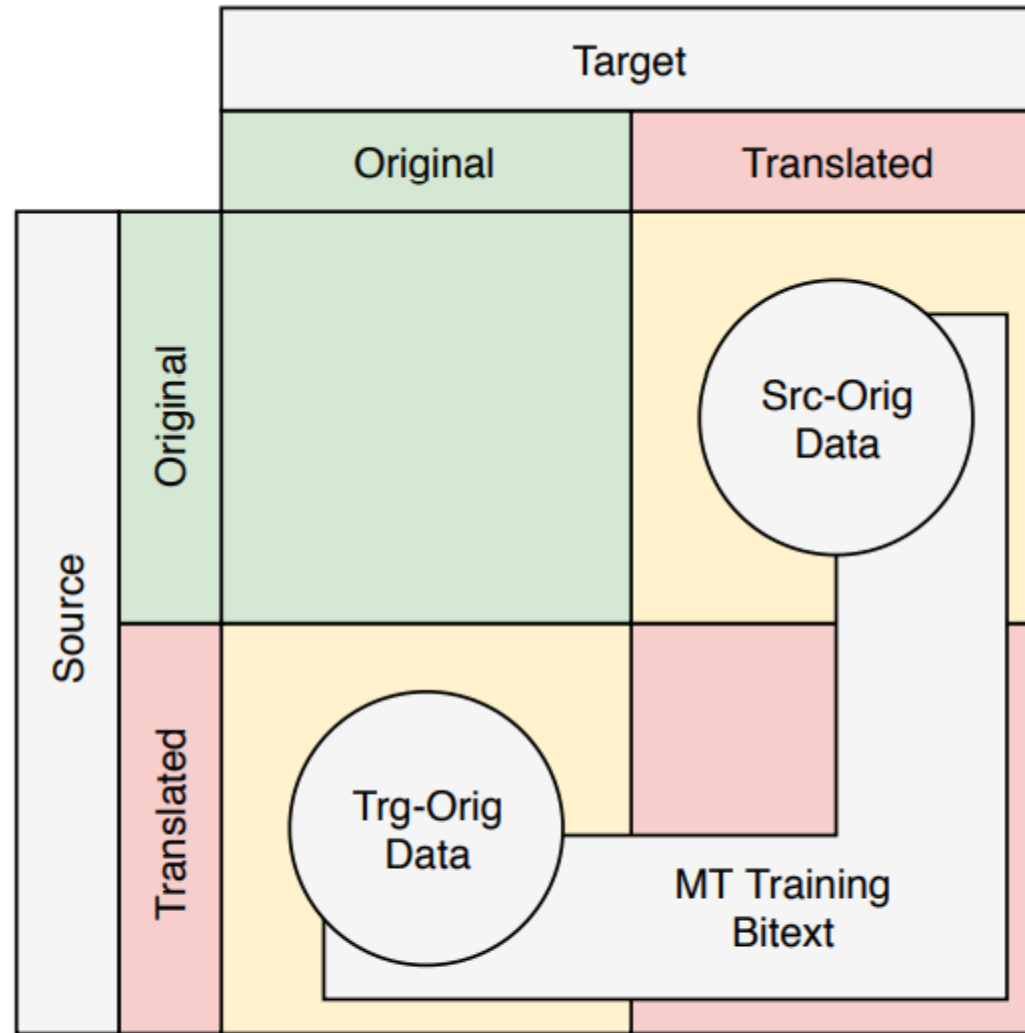
*Baker (1993), Toury (2012)*

- **Explicitation:** be more explicit than the original source
- **Simplification:** simplified (lexical, syntactically and stylistically);
  - Less ambiguous
- **Normalization:** exaggerate target language features;
  - Unmarked, conventional, less creative, more conservative
  - Conventionalization of metaphors and idioms
  - Dialectical and colloquial expressions less frequent
  - Lexical choice of 'standard translation'
- **Interference/Shining through:** phenomena pertaining to the make-up of the source text tend to be transferred to the target text

# Machine Translationese

*(Bizzoni et al., 2020)*

- Different from human translationese
- More studies need to characterize difference
- Shining-through obvious
- Literal translations can occur
- Neural MT outputs more complex than SMT output



From Riley et al 2020

Figure 1: Illustration of MT train+test parallel data, organized into quadrants based on whether the source or target is translated or original.

# Important Takeaways

*(Zhang et al., 2019; Graham et al., 2020  
Edunov et al., 2019; Bogoychev et al., 2020)*

- Use source original testsets for evaluation
- Relative rankings with TO/mixed testset are largely reliable
- Absolute human scores on TO testset can give an exaggerated indication of translation quality
  - Particularly for low-resource languages
- BLEU scores on TO and SO testsets have issues
  - Human judgment is the only reliable indicator of quality improvement
  - Use LM to evaluate fluency wrt target language model if human evaluation is not feasible

# Effect of backtranslation and forward translation

- Backtranslation benefits target original data (BLEU)
- Forward translation benefits source original data (BLEU)
  - Only if original MT system is good
- Reasons:
  - Train/test distribution match
  - BT: target domain adaptation, FT: source domain adaptation
  - In case of BT, simpler input
- Human judgment
  - No significant difference of BT and FT data on adequacy
  - BT data improves fluency



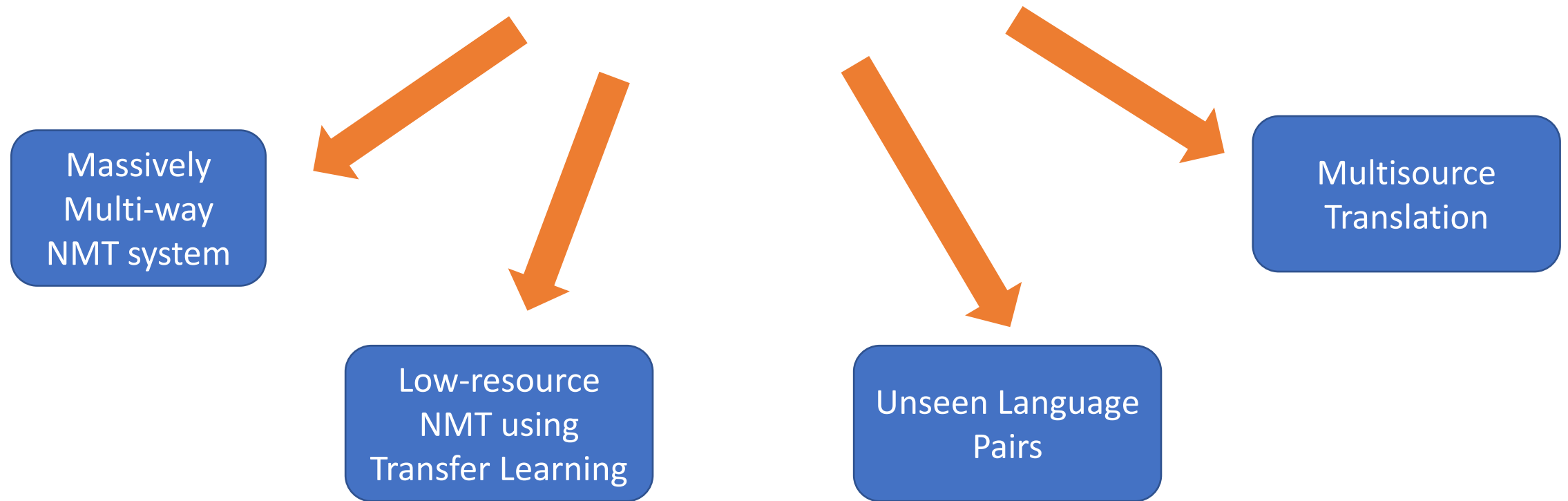
# Outline

- Introduction
- Statistical Machine Translation
- Neural Machine Translation
- Evaluation of Machine Translation
- Transformer Architecture
- **Multilingual Neural Machine Translation**

# Multilingual Neural Machine Translation

# *NMT Models involving more than two languages*

## **Use-cases for Multilingual NMT**



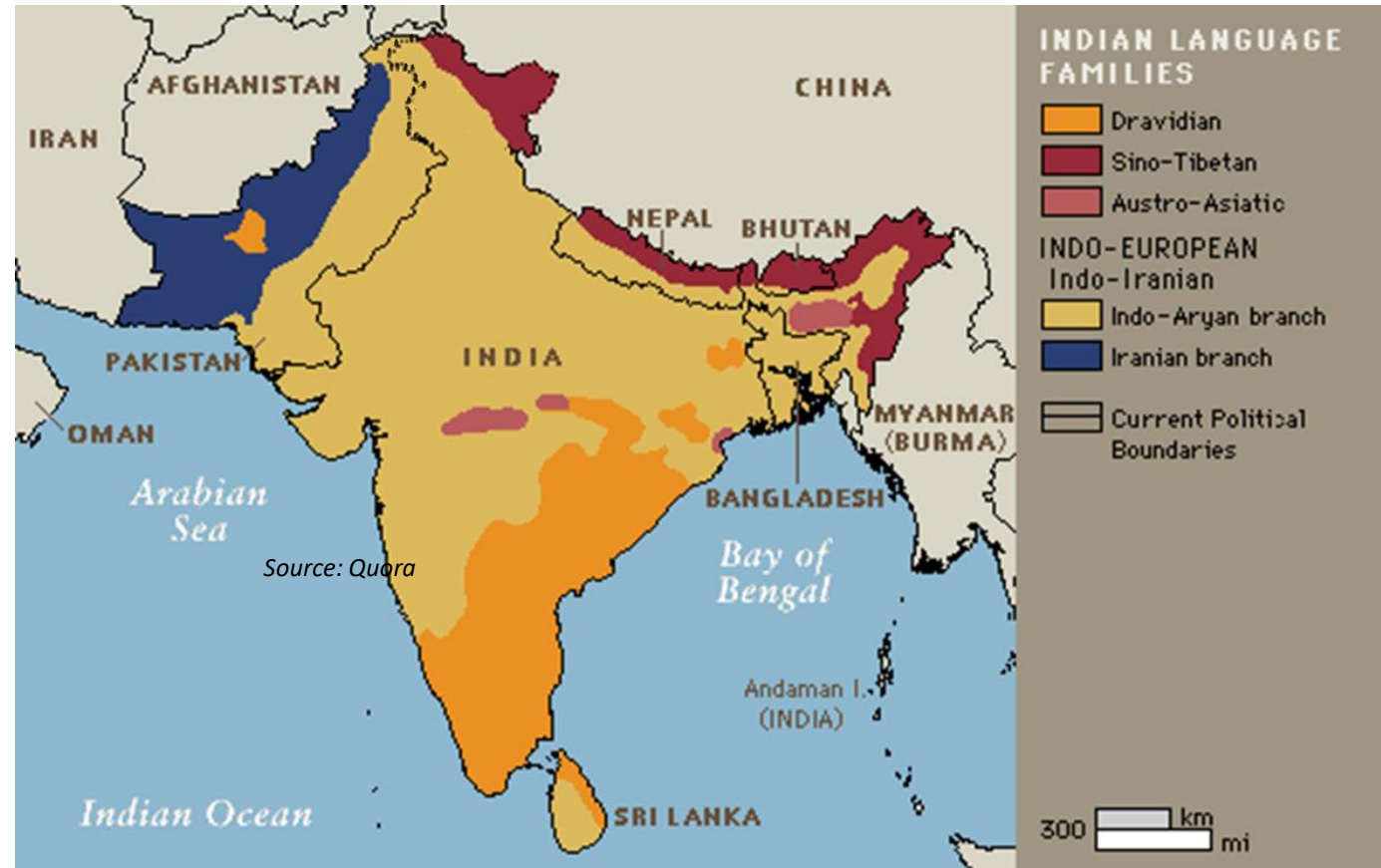
Raj Dabre, Chenhui Chu, Anoop Kunchukuttan. *A Comprehensive Survey of Multilingual Neural Machine Translation*. ACM Computing Surveys. 2020.

# Diversity of Indian Languages

**Highly multilingual country**

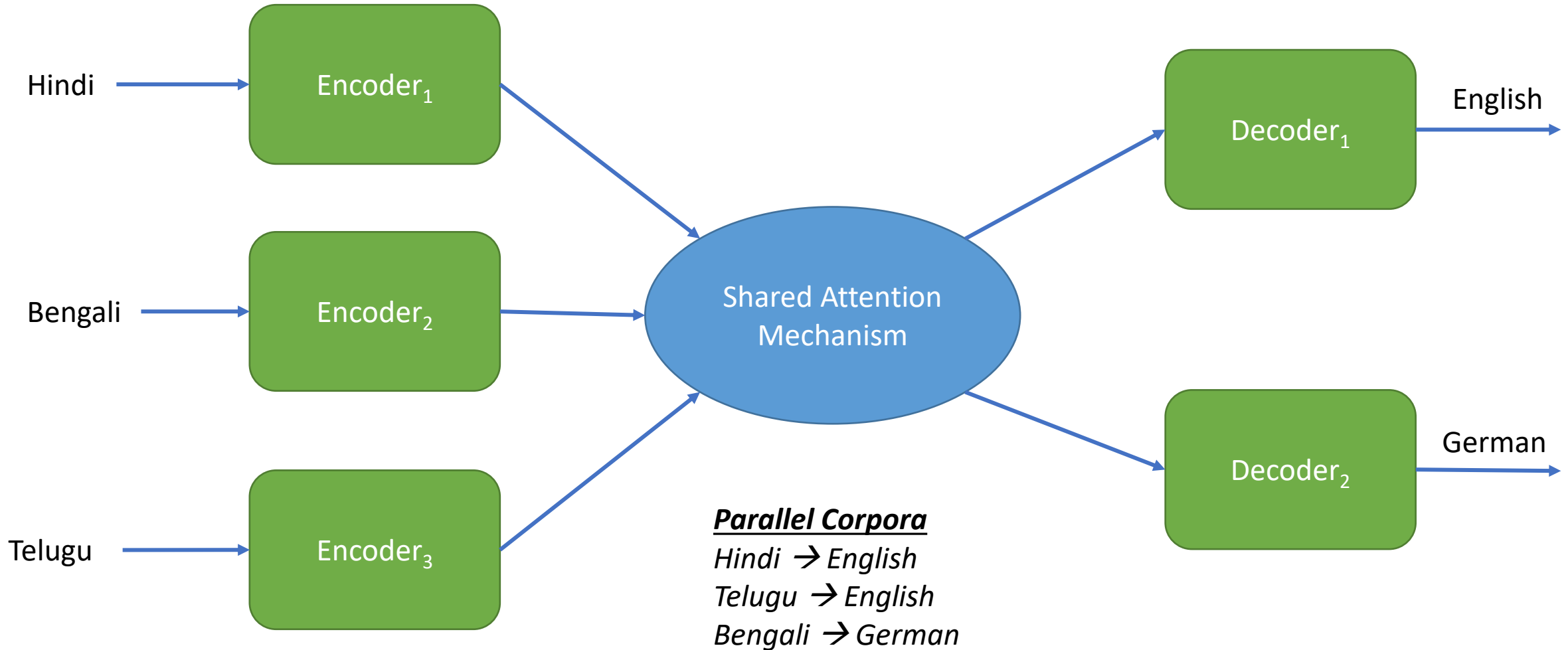
**Greenberg Diversity Index 0.9**

- 4 major language families
- 1600 dialects
- 22 scheduled languages
- 125 million English speakers
- 8 languages in the world's top 20 languages
- 11 languages with more than 25 million speakers
- 30 languages with more than 1 million speakers



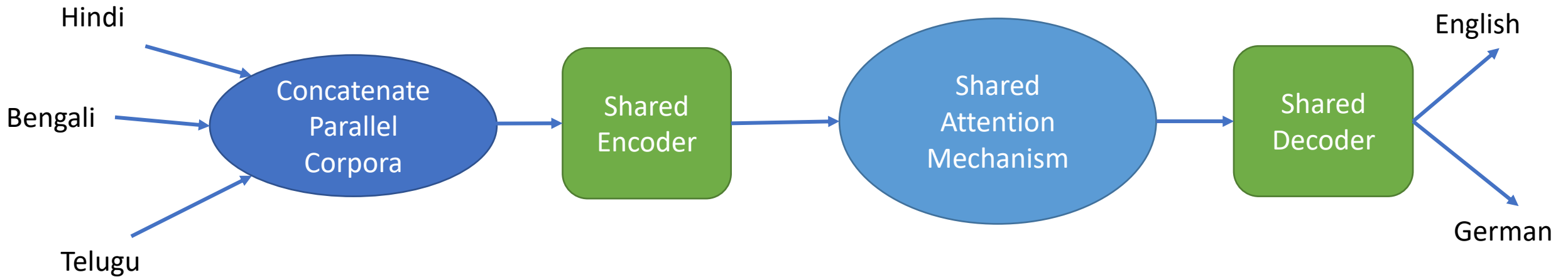
# General Multilingual Neural Translation

(Firat et al., 2016)



# Compact Multilingual NMT

*(Johnson et al., 2017)*



Johnson, Melvin, Mike Schuster, Quoc V. Le, Maxim Krikun, Yonghui Wu, Zhifeng Chen, Nikhil Thorat et al. "Google's multilingual neural machine translation system: Enabling zero-shot translation." TACL (2017).

# Combine Corpora from different languages

(Nguyen and Chang, 2017)

I am going home	हू घरे जव छू
It rained last week	छेल्ला आठवडिया मा वर्साद पाड्यो

It is cold in Pune	पुण्यात थंड आहे
My home is near the market	माझा घर बाजाराजवळ आहे

Convert Script

Concat Corpora

I am going home	हू घरे जव छू
It rained last week	छेल्ला आठवडिया मा वर्साद पाड्यो
It is cold in Pune	पुण्यात थंड आहे
My home is near the market	माझा घर बाजाराजवळ आहे

*There is only one decoder, how do we generate multiple languages?*

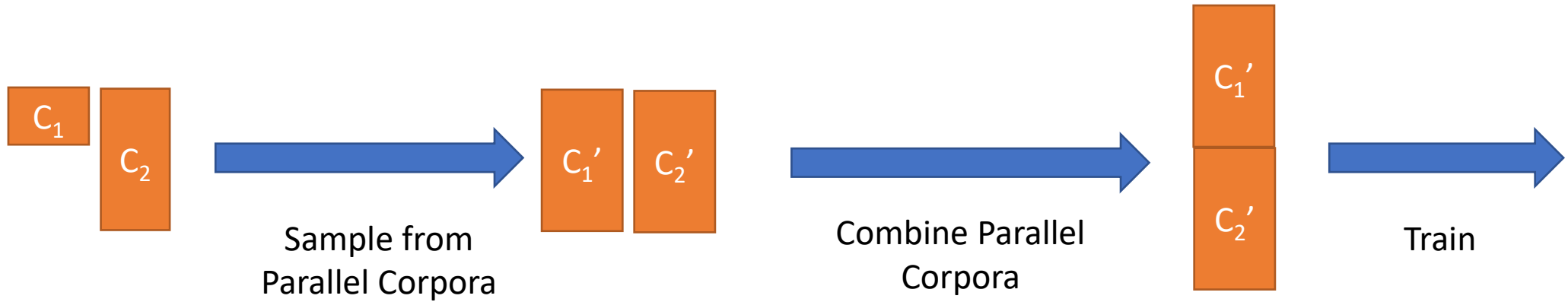
*Language Tag Trick → Special token in input to indicate target language*

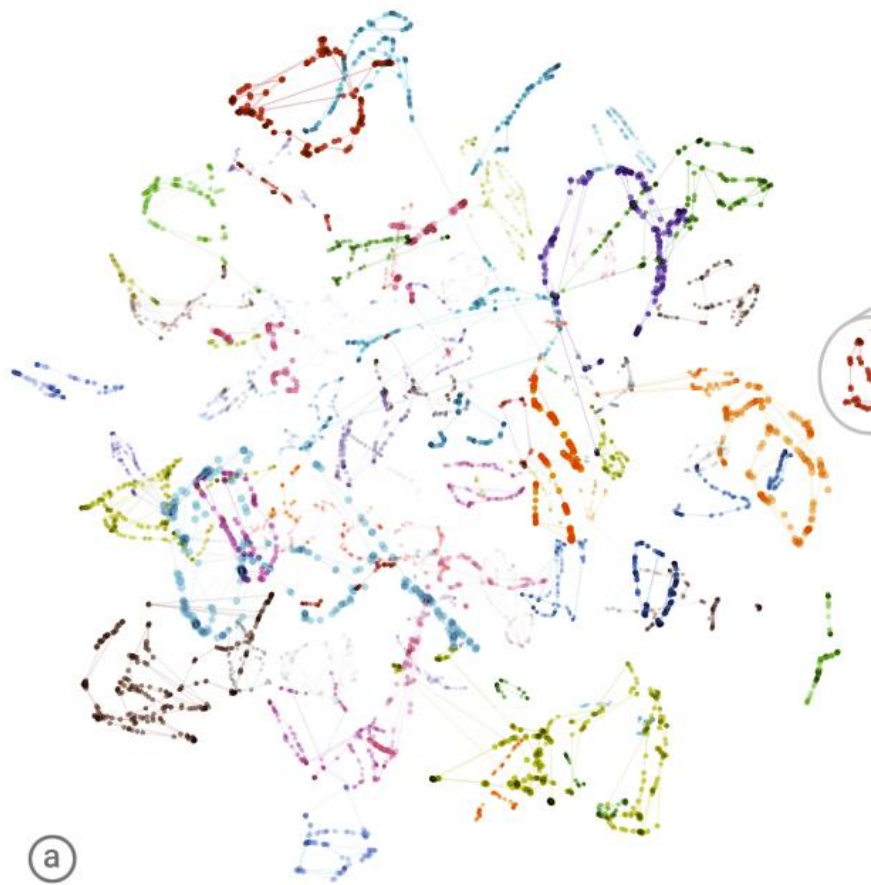
Original Input: मकर संक्रांति भगवान सूर्य के मकर में आने का पर्व है

Modified Input: मकर संक्रांति भगवान सूर्य के मकर में आने का पर्व है <eng>



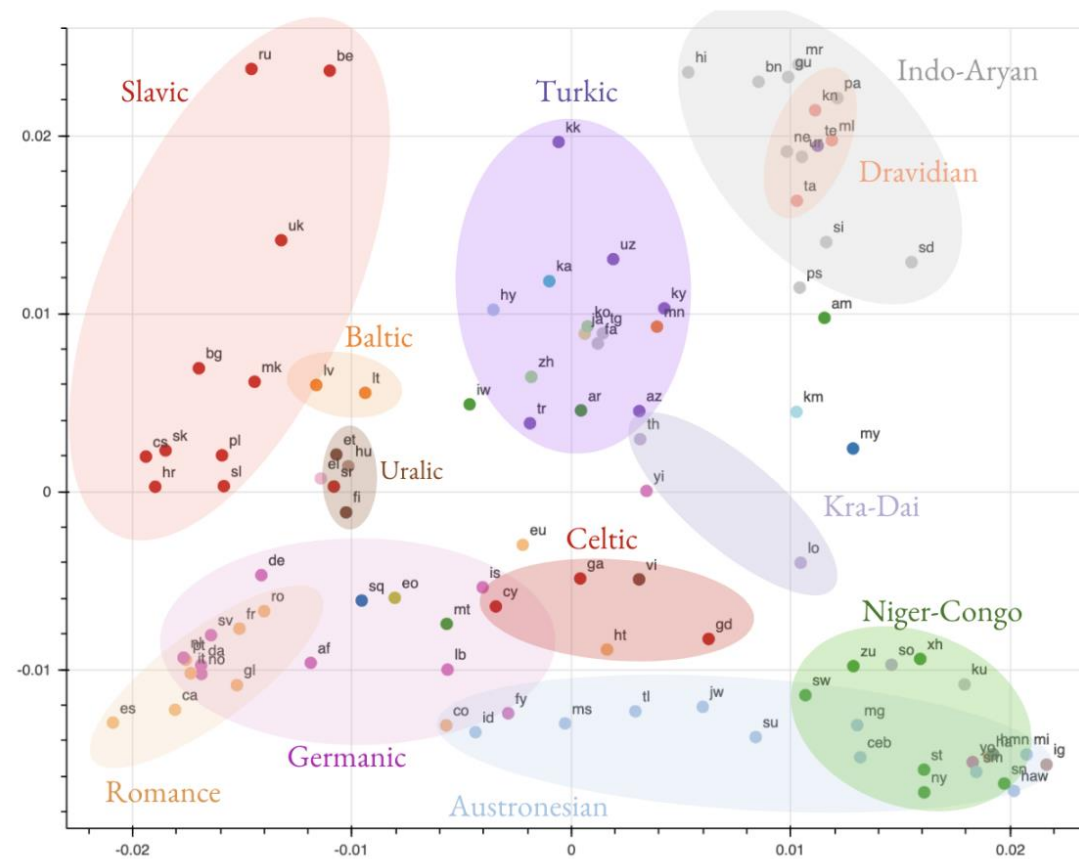
# Joint Training





(a)

*Similar sentences have similar encoder representations*



*But the multilingual representation is not perfect*

***Learning common representations across languages is one of the central problems for multilingual NMT***

# Aligning Encoder Representations

$$\min_{\theta} \sum_{n=1}^N \text{dist}(H_{1n}(\theta), H_{2n}(\theta))$$

## Supervised Distance Functions

Cosine

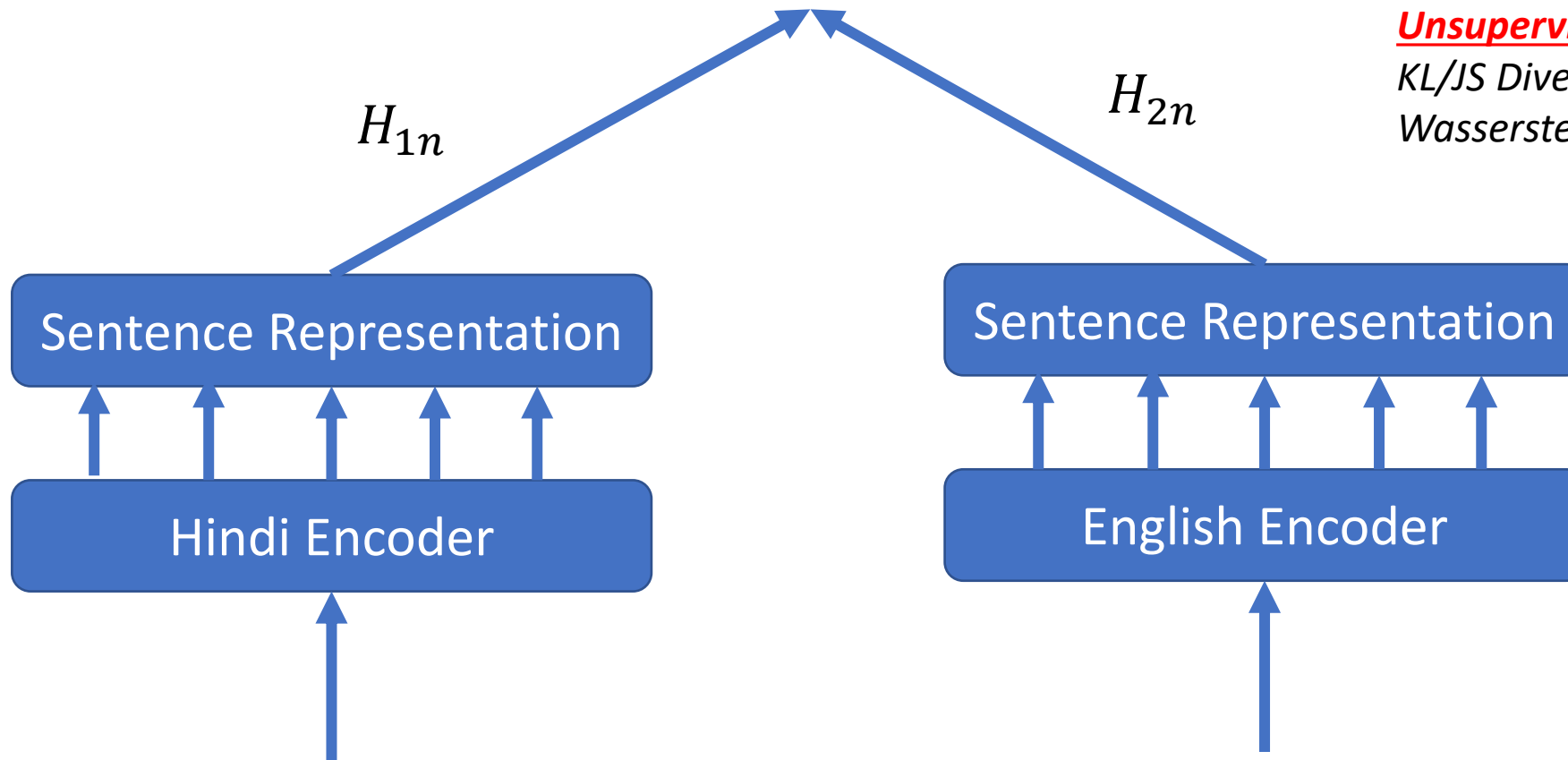
Correlation

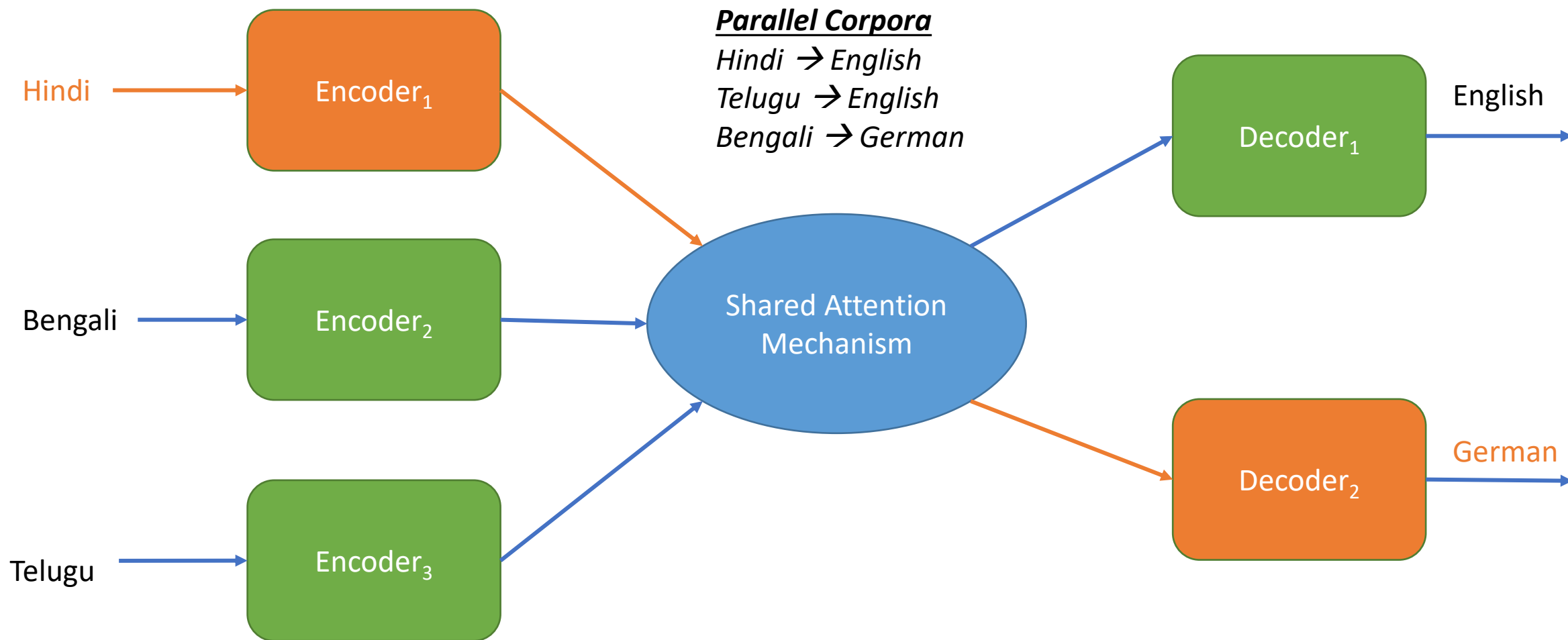
Euclidean Distance

## Unsupervised Distance Functions

KL/JS Divergence

Wasserstein





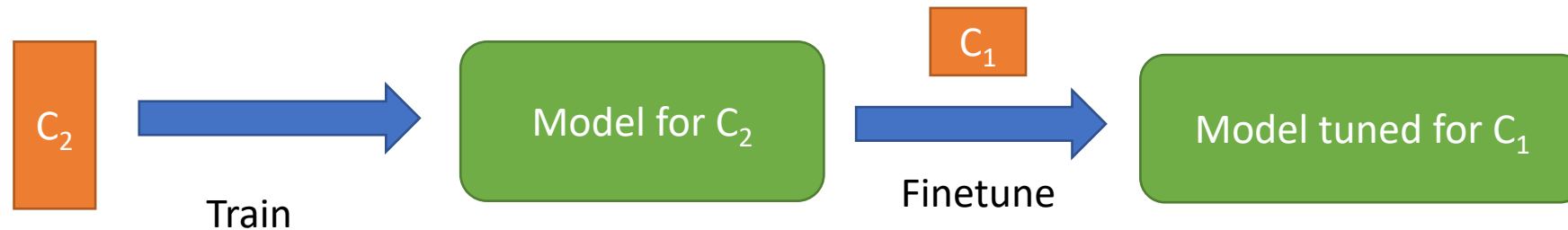
*Multilingual NMT makes possible translation between unseen pairs*

*Zeroshot NMT (Johnson et al., 2017)*

# Transfer Learning

We want Gujarati → English translation → but little parallel corpus is available

We have lot of Marathi → English parallel corpus

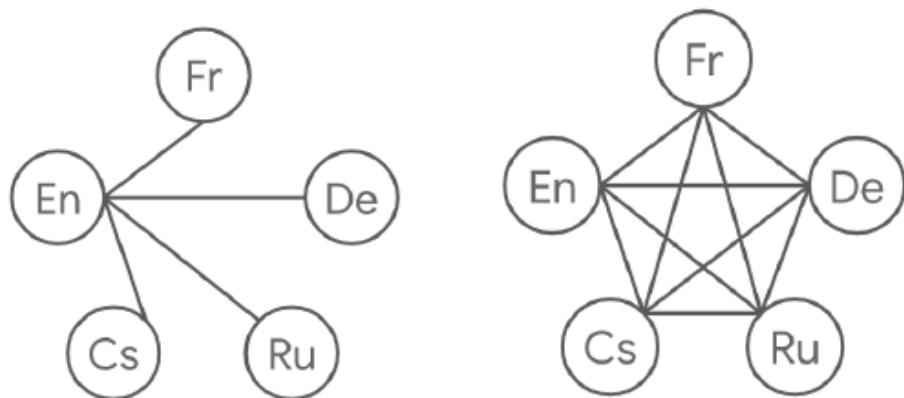


*Transfer learning works best for related languages*

# Complete NMT Translation

WMT data (6 languages, 30 pairs)

Mine non-English centric corpora from English-centric parallel corpora



(a) English-centric

(b) Complete

	cs	de	en	es	fr	ru
cs		0.7	47	0.8	1	0.9
de	0.7		4.5	2.3	2.5	0.3
en	47	4.5		13.1	38.1	33.5
es	0.8	2.3	13.1		10	4.4
fr	1	2.5	38.1	10		4.8
ru	0.9	0.3	33.5	4.4	4.8	

Samanantar Corpus: ~80m Indic-Indic sentences

X-Y | *Bleib sicher* ↔ Stay safe  
Z-Y | *Mantente segura* ↔ Stay safe  
X-Y-Z | *Bleib sicher* ↔ *Mantente segura* ↔ Stay safe

Complete NMT models can outperform zeroshot and pivot translation models

# Summary

# Summary

- Machine Translation is one of the most challenging and exciting NLP problems
  - Watch out for advances in MT!
- Machine Translation is important to build multilingual NLP systems
- NMT has been a great success story for Deep Learning
- NMT has the following benefits
  - Improved Fluency & better Word Order
  - Opens up new avenues: Transfer learning, Unsupervised NMT, Zeroshot NMT



# Important Takeaways

- Why is MT challenging?
- Word Alignment
- Sequence to Sequence Tasks
- Encoder-Decoder architectures
- Attention Networks
- Transformer Architecture
- Decoding with Beam Search
- Subword vocabulary
- Multilingual/Multitask S2S Models
- MT Evaluation is a challenge

# More Reading Material

This was a small introduction, you can find more elaborate presentations, books and further references below:

## SMT Tutorials & Books

- *Machine Learning for Machine Translation (An Introduction to Statistical Machine Translation)*. **Tutorial at ICON 2013** [\[slides\]](#)
- *Machine Translation: Basics and Phrase-based SMT*. **Talk at the Ninth IIIT-H Advanced Summer School on NLP (IASNLP 2018), IIIT Hyderabad** . [\[pdf\]](#)
- *Statistical Machine Translation*. Philip Koehn. Cambridge University Press. 2008. [\[site\]](#)
- *Machine Translation*. Pushpak Bhattacharyya. CRC Press. 2015. [\[site\]](#)

## NMT Tutorials & Books

- *Neural Machine Translation and Sequence-to-sequence Models: A Tutorial*. Graham Neubig. 2017. [\[pdf\]](#)
- CMU CS 11-731, Fall 2019 - Machine Translation and Sequence-to-Sequence Models. [\[link\]](#)
- *Neural Machine Translation: A Review and Survey*. Felix Stahlberg. JAIR. 2020. [\[link\]](#)
- Raj Dabre, Chenhui Chu, Anoop Kunchukuttan. *A Comprehensive Survey of Multilingual Neural Machine Translation*. ACM Computing Surveys. 2020. [\[link\]](#)

## Other Lectures

- <https://github.com/oxford-cs-deepnlp-2017/lectures> (Lectures 7 & 8)
- <https://www.cse.iitm.ac.in/~miteshk/CS6910.html> (Lectures 16)
- <http://web.stanford.edu/class/cs224n/> (Lectures 7)

# Tools

- **moses**: A production-quality open source package for SMT
- **fairseq**: Modular and high-performance NMT system based on PyTorch
- **openNMT-pytorch**: Modular NMT system based on PyTorch
- **marian**: High-performance NMT system written in C++
- **subword-nmt**: BPE tokenizer
- **sentencepiece**: Subword tokenizer implementing BPE and word-piece
- [indic-nlp-library](#): Python library for processing Indian language datasets
- **sacrebleu**: MT evaluation tool

# Datasets

- Workshop on Machine Translation datasets
- Workshop on Asian Translation datasets
- Samanantar Parallel Corpus
- IITB English-Hindi Parallel Corpus
- ILCI parallel corpus
- WAT2021-Indic Languages Multilingual Parallel
- FLORE-101 testset

**More parallel corpora and resources for Indian languages can be found here:**

[https://github.com/indicnlpweb/indicnlp\\_catalog](https://github.com/indicnlpweb/indicnlp_catalog)

Thank You!

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<http://anoopk.in>

Extra Study Material

# Phrase-based SMT Enhancements

*We have looked at a basic phrase-based SMT system*

*This system can learn word and phrase translations from parallel corpora*

But many important linguistic phenomena need to be handled

- **Divergent Word Order**
- Rich morphology
- Named Entities and Out-of-Vocabulary words



# Getting word order right

*Phrase based MT is not good at learning word ordering*

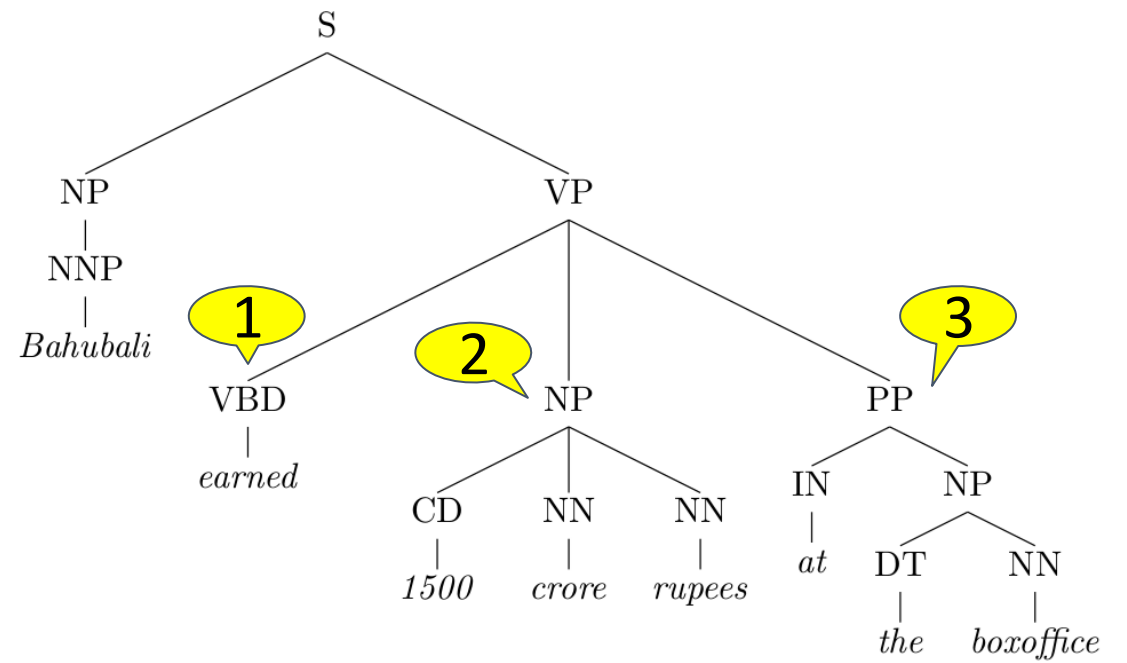
*Solution: Let's help PB-SMT with some preprocessing of the input*

*Change order of words in input sentence to match order of the words in the target language*

Let's take an example

*Bahubali earned more than 1500 crore rupees at the boxoffice*

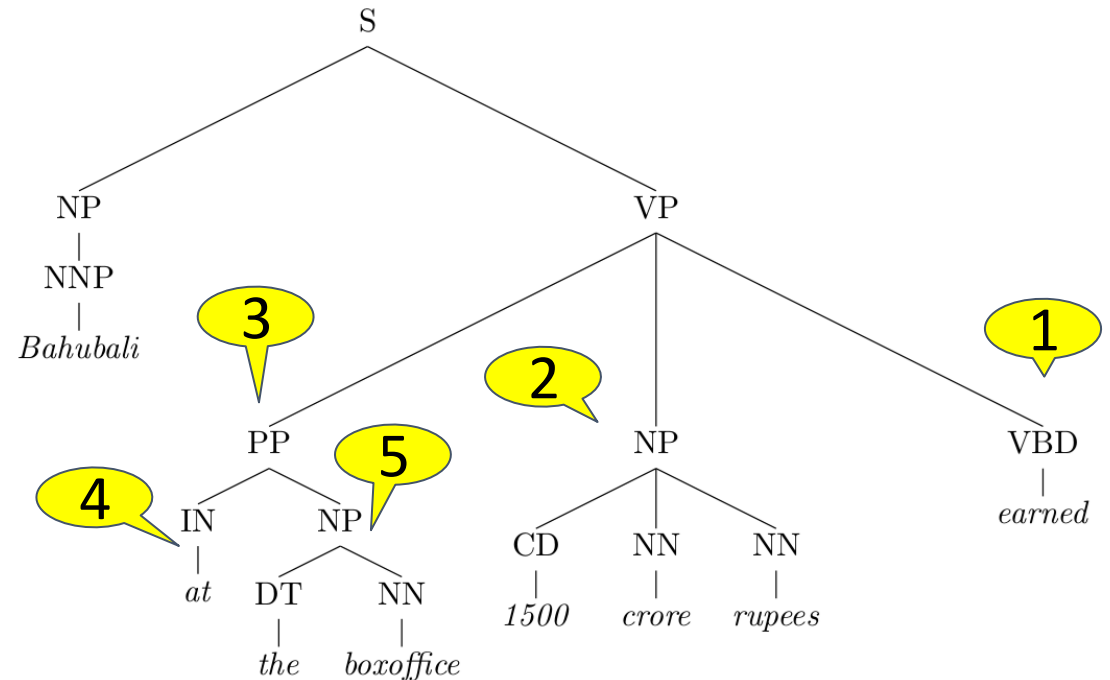
Parse the sentence to understand its syntactic structure



Apply rules to transform the tree

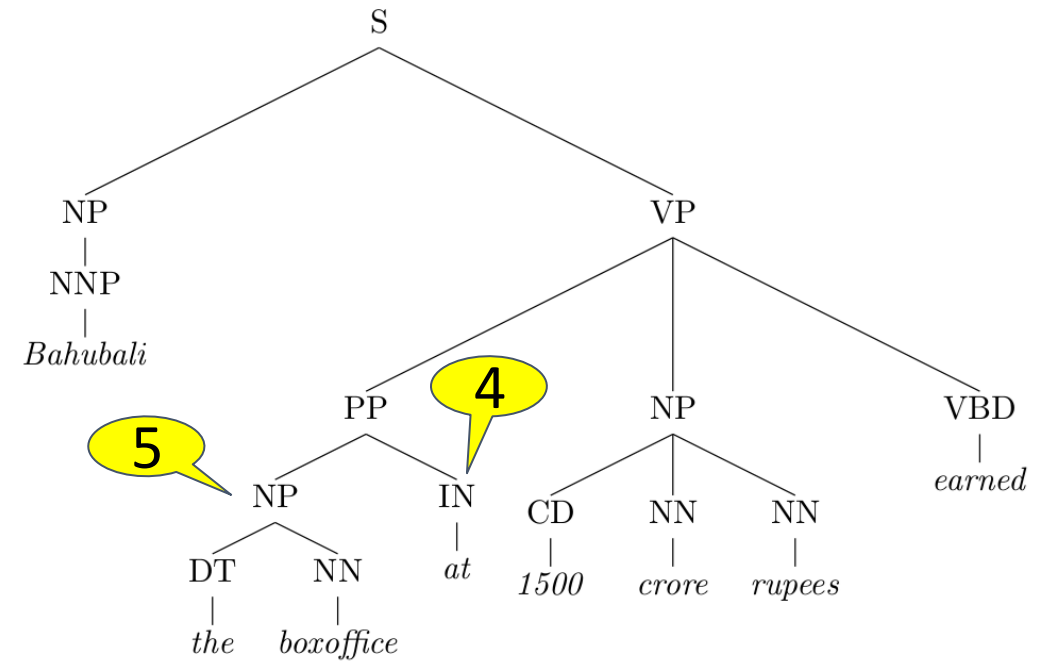
VP → VBD NP PP ⇒ VP → PP NP VBD

This rule captures Subject-Verb-Object to Subject-Object-Verb divergence



*Prepositions in English become postpositions in Hindi*

PP → IN NP ⇒ PP → NP IN



*The new input to the machine translation system is*  
*Bahubali the boxoffice at 1500 crore rupees earned*

*Now we can translate with little reordering*

*बाहुबली ने बॉक्सऑफिस पर 1500 करोड रुपए कमाए*

*These rules can be  
written manually or  
learnt from parse trees*

# Addressing Rich Morphology

Inflectional forms of the Marathi word घर

घर	house
घरात	in the house
घरावरती	on the house
घराखाली	below the house
घरामध्ये	in the house
घरामागे	behind the house
घराचा	of the house
घरामागचा	that which is behind the house
घरासमोर	in front of the house
घरासमोरचा	that which is in front of the house
घरांसमोर	in front of the houses

Hindi words with the suffix वाद

साम्यवाद	communism
समाजवाद	socialism
पूंजीवाद	capitalism
जातीवाद	casteism
साम्राज्यवाद	imperialism

*The corpus should contains all variants to learn translations*

*This is infeasible!*

***Language is very productive, you can combine words to generate new words***

# Addressing Rich Morphology

Inflectional forms of the Marathi word घर

घर	house
घर ा त	in the house
घर ा वरती	on the house
घर ा खाली	below the house
घर ा मध्ये	in the house
घर ा मागे	behind the house
घर ा चा	of the house
घर ा माग चा	that which is behind the house
घर ा समोर	in front of the house
घर ा समोर चा	that which is in front of the house
घर ा ं समोर	in front of the houses

Hindi words with the suffix वाद

साम्य वाद	communism
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साम्राज्य वाद	imperialism

- *Break the words into its component morphemes*
- *Learn translations for the morphemes*
- *Far more likely to find morphemes in the corpus*

# Handling Names and OOVs

Some words not seen during train will be seen at test time

These are *out-of-vocabulary (OOV)* words

**Names** are one of the most important category of OOVs

⇒ There will always be names not seen during training

How do we translate names like *Sachin Tendulkar* to Hindi?

What we want to do is map the Roman characters to Devanagari to they sound the same when read → सचिन तेंदुलकर

→ We call this process '**transliteration**'

Can be seen as a simple translation problem at character level with no re-ordering

*sachin* → सचििन